HOUSE BILL No. 2321

By Committee on Corrections and Juvenile Justice

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AN ACT concerning children; establishing a children's advocate; providing for the powers, duties and functions thereof.

Be it enacted by the Legislature of the State of Kansas:

Section 1. This act shall be known and cited as the children's advocate act.

Sec. 2. As used in this act:

- (a) "Administrative act" means an action, omission, decision, recommendation, practice or other procedure of the department of social and rehabilitation services related to foster care services.
- (b) "Advocate" means the children's advocate or any individual designated as an advocate who has received the training required under subsection (f) of section 6, and amendments thereto, and who has been designated by the children's advocate to carry out the powers, duties and functions of the office of the children's advocate.
 - (c) "Child" means a person who is less than 19 years of age.
- (d) "Conflict of interest" means (1) having a pecuniary or other interest in a child placement agency, child care resource and referral agency or child care facility; and (2) being actively employed or otherwise having active involvement in representation of or advocacy for any child placement agency, child care resource and referral agency or child care facility, whether or not such representation or advocacy is individual or through an association or other entity.
- (e) "Family foster home" means a private home in which care is given for 24 hours a day for a small number of children away from their parent or guardian and which is licensed under K.A.R. 28-4-311 *et seq.* and shall not include a family day care home as defined in K.S.A. 65-517, and amendments thereto.
- (f) "Foster child" means a child under 16 years of age who is living away from such child's parent or guardian with persons who are neither such child's relative nor legal guardian.
 - (g) "Office" means the office of the children's advocate.
- (h) "Person" means any individual, association, partnership, corporation, government, governmental subdivision or other entity.
 - (i) "Volunteer advocate" means an individual who has satisfactorily

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completed the training prescribed by the children's advocate under section 6, and amendments thereto, who is a volunteer assisting in providing advocate services and who receives no payment for such service other than reimbursement for expenses incurred in accordance with guidelines adopted therefor by the children's advocate.

- Sec. 3. (a) There is hereby established the office of children's advocate within the legislative branch of government. The children's advocate shall be elected by a ½ vote in each house of the legislature. The office of children's advocate shall be under the direct supervision of the legislative coordinating council.
- (b) The children's advocate shall serve for a term of two years and until such advocate's successor is appointed and qualified. Such advocate may be reappointed for additional terms. The initial children's advocate shall be appointed to serve until the January 2005 session during which the first two-year term children's advocate shall be appointed with subsequent appointments being made every two years.
- (c) The legislature by a ½ vote in each house may remove the children's advocate from office but only for mental or physical incapacity to perform the duties of the office or other grounds sufficient for removal of a judge from state court. If the position of children's advocate becomes vacant for some reason, the deputy children's advocate shall serve as acting children's advocate until a children's advocate is appointed and qualified.
- Sec. 4. (a) No person shall be eligible to be appointed to or to hold, the office of children's advocate if such person is subject to a conflict of interest. No person shall be eligible for appointment as children's advocate unless such person has:
- (1) A baccalaureate or higher degree from an accredited college or university;
- (2) demonstrated abilities to analyze problems of law, administration and public policy; and
 - (3) experience in investigation and conflict resolution procedures.
- (b) The children's advocate shall administer the office of the children's advocate in accordance with the provisions of this act.
- (c) Upon appointment as children's advocate, such advocate shall select and appoint a person as deputy children's advocate.
- (d) The children's advocate may delegate to staff members any authority, power or duty except this power of delegation and such children's advocate's duty to make any report to the legislature or governor under this act. However, the children's advocate may authorize the deputy children's advocate to act in the children's advocate's stead in the event of illness, absence, leave or disability or when, in the children's advocate's sole discretion, an appearance of impropriety or partiality or a conflict of

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 interest prevents such advocate from discharging such advocate's duty in a particular matter.

