

MINUTES

JOINT COMMITTEE ON INFORMATION TECHNOLOGY

December 14-16, 2009
Room 535-N—Statehouse

Members Present

Representative Joe McLeland, Chairperson
Senator Tim Huelskamp, Vice-chairperson
Senator Tom Holland
Senator Mike Petersen
Senator Vicki Schmidt
Senator Chris Steineger
Representative Mike Burgess
Representative Harold Lane
Representative Jim Morrison

Staff

Julian Efird, Kansas Legislative Research Department
Aaron Klaassen, Kansas Legislative Research Department
Dennis Hodgins, Kansas Legislative Research Department
Norm Furse, Office of the Revisor of Statutes
Daniel Yoza, Office of the Revisor of Statutes
Don Heiman, Legislative Chief Information Technology Officer
Gary Deeter, Committee Secretary

Conferees

Joe Hennes, Executive Chief Information Technology Officer
Bill Roth, Kansas Chief Information Technology Architect
Allen Foster, Auditor, Legislative Division of Post Audit
Reggie Robinson, President and CEO, Kansas Board of Regents
Denise Stephens, Vice Provost for Information Technology, University of Kansas
Jim Garner, Secretary, Kansas Department of Labor
George Huptka, Chief Information Officer, Kansas Department of Labor
Roger Werholtz, Secretary, Kansas Department of Corrections
Ken Orr, Consultant on Enterprise Architecture
Kelly O'Brien, Judicial Chief Information Technology Officer
Jeff Lewis, Chief Information Officer, Kansas Department of Social and Rehabilitation Services
Barbara Langner, Acting Medicaid Director, Kansas Health Policy Authority

Mike Hayden, Secretary, Kansas Department of Wildlife and Parks
Derek Welsh, Information Technology Director, Kansas Attorney General's Office
Cole Robison, Director of Statewide Web/IT Accessibility, Division of Information
Systems and Communications, Kansas Department of Administration
Duncan Friend, Chairperson, Kansas Partnership for Accessible Technology, Division
of Information Systems and Communications, Kansas Department of
Administration

Others Attending

See attached list.

Monday, December 14 Morning Session

The Chairperson welcomed Norm Furse, Office of the Revisor of Statutes, who reviewed the statutory authority and duties of the Joint Committee on Information Technology (JCIT) (Attachment 1). Mr. Furse noted the establishment of the Committee in 1992 and the requirement of the Committee to review information technology (IT) projects with an accumulated cost over \$250,000. The Committee is also to be notified of any project variance of more than \$1.0 million or 10.0 percent, whichever is lower. Under the direction of JCIT, the Legislative Chief Information Technology Officer (CITO) is to monitor state IT projects and report progress to JCIT. Referring to an Attorney General's opinion, Mr. Furse said that agencies are required to advise and consult with JCIT, but after funds are appropriated for a project, JCIT cannot require any further action by an executive branch agency. During discussion regarding JCIT's statutory authority, Mr. Furse replied that through a proviso in an appropriations bill an agency can be prohibited or required to take a certain action.

Mr. Furse referred to a bill draft related to network security based on a Post Audit report from the September 23-24 meeting. *A motion was made (Representative Morrison) and seconded (Senator Petersen) to formally draft and pre-file the bill draft. The motion passed.* Members recommended the bill be introduced first in the House of Representatives. Members also discussed whether or not the threshold of \$250,000, established in 1992, should be changed to reflect current economics.

Joe Hennes, Executive CITO, presented the agency project quarterly reports for July-September 2009 (Attachment 2). Of the 25 active projects (total cost, \$200 million), 16 are in good standing, one project is in caution status, and eight are in alert status. He noted the revenue sources—34.0 percent federal, 66.0 percent state agency funds, and 10.0 percent State General Fund; commented on the 11 completed projects; and provided details on active projects.

Members expressed concern regarding the decision of the Kansas Department of Transportation (KDOT) to create an interface with the state-wide Financial Management System (FMS), rather than fully integrating with FMS. Mr. Hennes replied that the agency had determined that FMS could not replace certain applications, but that an interface with FMS would enhance the applications.

