

DEPARTMENT OF CORRECTIONS

	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Operating Expenditures:					
State General Fund	\$ 400,774,314	\$ 429,359,614	\$ 390,919,578	\$ 442,753,353	\$ 406,989,538
Other Funds	35,806,902	40,952,762	69,118,695	34,443,411	44,443,411
<i>Subtotal</i>	<i>\$ 436,581,216</i>	<i>\$ 470,312,376</i>	<i>\$ 460,038,273</i>	<i>\$ 477,196,764</i>	<i>\$ 451,432,949</i>
Capital Improvements:					
State General Fund	\$ 886,378	\$ 7,208,190	\$ 7,208,190	\$ 6,089,218	\$ 1,936,732
Other Funds	10,541,355	10,805,904	11,591,369	7,187,040	13,276,258
<i>Subtotal</i>	<i>\$ 11,427,733</i>	<i>\$ 18,014,094</i>	<i>\$ 18,799,559</i>	<i>\$ 13,276,258</i>	<i>\$ 15,212,990</i>
TOTAL	<u>\$ 448,008,949</u>	<u>\$ 488,326,470</u>	<u>\$ 478,837,832</u>	<u>\$ 490,473,022</u>	<u>\$ 466,645,939</u>
Percentage Change:					
Operating Expenditures					
State General Fund	9.4 %	7.1 %	(2.5) %	3.1 %	4.1 %
All Funds	9.9	7.7	5.4	1.5	(1.9)
FTE Positions	3,438.0	3,364.5	3,364.5	3,333.5	3,333.5

For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

AGENCY OVERVIEW

The Kansas Department of Corrections (KDOC) provides supervision to adult felony offenders in the state, including inmates incarcerated in one of the eight adult correctional facilities, postrelease supervision (parole), and community-based supervision (probation and treatment-oriented sentencing). The system consists of the KDOC Central Office, eight adult correctional facilities, and the Kansas Juvenile Corrections Complex. While all components are independent agencies, the system structure allows for some commonly utilized items to be budgeted in a central location (e.g., the inmate health care contract). In fiscal year (FY) 2014, the KDOC added two juvenile correctional facilities into its system and abolished the Juvenile Justice Authority (JJA). The annual appropriations bill grants the Secretary of Corrections the authority to transfer State General Fund (SGF) dollars between the facilities and the Central Office. In 2017, the Larned Juvenile Correctional Complex was closed. All youth housed at the facility were transferred to the Kansas Juvenile Correctional Complex.

MAJOR ISSUES FROM PRIOR YEARS

The **2013 Legislature** approved Executive Reorganization Order (ERO) No. 42, which abolished the Juvenile Justice Authority and moved all functions, duties, and the commissioner

of the JJA into KDOC underneath the Secretary of Corrections. ERO 42 added \$63.8 million, including \$48.8 million SGF, and 18.0 FTE positions for FY 2014.

The **2016 Legislature** passed SB 367 and HB 2447; both were signed by the Governor. SB 367 changed the law in several ways, one of which was creating the Kansas Juvenile Justice Improvement Fund. The Fund is to be used for the development and implementation of evidence-based community programs and practices for juvenile offenders and their families by community supervision offices, including juvenile intake and assessment, court services, and community corrections. On or before June 30 of each year, the Secretary of Corrections must determine and certify to the Director of Accounts and Reports the amount in each account of the SGF of a state agency the Secretary has determined is an actual or projected cost savings due to cost avoidance from decreased reliance on incarceration in a juvenile correctional facility or youth residential center, with a baseline calculated on the cost of incarceration and placement in FY 2015. HB 2447 increased the maximum number of days an inmate's sentence may be shortened for earning program credits from 90 to 120 days.

The **2017 Legislature** added language allowing the agency to enter into a lease-purchase agreement for the demolition, design, and construction of a new facility at Lansing Correctional Facility (LCF), or, if more cost-effective, allow the agency to bond with the Kansas Development Finance Authority to demolish, design, and construct a correctional institution at LCF, capping expenditures related to the project at \$155.0 million. The Legislature passed SB 42, which deleted the Kansas Juvenile Justice Improvement Fund and replaced it with an SGF account named the Evidence Based Juvenile Program Account.

In **2018**, the State Finance Council approved plans for the agency to enter into a lease-purchase agreement for the demolition, design, and construction of a new facility at LCF.

The **2018 Legislature** passed SB 328, which requires prior legislative authorization for any state agency to enter into any agreement or take any action to outsource or privatize security operations of any correctional or juvenile correctional facility operated by a state agency. The bill applies to security operations or job classifications and duties associated with a security operation of correctional or juvenile correctional facilities.

