Session of 2006

SENATE BILL No. 469

By Committee on Public Health and Welfare

1-27

AN ACT concerning the behavioral sciences regulatory board; relating to impaired licensees.

Be it enacted by the Legislature of the State of Kansas:

Section 1. (a) This section shall be known and may be cited as the

15 impaired licensee treatment behavioral sciences licensing and pre-16 vention act.

17 (b) As used in this section:

(1) "Board" means the behavioral sciences regulatory board.

(2) "Impairment" means a physical or mental condition, or both, that renders a licensee unable or unfit to practice with reasonable skill, safety or competence due to a physical or mental disability or incapacity, or both, including, but not limited to, deterioration through the aging process, loss of motor skill or abuse of drugs or alcohol licensee's conduct has resulted in the licensee being unable to practice the licensee's profession with reasonable skill and safety.

- (3) "Licensee" means an individual licensed by the behavioral sciences regulatory board.
- (4) "Impaired licensee treatment provider" means a board approved person, organization or program that evaluates, or causes to be evaluated, a licensee for impairment, and if warranted subsequently monitors the licensee for compliance with a course of treatment "Treatment contractor" means a professional organization or professional program that has contracted with the board to evaluate or cause to be evaluated a licensee for impairment and, if warranted, subsequently monitors the licensee for compliance with a course of treatment.
- (5) "Professional" means a board approved person licensed or registered by the behavioral sciences regulatory board, licensed by the board of healing arts, or certified as a drug and alcohol treatment program through the Kansas social and rehabilitation services, including an individual treatment provider.
- (5) "Reportable incident" means conduct by a licensee which (1) is or may be below the applicable standard of care; or (2) may be grounds for disciplinary action by the board.

- (c) (1) Any person may file a complaint or report with the board concerning any information or reasonable suspicion such person may have relating to an alleged impaired licensee. Additionally, any report or complaint the board receives alleging a violation of a statute or regulation under the board's jurisdiction may be preliminarily assessed for impairment issues if impairment reasonably appears to be a factor related to the reported conduct. Any person who has information relating to a reportable incident may file a complaint or a report with the board.
- The board may investigate the report or complaint. In the alternative or additionally, if the board has reasonable cause to believe that a licensee is impaired, the board may require the licensee who is the subject of the report or complaint to obtain a mental or physical evaluation, or both, from a board approved impaired licensee treatment provider or a board approved professional for the purpose of determining whether the licensee is impaired. The impaired licensee treatment provider may refer the licensee to a physician or other licensed mental or physical health professional for a mental or physical evaluation, or both, for the purpose of determining whether the licensee is impaired. Any costs associated with a licensee obtaining such an evaluation or evaluations shall be borne by the licensee. could be impaired, the board may refer the licensee to the treatment contractor for assessment for possible impairment. The treatment contractor may refer the licensee to a physician or other licensed mental health or physical health professional for evaluation for the purpose of determining a course of treatment and monitoring schedule. Any cost associated with the licensee obtaining such an evaluation or evaluations shall be borne by the licensee.
- (3) If the board requires a licensee to submit to such an evaluation or evaluations, the board shall receive and consider any other evaluation from one or more professionals of the licensee's choice. If the board refers the licensee to the treatment contractor, the board shall receive and consider the conclusions of the evaluation or evaluations from the treatment contractor. Any costs associated with a licensee obtaining such an evaluation or evaluations shall be borne by the licensee.
- (4) The impaired licensee treatment provider or the board approved professional shall report the findings of the mental or physical evaluation, or both, to the board.
- (d) (1) The board shall develop procedures for processing complaints or reports after receipt of the mental or physical evaluation, or both receiving the conclusions of the evaluation or evaluations from the treatment contractor. The procedures may vary depending on whether:
- (A) The initial complaint or report alleged a violation of a statute or regulation;
 - (B) an impairment is substantiated by the evaluation or evaluations;

- (C) an impairment, if substantiated, is likely to improve with a course of treatment; and
- (D) the licensee can practice with reasonable skill, safety and competence and safety during a course of treatment for the impairment.
- (2) If an impairment is substantiated, the board may, but is not required to, divert the matter from a disciplinary proceeding, and may take any of the following actions in accordance with the Kansas administrative procedure act:
- (A) Authorize the licensee to continue practicing on specified conditions, restrictions or limitations;
 - (B) suspend the license on specified conditions, restrictions or limitations; \boldsymbol{or}
- (C) cancel the license upon the licensee's voluntary surrender of the license; or
- (D) place the licensee on inactive status either by voluntary request of the licensee or by order of the board without a voluntary request of the licensee.
- (3) As an alternative to subsection (b), the board may take any authorized disciplinary action if a licensee's impairment is substantiated by clear and convincing evidence or if the licensee has violated any applicable statute or regulation under the board's jurisdiction.
- (4) Cost of any course of treatment required pursuant to subsection (b) or (c) shall be borne by the licensee.
- (5) If a licensee practices in violation of any action taken by the board under subsection (d)(2) or if the board receives a report from the impaired licensee treatment provider pursuant to subsection (e)(2)(C) or (e)(2)(D), the board may suspend or revoke the license after providing notice and an opportunity to be heard in accordance with the Kansas administrative procedure act.
- (e) (1) The board shall have the authority to enter into an agreement with an impaired licensee treatment provider or other professional a treatment contractor to undertake those functions and responsibilities specified in the agreement and to provide for payment of administrative expenses from moneys appropriated to the agency for that purpose. Such functions and responsibilities may include any or all of the following:
 - (A) Contracting with providers of treatment programs;
- 37 (B) receiving and evaluating reports of suspected impairment from 38 any source;
 - (C) intervening in cases of verified impairment;
 - (D) referring an impaired licensee to a treatment program or to a licensed mental or physical health professional;
- 42 (E) monitoring the treatment and rehabilitation of impaired 43 licensees;