Mr. Hennes commented on other agency projects:

- The Kansas Public Employees Retirement System (KPERs) modified the Pension Administration System to accommodate new statutory requirements;
- KDOT is the lead agency in developing the Traffic Records System. Release 1.0 establishes five core functions;
- The Kansas Wide-area Information Network (KanWIN) infrastructure upgrade is 65-70 percent complete. Mr. Hennes introduced the newly appointed Bureau of Telecommunications director, Jay Coverdale, and the KanWIN project manager, Ivan Weichert;
- The FMS is six months away from go-live;
- The Kansas Department of Commerce Regional Education and Workforce Access Remote Delivery (REWARD) project will provide high-definition videoconferencing capabilities in nine cities across the state;
- The Kansas Health Policy Authority's (KHPA's) project to create a state-wide repository of all health-related data (Data Analytic Interface II) was recast when testing revealed problems; the new completion date is May 18, 2010;
- The Kansas Department of Revenue's (KDOR's) Division of Motor Vehicle modernization project, which is replacing Vehicle Information Processing System (VIPS), Kansas Drivers License System (KDLS), and Kansas Vehicle Inventory System (KVIS) presently is reviewing the fit-gap analysis with vendor 3M;
- KDOR's Drivers License Photo First Model Office project, funded with a federal grant, is REAL-ID-Act compliant; and
- KDOT's Workflow Conversion Project II to replace 38 automated processes will be recast for the third time and is scheduled for completion in December 2010.

Regarding approved projects, Mr. Hennes noted that the Kansas State Historical Society is evaluating five Requests for Proposals (RFPs) for its Kansas Enterprise Electronic Preservation project. The project will create a trusted digital repository to preserve and provide access to electronic government documents. He also noted that a \$2 million federal grant is available to the Kansas Department of Commerce to increase broadband access throughout the state and that the Kansas Department of Social and Rehabilitation Services (SRS) and KHPA will be collaborating on a \$20.0 million eligibility-determining system for Medicaid.

Bill Roth, Kansas Chief Information Technology Architect (CITA), reviewed the state's Strategic Information Management Plan (SIM Plan), which, with six goals, intends to improve collaborative partnerships which will allow government entities, businesses, and citizens to work together more effectively ([Attachment 3](#)). He commented on the challenges of helping agencies build a collaborative culture, and he delineated progress in some areas. Responding to a question, he pointed to some successes, notably the Secretary of State's office and the Geologic Information System. The Chairman requested further information regarding funding and funding streams for KDOR's vehicle modernization project.

Afternoon Session

Allen Foster, Auditor, Legislative Division of Post Audit, reminded the Committee of a previous report on an IT security audit of three Regents universities, which was provided at the JCIT September meeting (Attachment 4). He said an original audit in 2005 revealed significant deficiencies in network security, and a follow-up audit in February 2009 assessed compliance and offered Post Audit recommendations; the latter audit set January 2010 as a deadline for the Regents to address continuing deficiencies. He reported that the three universities have made significant progress except for policy items and that two major issues were resolved. He noted that statutorily the Regents have been exempted from many state government policies, but that the Information Technology Executive Council (ITEC) recommended the Regents be under the authority of the state's security policies.

Reggie Robinson, President and CEO, Kansas Board of Regents, responded to Mr. Foster's statements, saying that the Regents Information Technology Security Council is working with ITEC to develop a modified policy document that will recognize the distinctive environment of Regents institutions. He stated that a revised document will be available in six months and be ready for implementation. The Chairperson requested that staff follow up on the topic at JCIT meetings next summer. Mr. Robinson replied to a question, stating that the other three institutions were being included in the document discussions.

Denise Stephens, Vice Provost for Information Technology, University of Kansas (KU), responding to the latest Post Audit document, said that 31 of the 41 Post Audit recommendations have been implemented (Attachment 5). She stated that KU has transformed its security environment to meet or exceed Post Audit standards.

Following discussions regarding the Post Audit reports, Representative Morrison made the following motion:

I move that the open meeting of the Joint Committee on Information Technology in Room 535-N of the Kansas Statehouse be recessed for a closed, executive meeting to commence immediately in Room 535-N of the Statehouse pursuant to subsection (b)(13) of KSA 2008 Supp. 75-4319, for a discussion of the security of the information systems of the State Board of Regents for Emporia State University, the University of Kansas, and Kansas State University, that are under the supervision and control of the State Board of Regents, which subject is under consideration by the Joint Committee on Information Technology, because open discussion would jeopardize the security of the information systems; that the Joint Committee on Information Technology resume the open meeting in Room 535-N of the Statehouse at 2:47 p.m.; and that this motion, if adopted, be recorded in the minutes of the Joint Committee on Information Technology and be maintained as a part of the permanent records of the Committee.

The motion was seconded by Representative Lane and was unanimously passed.