- (e) The office of the children's advocate shall oversee and investigate complaints regarding foster care of children in the custody of the state and shall perform such other duties as may be provided.
- Sec. 5. (a) Employees in the office of children's advocate shall be in the unclassified service, shall receive such compensation as is provided under this act and shall be covered by the state group health plan and Kansas public employees retirement system to the same extent as other state employees. Employees of the office of children's advocate shall receive travel expenses and subsistence expenses and allowances as provided for other state employees.
- (b) Employees in the office of children's advocate shall be employed by and be responsible to the children's advocate who shall fix the compensation of each employee subject to the approval of the legislative coordinating council and within budget and appropriations therefor. The annual budget request of the office shall be prepared by the children's advocate and presented to the legislative coordinating council. Such council shall make any changes it desires in the budget request and upon approval of the budget request by the council, the children's advocate shall submit such budget to the director of the budget as other budget requests are submitted.
- (c) All officers and employees of the office of children's advocate shall serve at the pleasure of the children's advocate.
 - Sec. 6. The children's advocate shall:
- (a) Establish procedures for receiving and processing complaints from complainants, conducting investigations, holding hearings and reporting findings resulting from investigation;
- (b) investigate and resolve complaints made by or on behalf of a foster child relating to action, inaction or decisions of the foster home or contractor or an administrative act of an agency, without regard to the finality of the act;
- (c) develop continuing programs to inform children, their family members or other persons of the rights and responsibilities of the child, family members or other persons regarding such foster children;
- (d) provide the legislature and the governor with an annual report containing data, findings and outcomes regarding the types of problems experienced and complaints received by or on behalf of foster children and containing policy, regulatory and legislative recommendations to solve such problems, resolve such complaints and improve the quality of care and life of a foster child when placed under the state's care and shall present such report and other appropriate information and recommendations to the senate committee on public health and welfare, the senate

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committee on ways and means, the house of representatives committee on health and human services and the house of representatives committee on appropriations during each regular session of the legislature;

- (e) analyze and monitor the development and implementation of federal, state and local government laws, rules and regulations, resolutions, ordinances and policies with respect to foster children in the care of the state and services provided in this state, and recommend any changes in such laws, rules and regulations, resolutions, ordinances and policies deemed by the office to be appropriate;
- (f) prescribe and provide for the training of each children's advocate and any individual designated as an advocate under subsection (h), and any individual who is an advocate volunteer in (1) federal, state and local laws, rules and regulations, resolutions, ordinances and policies with respect to foster children in Kansas, (2) investigative techniques, and (3) such other matters as the state children's advocate deems appropriate;
- (g) authorize an individual, who is an employee of the office and who has satisfactorily completed the training prescribed by the children's advocate under subsection (f), to be an advocate or a volunteer advocate and to be a representative of the office and such an authorized individual shall be deemed to be a representative of the office for the purposes of and subject to the provisions of the children's advocate act;
- (h) establish and maintain a system to recruit and train individuals to become volunteer advocates;
- (i) develop and implement procedures for authorizing and for withdrawing the authorization of individuals to be advocates or volunteer advocates to represent the office in providing advocate services;
- (j) provide services to foster children in the care of the state throughout the state directly or through service providers to meet needs for advocate services;
- (k) collaborate with the department of health and environment and the department of social and rehabilitation services to establish a statewide system to collect and analyze information on complaints about the state foster care system;
- (l) undertake, participate in or cooperate with persons and agencies in such conferences, inquiries, meetings or studies which might improve the functioning of agencies regarding state foster care or lessen the risks that objectionable administrative acts will occur;
- (m) have access to and examine and copy, without payment of a fee, any agency records, including records that are confidential by state law;
- (n) enter and inspect the premises of any agency, including any foster care home or facility;
- (o) subpoena any person to appear, to give sworn testimony or to produce documentary or other evidence that is reasonably relevant to the

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matters under investigation;

