HOUSE BILL No. 2170

By Committee on Health and Human Services

2-4

AN ACT concerning the behavioral sciences regulatory board; relating to professions regulated by the board; privileged and confidential communications; amending K.S.A. 65-6315 and repealing the existing section; also repealing K.S.A. 65-5810, 65-6410, 74-5323 and 74-5372.

Be it enacted by the Legislature of the State of Kansas:

New Section 1. (a) As used in this section:

- (1) "Ancillary personnel" means any employee or supervisee of a licensee or any employee of the licensee's practice setting.
- (2) "Client" means a person who receives professional services from a licensee.
- (3) "Confidential communication" means any form of information transmitted in confidence by action or declaration between a client and licensee or ancillary personnel, or generated in confidence by a client, licensee or ancillary personnel in the course of professional services. Confidential communication shall include communications between a licensee and another licensee or other health care provider.
- (4) "Licensee" means any person licensed by the behavioral sciences regulatory board with the exception of baccalaureate social workers and associate social workers.
 - (5) "Personal representative of a client" means:
 - (A) A parent of a minor client;
 - (B) a guardian, guardian ad litem or conservator of a client;
- (C) an executor, administrator or other legal representative of a deceased client's estate; or
 - (D) an attorney or other person designated in writing by a client; or
 - (E) any other person authorized by law.
- (6) "Professional services" means services provided by a licensee to a client within the licensee's authorized scope of practice.
- (b) A client of a licensee has a privilege to prevent a licensee or ancillary personnel from testifying or otherwise disclosing any confidential communication or that the client has been or is currently receiving professional services.
- (c) The client's privilege under subsection (b) extends to communications made by those persons participating in professional services of the

1 2

client, including members of the client's family.

- (d) The privilege under subsection (b) may be claimed by the client or by the personal representative of a client. The licensee shall claim the privilege on behalf of the client unless the client or personal representative of the client has made a written waiver of the privilege that has been provided to the licensee or unless one of the exceptions provided by subsection (e) is applicable.
 - (e) The client's privilege under subsection (b) shall not extend to:
- (1) A legal proceeding to involuntarily commit the client to in-patient or out-patient treatment for mental illness, alcoholism or drug dependency;
- (2) an examination or evaluation of the psychological, mental, alcohol or drug dependency, personality or emotional condition of the client which is conducted pursuant to an order entered by a judge;
- (3) a court ordered examination or evaluation concerning the psychological or emotional development or needs of a child pursuant to K.S.A. 38-1514 and amendments thereto;
- (4) any legal proceeding in which the psychological, mental, alcohol or drug dependency, personality or emotional condition of the client is an element or factor of the claim or defense of the client, or, after the client's death, in any proceeding in which any party relies upon any of the client's conditions as an element of a claim or defense;
- (5) information that the licensee is required by law to report to a public official, including but not limited to, child abuse pursuant to K.S.A. 38-1522 and amendments thereto, adult abuse pursuant to K.S.A. 39-1431 and amendments thereto and resident abuse pursuant to K.S.A. 39-1402 and amendments thereto;
- (6) information necessary for the emergency treatment of a client. In such circumstances the licensee shall document the reasons for disclosure of the communication and make such statement a part of the licensee's treatment record of the client;
- (7) information necessary to protect a person who has been threatened with physical harm or serious bodily injury by a client;
- (8) any information to any state or national accreditation or certification or licensing authority for audit or evaluation purposes, but the licensee shall obtain, before such disclosure is made, written assurance that the name of any client or former client shall not be disclosed to any person not otherwise authorized by law to receive such information;
- (9) any information which is required by state law or regulation, or federal law or federal regulations to be available for audit, evaluation or other legally authorized purposes and (A) which concerns individuals who reside in or are receiving services from a treatment facility or (B) which concerns individuals who are receiving professional services from a

licensee;

- (10) any information relevant to the collection of a bill for professional services rendered by a licensee;
- (11) any information sought by a coroner serving under the laws of Kansas when such information is material to an investigation or proceeding conducted by the coroner in the performance of such coroner's official duties:
- (12) any information relevant to investigation or adjudication of an alleged violation of the act, or rules and regulations adopted thereunder, under which the licensee practices;
- (13) if authorized by this act or as otherwise provided by Kansas law, health care information as permitted to be used or disclosed pursuant to federal standards for privacy of individually identifiable health information, 42 C.F.R. part 160 *et seq.* and amendments thereto and 42 C.F.R. 164 *et seq.* and amendments thereto;
- (14) drug or alcohol abuse client records as permitted to be used or disclosed pursuant to federal regulations concerning the confidentiality of such records, 42 C.F.R. part 2; or
- (15) any information that pertains to criminal conduct that occurred where the licensee practices or against the licensee or ancillary personnel; or
 - (16) any information that a client intends to commit a crime.
- (f) Nothing in this section or in this act shall be construed to prohibit any licensee from seeking collaboration or consultation on behalf of a client with professional colleagues or administrative superiors, or both, within an agency, institution or organization where the licensee is employed.
- (g) Nothing in this section or in this act shall be construed to prohibit any licensee from testifying in court hearings concerning matters of resident abuse, adult abuse, adoption, child abuse, child neglect or severance of parental rights.
- Sec. 2. K.S.A. 65-6315 is hereby amended to read as follows: 65-6315. (a) No licensed social work associate or licensed baccalaureate social worker, secretary, stenographer or clerk of a licensed social work associate or licensed baccalaureate social worker or anyone who participates in delivery of social work services or anyone working under supervision of a licensed social worker may disclose any information such person may have acquired from persons consulting such person in the person's professional capacity or be compelled to disclose such information except:
- (1) With the written consent of the client, or in the case of death or disability, of the personal representative of the client, other person authorized to sue or the beneficiary of an insurance policy on the client's life, health or physical condition;

- (2) when the person is a child under the age of 18 years and the information acquired by the licensed social worker indicated that the child was the victim or subject of a crime, the licensed social worker may be required to testify fully in relation thereto upon any examination, trial or other proceeding in which the commission of such a crime is a subject of inquiry;
- (3) when the person waives the privilege by bringing charges against the licensed social worker but only to the extent that such information is relevant under the circumstances.
- (b) The confidential relations and communications between a licensed master social worker's or a licensed specialist clinical social worker's client are placed on the same basis as provided by law for those between an attorney and an attorney's client.
- $\overline{}$ (e) (b) Nothing in this section or in this act shall be construed to prohibit any licensed *baccalaureate* social worker *or licensed associate* social worker from testifying in court hearings concerning matters of adult abuse, adoption, child abuse, child neglect, or other matters pertaining to the welfare of children or from seeking collaboration or consultation with professional colleagues or administrative superiors, or both, on behalf of the client. There is no privilege under this section for information which is required to be reported to a public official.
- Sec. 3. K.S.A. 65-5810, 65-6315, 65-6410, 74-5323 and 74-5372 are hereby repealed.
- Sec. 4. This act shall take effect and be in force from and after its publication in the statute book.