The Chairperson announced that Allen Foster, Don Heiman, Reggie Robinson, and representatives from Kansas State University, the University of Kansas, and Emporia State University were necessary to aid the Committee in the closed meeting. The Committee went into executive session at 2:02 p.m. The open meeting resumed at 2:47 p.m., at which time Representative Morrison made a motion to extend the executive session another 20 minutes. After being seconded by Senator Steineger, the motion was passed. The Committee resumed the open meeting at 3:07 p.m.

The Chairperson expressed gratitude to Mr. Robinson for his and the Regents willingness to work with ITEC to formulate a standardized security document.

The JCIT minutes for April 29, May 5, and September 23-24 were approved. (Motion, Representative Lane; seconded by Representative Burgess.)

Members discussed topics and initiatives to be included in the Committee's annual report to the Legislature. The following items were discussed and considered relevant for inclusion in the annual report:

- Encourage a single policy for IT security that would include the Regents. The Committee expressed appreciation for the Regents collaboration and compliance;
- Regarding the FMS, identify which Legacy systems slated for interface can be integrated into the FMS;
- Actively promote funding for the Department of Corrections' new integrated offender system. The Committee commended the Department for receiving a national award for its system design and acknowledged Secretary Werholtz's recognition as the top corrections administrator in the nation;
- Recognize the progress the Kansas CITA has made in promoting inter-agency collaboration and encourage creating a culture of cooperation among agencies;
- Recommend a study based on Post Audit findings that consolidating agency computer data centers could produce significant cost savings;
- Commend the progress of the Kansas Legislative Information Strategic System (KLISS), a JCIT initiative that will go live in January 2011. The Committee also recommends that GIS software be included in the system to facilitate constituent services;
- Recommend including accessibility standards for RFPs;
- Encourage the passage of HB 2195, an initiative that will establish a state electronic document repository; the bill has passed the Senate and will be introduced in the House during the 2010 Session;
- Inform the Legislature that current hardware in the Data Center will provide adequate resources for developing the decennial redistricting initiative;
- Urge the KHPA to collaborate with SRS in sharing software for ancillary systems in joint projects; and
- Recognize the need to consolidate legislative computer staff in order to meet the increased workload during KLISS implementation.

**Tuesday, December 15
Morning Session**

Jim Garner, Secretary, Kansas Department of Labor (KDOL), updated the Committee on the agency's Unemployment Insurance Modernization (UIM) project ([Attachment 6](#)). After giving a brief history of the project, which began in 2004, Mr. Garner noted that, after the design phase was completed by IBM, the project was changed to an incremental build-and-deploy approach, resulting in the Siebel upgrade for customer service; FileNet deployment for a paperless workflow process; and Genesys implementation, the foundation for a new call center management initiative. Responding to a question, Mr. Garner replied that the entire system will be completed within a year.

George Huptka, Chief Information Officer, KDOL, outlined the technical architecture for the project. He replied to a question that 90 percent of the agency's IT hardware uses the Windows operating systems, so it was logical to build the new system around Windows. Mr. Garner replied to another question that, with the new approach, the agency realizes benefits immediately as various modules are deployed. Mr. Garner traced the present accomplishments of the project and responded to further questions, saying that the foundation for a new Interactive Voice Response (IVR) was ready to be built and that even though multiple vendors have worked on the project, agency staff are being trained as the system is being built, enabling them to maintain the system when it is completed. Mr. Huptka responded to a question, stating that the \$2.7 million of operational costs were all internal costs. Mr. Garner replied that the new systems (Genesys, Siebel, and FileNet) are not being used by other agencies, but that the project and software could serve as a model for them. He replied that 40 percent of customers file claims online and that the percentage is expected to increase. He stated that the entire project will be completed on January 1, 2011, at a total cost of \$47 million. Members requested further information specifically identifying the costs associated with each phase of the project. Mr. Garner said he would supply a bond payment schedule later. He commented that the incremental approach minimizes risk, and an active change-management team and a dedicated testing manager further mitigate risk.