- (F) providing post-treatment monitoring and support of rehabilitated impaired licensees; and
- (G) performing such other activities as agreed upon by the board and the impaired licensee treatment provider a treatment contractor.
- (2) The impaired licensee treatment provider or other professional treatment contractor shall develop procedures in consultation with the board for:
- (A) Periodic reporting of statistical information regarding impaired licensee program activity;
- (B) periodic disclosure and joint review of such information as the board considers appropriate regarding reports received, contacts, evaluations or investigations made and the disposition of each report;
- (C) immediate reporting to the board of the name and results of any contact or investigation regarding any impaired licensee who is believed to constitute an imminent danger to the public or to self;
- (D) reporting to the board, in a timely fashion, any impaired licensee who refuses to cooperate with the impaired licensee treatment provider or other professional treatment contractor or refuses to submit to treatment, or whose impairment is not substantially alleviated through treatment; and
- (E) informing each participant of the impaired licensee treatment provider's or other professional's treatment contractor's plan of the procedures, the responsibilities of participants and the possible consequences of noncompliance.
- (3) Notwithstanding any other provision of law, any person making a report or complaint to the board, an impaired licensee treatment provider or any other professional or treatment contractor shall not be liable to any person for any acts, omissions or recommendations made in good faith while acting within the scope of the authority granted or responsibilities imposed pursuant to this act.
- (f) (1) The reports and records made pursuant to this act, and amendments thereto, shall be confidential and privileged, including:
- (A) Reports and records of the board or an impaired licensee treatment provider or other professional treatment contractor; and
- (B) reports and records made pursuant to this act to or by any board committee, employee or any consultant. Such reports and records shall not be subject to discovery, subpoena or other means of legal compulsion for their release to any person or entity and shall not be admissible in any civil or administrative action other than a proceeding pursuant to subsection (d)(2) or (d)(4) or a disciplinary proceeding by the board pursuant to subsection (d)(3).
- (2) No person in attendance at any meeting of the board or board committee engaged in the duties imposed by this act and amendments

thereto shall be compelled to testify in any civil, criminal or administrative action, other than a proceeding pursuant to subsection (d)(2) or (d)(4) or a disciplinary proceeding by the board pursuant to subsection (d)(3), as to any board committee discussions or proceedings.

- (3) Nothing in this act shall limit the authority of the board to require an impaired licensee treatment provider or other professional to report to the board any mental or physical evaluation, action, recommendation or course of treatment of such impaired licensee treatment provider or other professional or to transfer to the board records and reports of such impaired licensee treatment provider's or other professional's proceedings or actions. Reports and records furnished to the board by any impaired licensee treatment provider or other professional treatment contractor shall not be subject to discovery, subpoena or other means of legal compulsion for their release to any person or entity and shall not be admissible in evidence in any judicial or administrative proceeding other than a proceeding pursuant to subsection (d)(2) or (d)(4) or a disciplinary proceeding by the board pursuant to subsection (d)(3).
- (4) A board committee or employee may report to and discuss its activities, information and findings with other committee members or employees without waiver of confidentiality or the privilege provided under this section, and the records of all such committees or employees relating to such report shall be confidential and privileged as provided under this section.
- (5) Meetings of the board or a board committee in which a licensee's impairment will be discussed may be conducted in a closed session.
- (g) No person or entity which, in good faith, reports or provides information or investigates any licensee as authorized by this act, and amendments thereto, shall be liable in a civil action for damages or other relief arising from the reporting, providing of information or investigation except upon clear and convincing evidence that the report or information was completely false, or that the investigation was based on false information, and that the falsity was actually known to the person making the report, providing the information or conducting the investigation at the time thereof.
- (h) (1) No person or entity shall be subject to liability in a civil action for failure to report as authorized by this act, and amendments thereto.
- (2) In no event shall the board, a board committee, an impaired licensec treatment provider or other professional or treatment contractor be liable in damages for the alleged failure to properly investigate, evaluate or act upon any report or complaint made pursuant to this act and amendments thereto.
- 42 (i) The board may deny, revoke, limit, condition or suspend any 43 license issued by the board in the event that the licensee, after being

referred to a treatment contractor, has failed to comply with the course of treatment and monitoring schedule related to an impairment that has reasonable probability of causing harm to a client or may be grounds for disciplinary action by the board.

- (i) (j) The board is authorized to adopt rules and regulations to implement the provisions of this act.
- Sec. 2. This act shall take effect and be in force from and after its publication in the statute book.