- (p) maintain confidentiality regarding any matter related to complaints and investigations, including the identities of the complaints and witnesses, except as the children's advocate deems necessary to fulfill the duties of such advocate's office;
- $\rm (q)~$ adopt, promulgate, amend and rescind rules and regulations required for the discharge of the office of children's advocate's duties; and
 - (r) perform such other duties and functions as may be provided.
- Sec. 7. For the purposes of carrying out the powers and duties of the office of the children's advocate, such advocate may request and accept a grant or donation from any person, firm, association or corporation or from any federal, state or local governmental agency and may enter into contracts or other transactions with any such person or entity in connection with the grant or donation.
- Sec. 8. Upon receipt of a complaint or on its own initiative, the advocate may:
- (a) Investigate an administrative act or policy of a foster care home, agency or contractor that is alleged to be contrary to law, rules and regulations or imposed without an adequate statement of reason or based on irrelevant, immaterial or erroneous grounds;
 - (b) decide, in its discretion, whether to investigate a complaint;
- (c) conduct a preliminary investigation to determine whether the foster care home, contractor or agency may have committed an act that is alleged to be contrary to law; and
- (d) hold informal hearings and request that persons appear before the advocate and give testimony or produce documentary or other evidence the advocate considers relevant to the matter under investigation.
- Sec. 9. (a) Upon rendering a decision to investigate a complaint the advocate shall notify the complainant of the decision to investigate and shall notify the state foster care home, contractor or agency and any other pertinent state entity referred to in the complaint of the decision to investigate the matter. If the advocate declines to investigate a complaint or continue an investigation, the advocate shall notify the complainant and the other person involved in the decision and the reasons for the decision.
- (b) The advocate may advise a complainant to pursue all administrative remedies or channels of complaint open to the complainant before pursuing a complaint with the advocate's office. Subsequent to the administrative processing of a complaint, the advocate may conduct further investigations of any complaint upon the request of the complainant or on the advocate's own initiative.
- (c) If the advocate finds in the course of an investigation that a person's action is in violation of state or federal criminal law, the advocate

shall report that fact to the county or district attorney or the attorney general. If the complaint is against a state foster care home, the advocate shall refer the matter to the department of social and rehabilitation services for further action with respect to licensing.

- Sec. 10. (a) The department of social and rehabilitation services, foster care contractor and a foster care home shall:
- (1) Upon the advocate's request, grant the advocate or advocate's designee access to all relevant information, records, including the child's medical records, and documents in the possession of such entities that the advocate considers necessary in an investigation;
- (2) assist the advocate upon request with progress reports concerning the administrative processing of a complaint; and
- (3) provide the advocate upon request with progress reports concerning the administrative processing of a complaint.
- (b) The department of social and rehabilitation services, foster care home, or foster care contractor shall provide information to a biological parent, prospective adoptive parent, foster parent or other interested party, as defined pursuant to the Kansas code for care of children regarding the provisions of this act.
- Sec. 11. (a) The advocate shall prepare a report of the findings of an investigation and make recommendations to the department of social and rehabilitation services if the advocate finds one or more of the following:
 - (1) A matter should be further considered by the department;
 - (2) an administrative act should be modified or canceled;
 - (3) reasons should be given for an administrative act; or
- (4) other actions should be taken by the department, foster care home or foster care contractor.
- (b) Before announcing a finding or recommendation that expressly or by implication criticizes a person, the advocate shall consult with that person. When publishing an opinion adverse to the department, foster care home or foster care contractor, the advocate shall include in the opinion any statement made to the advocate by the department, foster care home, foster care contractor or other state entity in defense or mitigation of the action. The advocate may request to be notified by the department, foster care home, foster care contractor or other state entity, within a specified time, of any action taken on any recommendation presented.
- (c) The advocate shall notify the complainant of the actions taken by the advocate and the department, foster care home, foster care contractor or other state entity.
- (d) The advocate shall provide the complainant with a copy of the advocate's recommendations on a complaint.
 - Sec. 12. (a) No person shall willfully interfere with any lawful action

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or activity of an advocate or a volunteer advocate, including the request for immediate entry into a foster care home or other state entity.