The Chairperson, in recognizing Roger Werholtz, Secretary, Kansas Department of Corrections (KDOC), commended him for receiving a national award as the top corrections administrator in the nation. Mr. Werholtz reviewed the progress on the agency's offender management project ([Attachment 7](#)). He reminded the Committee that the Department had planned to upgrade its two offender-management systems, but, at the request of the JCIT, prepared an enterprise architecture before proceeding. Although the enterprise architecture won a national award, the delay caused funding for the project to be diverted to meet other needs. Answering a question, he said the proposed project will cost \$8-\$9 million over four years. He requested that JCIT assist the agency in obtaining funding for the project, which is critical for tracking offenders through the criminal justice system. Responding to a suggestion by a Committee member that the state assume supervision of all corrections activities, Mr. Werholtz replied that four aspects of corrections—Probation, Parole, Community Corrections, and Court Services—receive funding from various sources: counties, the court system, and the state, a complexity that makes administration by the state difficult. He observed that such integration might appear to be more efficient operationally, but local ownership of programs would be lost.

Ken Orr, Consultant on Enterprise Architecture, noted that the enterprise architecture provides a ten-year road map and that the current obsolete systems will become increasingly expensive to maintain.

Kelly O'Brien, Judicial CITO, commented on the value of video conferencing and informed JCIT of the courts' intention to develop a video-conferencing system. He then briefed the Committee on a proposed project to initiate electronic filing for Kansas courts ([Attachment 8](#)). He explained that committees are presently determining the policies, procedures, and costs of creating an e-filing

system, which will be integrated with the courts' case management system (FullCourt). The system will include document imaging and electronic payments. Members of the Committee urged Mr. O'Brien to include in his preliminary deliberations the Kansas Bar Association and Shawnee and Johnson counties, the latter two of which have developed their own systems. Mr. O'Brien replied to a question that electronic payments include a 4 percent convenience fee. He said that the proposed project will begin in January 2010; a pilot program will begin in the fall of 2010.

Members expressed concern that filing fees take advantage of the public, create a disincentive for using online services, and produce a profit only for the credit-card companies. Representative Morrison suggested that, for agencies that employ a convenience fee, their budgets be reduced a corresponding amount.

A member noted that the state's transparency website, KanView, seems difficult to navigate and asked staff to determine if problems exist in maintaining the site.

Afternoon Session

Jeff Lewis, Chief Information Officer, SRS, reported on the agency's Human Services Management (HSM) project. He noted that an HSM Roadmap had been developed in order to effectively integrate all major programs supported by SRS, as well as to move from a program-driven approach to a client-centered, outcome-based environment (Attachment 9). Vendor Fox has completed a feasibility study and currently is implementing standards to enable SRS to integrate federal requirements, such as an Implementation Advance Planning Document (IAPD). SRS has already received approval for its Preliminary Advanced Planning Document. Currently Fox is developing an RFP for the first of four phases, which is called Avenues, a joint project with KHPA. Avenues, integrating a number of isolated programs, currently is awaiting funding. Mr. Lewis noted that KHPA has obtained federal funding for the Medical Program portion of Avenues. Answering a question, Mr. Lewis replied that HSM reflects the SIM Plan by not duplicating information across the enterprise and by integrating common business functions. He commented that, until funding becomes available, the agency will work on tactical projects. He replied to questions, saying that the next step for HSM is an architectural document and that any federal expansion of health care will affect other agencies like KHPA and the Kansas Department of Health and Environment more than it will SRS.

Barbara Langner, Acting Medicaid Director, KHPA, outlined the new project that Mr. Lewis referred to, the Kansas Access to Comprehensive Health (KATCH). KATCH is an expansion of the Children's Health Insurance Program and is being funded by a grant of \$40.3 million from the Health Resources and Services Administration, a division of the U.S. Department of Health and Human Services (Attachments 10 and 11). She said the project will expand access points to enroll eligible families by building community partnerships; it will simplify the eligibility verification process; and it will enable an online application/enrollment process. Answering questions, Darin Bodenhamer, KHPA, replied that since the proposed system is modular, other agencies could add functionality and use the system. He explained that, although the system platform could be employed by other agencies and the system is flexible enough to make data accessible to other agencies, the federal grant limits the system to expanding medical coverage for families. Mr. Lewis, responding to a funding query, replied that the new system will be compatible with Avenues, but the funding must be kept separate, since KHPA is required to administer the program. Mr. Bodenhamer replied to another question that the system will be integrated between KHPA and SRS, but that all other agencies desiring access to the system will need to build an interface. He said the KHPA IT staff are working regularly with the Kansas Information Technology Office (KITO), laying the groundwork to extend the system to other agencies.

Mike Hayden, Secretary, Kansas Department of Wildlife and Parks (KDWP), commenting on the current cabin reservation system and the boat registration system, explained that the agency plans to add a park reservation system ([Attachment 12](#)). Noting that four years ago KDWP, using a private vendor, created an on-line automated licensing system (KOALS) at no cost to the agency. He stated that the agency is considering renewing the existing contract for another five years. By using the current vendor, the cabin reservation system could be included under KOALS at no cost to KDWP, since user fees flow back to the vendor. Answering questions, Mr. Hayden said the agency is still weighing whether to use the current vendor or to use in-house staff, the latter of which would cost about \$20,000, take six months, and require two dedicated programmers. He explained that under the current system, the vendor owns the software. He replied that the vendor now owns Reserve America, which provides reservation services for 20 states and the federal park system.

Derek Welsh, Information Technology Director, Kansas Attorney General's Office (AG), introduced a new project begun by the agency to update its case-management system ([Attachment 13](#)). He commented that, after assessment and recommendations by a national management review team, the AG selected vendor LawBase/Synaptec. The system currently is being used by the Court of Tax Appeals, and the program is being purchased under the Court of Tax Appeals' contract. The two-phase project was begun in January 2009; completion date was pushed back two months and will be completed in August 2010. The project is being funded by federal grants and by court-cost funds.

Duncan Friend, Chairperson, Kansas Partnership for Accessible Technology (KPAT), Division of Information Systems and Communications (DISC), Kansas Department of Administration, provided a brief history of KPAT, which was established by Governor Sebelius's executive order on December 22, 2008 ([Attachment 14](#)). Mr. Friend then introduced Cole Robison, Director of Statewide Web/IT Accessibility, DISC, Kansas Department of Administration, who presented three KPAT initiatives for 2010:

- The procurement process for third-party vendors should include compliance with a standard IT accessibility policy statement;
- Reflecting ITEC Policy 1210, a baseline accessibility assessment needs to be made statewide to help agencies identify accessibility issues and offer remediation. A grant request of \$160,000 has been submitted to the Information Network of Kansas to accomplish this assessment; and
- KPAT intends to begin a pilot captioning project.

Mr. Friend recommended that, similar to other states' policy, a technical access clause be embedded in any IT contract. He noted that several states have statutorily established this procedure. He then distributed a bill draft creating such a procedure ([Attachment 15](#)). Responding to questions, Mr. Friend said the proposed legislation was based on model legislation but that the existence of KPAT is unique to Kansas. Anthony Fadale, ADA Coordinator for Kansas, commented on the "undue burden" exemption in Section 4. Members recommended that the proposed legislation be given to a Revisor to create a bill draft.

The Committee discussed further items to be included in the Committee report, often adding further comments on items discussed the previous day:

- Monitor the KHPA grant of \$40.3 million and encourage the agency to meet the SIM Plan directive for collaboration and sharing of resources;

- Study the impact that consolidating data centers would have on costs and IT integration. A Post Audit study on the topic has been approved but currently is on hold;
- Assure that the Committee be notified regarding negotiations between KDWP and vendor Active Outdoors;
- Continue to monitor the Kansas Department of Labor UIM project and request a detailed Phase 3 project plan. Also be assured that Independent Validation and Verification (IVV) is followed as the project goes forward;
- Develop some strategies to address the use of convenience fees by agencies, since such fees do not serve the public and create a disincentive for expanding electronic government;
- Acknowledge the grant to the Kansas Department of Commerce to extend broadband service in Kansas; and
- Commend the Kansas Board of Regents for its collaboration on IT security.

Wednesday, December 16 Morning Session

The Committee continued discussion of the Committee report. Items discussed were:

- Verify whether or not Reed Act funds have an upper limit for an agency such as KDOL;
- Identify Reed Act bond repayment details, including interest information;
- Request that Mary Grace, Director, KITO, provide further information on the \$3.8 million in KDOL's total cost for the UIM;
- Recommend that the Legislative Coordinating Council consider directing the Office of the Revisor of Statutes to craft statutory language to enable legislators to include personal or campaign information on their state-issued laptops, obviating the need for some legislators to juggle two laptops. Such a policy would also provide a common platform for KLISS;
- Monitor negotiations regarding buy-outs for a new computer lease contract; and
- No funding was provided for virtual committee rooms when the south wing of the Capitol was renovated. Mr. Heiman suggested that the KAN-Ed network might have funding available for installing hardware in the south wing committee rooms. The Committee expressed interest in such an initiative.

The meeting was adjourned. No further meeting was scheduled.

Submitted by Gary Deeter
Edited by Aaron Klaassen and Julian Efirid

Approved by the Committee on:

January 21, 2010
(Date)