- (b) No person shall take any discriminatory, disciplinary or retaliatory action against any foster parent, person, officer, employee of a foster care home or against any child or any guardian or family member thereof for any communication by any such individual with an advocate or a volunteer advocate or for any information given or disclosed by such individual in good faith to aid the office in carrying out its duties and responsibilities.
- (c) Any person that violates the provisions of subsection (a) or (b) shall be guilty of a class C nonperson misdemeanor.
- Sec. 13. The following persons may make a complaint to the advocate concerning a child alleging that an administrative act is contrary to law, rules and regulations, policy, imposed without an adequate statement of reason or based on irrelevant, immaterial or erroneous grounds:
 - (a) The foster child if such child is able to articulate a complaint;
 - (b) a biological or adoptive parent of the foster child;
 - (c) a foster parent of the foster child;
 - (d) a prospective adoptive parent of the foster child;
 - (e) a legally appointed guardian or conservator of the foster child;
 - (f) a guardian ad litem of the foster child;
- (g) an adult who is related to the foster child or child to the fifth degree by marriage, blood or adoption;
 - (h) a Kansas legislator;
- (i) an attorney for any person described in subsection (a) through (g);
 - (j) the advocate upon the advocate's own initiative.
- Sec. 14. No individual shall investigate any complaint filed with the office of the children's advocate unless the individual has received the training required and designated by the children's advocate as an advocate or a voluntary advocate qualified to investigate such complaints.
- Sec. 15. A volunteer advocate shall have access to the plan of care and other records or documents kept for or concerning the resident to the same extent and under the same circumstances as an advocate under this section, except that a volunteer advocate shall not have access to any such other records and documents that are privileged medical records.
- Sec. 16. (a) An advocate or a volunteer advocate is hereby authorized to enter any foster care home and any area within such home at any time with or without prior notice and shall have access to the child of a foster care home at all times.
- (b) An advocate or a volunteer advocate shall notify immediately the person in charge of a foster care home upon arrival and shall present appropriate identification.
 - (c) A foster child shall have the right to request, deny or terminate

 visits with an advocate or a volunteer advocate.

Sec. 17. All information, records and reports received by or developed by an advocate or a volunteer advocate which relate to a foster child in a foster care home or other state entity, including written material identifying a foster child or other complainant, are confidential and not subject to the provisions of K.S.A. 45-216 to 45-220, and amendments thereto, and shall not be disclosed or released by an advocate or a volunteer advocate, either by name of the foster child or other complainant or of facts which allow the identity of the foster child or other complainant to be inferred, except upon the order of a court or unless the foster child's legal representative or other complainant consents in writing to such disclosure or release by an advocate or a volunteer advocate, except the children's advocate shall forward to the secretary of health and environment and the secretary of social and rehabilitation services copies of reports received by the children's advocate relating to the health and safety of a foster child. A summary report and findings shall be forwarded to the appropriate person, exclusive of information or material that identifies a foster child or any other individuals.

Sec. 18. An advocate shall have access to all records and documents kept by the department of health and environment, the department of social and rehabilitation services and foster care home concerning the following matters: (a) Licensure of foster care homes dealing with foster children in state care; (b) certification of such homes dealing with foster children in state care; (c) public funding reimbursement for the care of foster children of such homes dealing with foster children; (d) utilization and medical review records; and (e) complaints regarding care of foster children of such foster care homes. The provisions of this section shall not apply to a volunteer advocate.

Sec. 19. The authority granted the advocate under this act is in addition to the authority granted under the provisions of any rule and regulation or other act or rule and regulation under which the remedy or right of appeal or objection is provided for a person, or any procedure provided for the inquiry into or investigation of any matter. The authority granted the advocate does not limit or affect the remedy or right of appeal or objection and is not an exclusive remedy or procedure.

Sec. 20. (a) On the effective date of this act, all of the powers, duties, functions, records and property of the office of the secretary of social and rehabilitation services, which are prescribed for the office of the children's advocate by this act, are hereby transferred to and conferred and imposed upon the office of the children's advocate, including the power to expend funds now or hereafter made available in accordance with appropriation acts, are hereby transferred to and conferred and imposed upon the office of the children's advocate established by this act, except

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as is otherwise specifically provided by this act.

- (b) The office of the children's advocate established by this section shall be the successor in every way to the powers, duties and functions of the office of the secretary of social and rehabilitation services in which such powers, duties and functions were vested prior to the effective date of this act, except as otherwise specifically provided by this act. Every act performed under the authority of the office of the children's advocate established by this act shall be deemed to have the same force and effect as if performed by the office of the secretary of social and rehabilitation services in which such powers, duties and functions were vested prior to the effective date of this act.
- (c) Subject to the provisions of this act, whenever the office of the secretary of social and rehabilitation services or words of like effect, is referred to or designated by a statute, contract or other document, and such reference or designation relates to a power, duty or function which is transferred to and conferred and imposed upon the office of the children's advocate that is established by this act, such reference or designation shall be deemed to apply to the office of the children's advocate established by this act.
- (d) All policies, orders or directives of the office of the secretary of social and rehabilitation services transferred to and conferred and imposed upon the children's advocate which are in existence on the effective date of this act shall continue to be effective and shall be deemed to be the policies, orders or directives of the children's advocate established by this act, until revised, amended or revoked or nullified pursuant to law. The office of the children's advocate established by this act shall be deemed to be a continuation of the secretary of social and rehabilitation services concerning children which are transferred, conferred and imposed upon the children's advocate.
- (e) (1) The children's advocate and the secretary of administration shall provide that all officers and employees of the secretary of social and rehabilitation services, who are engaged in the exercise and performance of the powers, duties and functions of the programs of the office of the children's advocate that are transferred by this act, are transferred to the office of the children's advocate established by this section.
- (2) Officers and employees of the department of social and rehabilitation services transferred under this act shall retain all retirement benefits and leave rights which had accrued or vested prior to each date of transfer. The service of each officer or employee so transferred shall be deemed to be continuous. All transfers, layoffs and abolition of classified service positions under the Kansas civil service act which may result from program transfers under this act shall be made in accordance with the civil service laws and any rules and regulations adopted thereunder. Noth-

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ing in this act shall affect the classified status of any person transferred to the office of the children's advocate prior to the date of transfer.

- (3) If the children's advocate and the secretary of social and rehabilitation services cannot agree as to how any transfer of an officer or employee is to take place under this section, the children's advocate and the secretary of administration shall be responsible for administering any layoff that is part of the transfer in accordance with this act.
- (4) Notwithstanding the effective date of this act, the provisions of this act prescribing the transfer of officers and employees between the office of the children's advocate established by this section and the department of social and rehabilitation services, the date of transfer of each such officer or employee shall commence at the start of a payroll period.
- Sec. 21. (a) The children's advocate shall ensure that:
 (1) No individual involved in the authorization of any individual to represent the office as an advocate or a volunteer advocate is subject to a conflict of interest;
- (2) no officer, employee or other representative of the office is subject to a conflict of interest;
- (3) policies and procedures are in place to identify and remedy all conflicts of interest specified under paragraphs (1) and (2);
- (4) legal counsel is available to the office for advice and consultation and that legal representation is provided to any advocate against whom suit or other legal action is brought in connection with the performance of the advocate's official duties; and
- (5) the office has the ability to pursue administrative, legal and other appropriate remedies on behalf of a child or such child's parent who receives support payments through the Kansas payment center or whose child is in the custody of the state and subject to the processes of a foster care facility or home, or other programs, office or process involving children whose welfare has been placed under the state's jurisdiction.
- (b) The children's advocate may enter into contracts with service providers to provide investigative, legal, public education, training or other services as may be required to assist the children's advocate in providing advocate services to foster children whose welfare has been assumed by the state or as otherwise required to carry out the powers, duties and functions of the office. Contracts entered into under this subsection shall not be subject to the competitive bidding requirements of K.S.A. 75-3739, and amendments thereto. No contract may be entered into by the children's advocate to privatize the office or to otherwise provide that all or substantially all of the advocate services or functions of the office are to be performed by one or more service providers.
- Sec. 22. (a) Records of the office of children's advocate included under the provisions of this act shall not be disclosed directly or indirectly

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to any person except as authorized by the children's advocate or such person's designee.

- (b) No documents relating to complaints, investigations or studies in the possession of the children's advocate or any employee of the children's advocate shall be read, copied or taken by any officer or employee of the state of Kansas except as authorized by the children's advocate or such person's designee.
- Sec. 23. This act shall take effect and be in force from and after its publication in the statute book.