The **2019 Legislature** passed HB 2290, which established the Kansas Criminal Justice Reform Commission to address issues involving the Kansas criminal justice system, such as sentencing guidelines, evidence-based offender programming, information data systems, and KDOC policies regarding geriatric, health care, and substance abuse treatment. The Legislature added \$6.0 million for evidence-based programs in FY 2019. The Legislature added \$4.5 million for hepatitis C treatment and \$11.6 million for correctional officer salary adjustments for FY 2020. The Legislature also added \$16.5 million for inmate outsourcing to non-state facilities, with \$11.0 million pending approval of the State Finance Council, for FY 2020.

The **2020 Legislature** passed HR 6032, which disapproved ERO No. 44. The ERO proposed to combine the Department for Aging and Disability Services, Juvenile Services from KDOC, and oversight of the Kansas Juvenile Correctional Complex, with the Department for Children and Families to form a new agency named the Department of Human Services. The Legislature approved a budget that added \$6.1 million SGF in FY 2020 and \$7.2 million SGF in FY 2021 for capacity expansion projects at the Lansing and Winfield correctional facilities.

The Legislature ratified the Governor's emergency declaration in response to the COVID-19 pandemic, which resulted in periodic closures of state offices to mitigate disease spread. Furthermore, guidance from the federal Centers for Disease Control and Prevention

indicated that congregated populations at correctional facilities were vulnerable to COVID-19 infections among residents and staff due to close proximity and underlying conditions.

The Legislature also passed SB 102, which allowed for the delay of court proceedings in response to the COVID-19 pandemic. The delay resulted in an unforeseen decrease in the prison population. The Legislature also passed 2020 Special Session HB 2016, which appropriated federal relief funds provided in the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act to aid in response to the pandemic. The largest of these funds was the federal Coronavirus Relief Fund, which was allocated by the Governor's Strengthening People and Revitalizing Kansas (SPARK) Taskforce, with approval by the State Finance Council.

BUDGET SUMMARY AND KEY POINTS

FY 2021 – Current Year. The **agencies** request a revised estimate of \$488.3 million, including \$436.6 million from the State General Fund (SGF), for the entire KDOC System in FY 2021. This is an all funds decrease of \$25.2 million, or 4.9 percent, and an SGF decrease of \$32.1 million, or 6.8 percent, below the FY 2021 approved amount. The revised estimate includes 3,364.5 FTE positions for the KDOC System, which is an increase of 22.0 FTE positions above the FY 2021 approved amount. The position increase is mainly temporary correctional officer positions at the Larned Correctional Mental Health Facility (LCMHF) to support a temporary COVID-19 intake isolation unit at the former Larned Juvenile Correctional Facility.

The agencies request revised operating budgets totaling \$470.3 million, including \$429.4 million SGF, in FY 2021. This is an all funds decrease of \$27.4 million, or 5.5 percent, and an SGF decrease of \$32.1 million, or 7.0 percent, below the FY 2021 approved amount. The decrease is primarily attributable to decreased expenditures from the Evidence-Based Juvenile Programs account (\$42.2 million) to align with the Governor's proposed allotment plan. Such an allotment requires legislative approval pursuant to KSA 75-3722. The decrease is partially offset by increased expenditures for the agencies' supplemental requests to fully fund the correctional system's medical services contract (\$9.4 million) and the food service contract (\$665,185). The agency budgeted \$10.6 million for contracted beds at the Saguaro Correctional Facility in Eloy, Arizona, and county jails. Additionally, the agencies budgeted \$1.5 million, all from a federal grant, to use the former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit for adult male offenders.

The FY 2021 revised estimate includes capital improvements expenditures for the KDOC System of \$18.0 million, including \$7.2 million SGF. This is an all funds increase of \$2.2 million, or 13.9 percent, and an SGF decrease of \$22,718, or 0.3 percent, from the FY 2021 approved amount. The increase is primarily attributed to increased expenditures, all from special revenue funds, for routine repair and rehabilitation projects at correctional facilities (\$4.3 million). The agency budgeted \$7.2 million SGF in first-year expenditures for two-year capacity expansion projects that include a substance abuse treatment center at the Lansing Correctional Facility and a nursing care and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends expenditures of \$478.8 million, including \$398.1 million SGF, for the entire KDOC System in FY 2021. This is an all funds decrease of \$9.5 million, or 1.9 percent, and an SGF decrease of \$38.4 million, or 8.8 percent, below the agencies' FY 2021 revised estimate. The recommendation includes 3,364.5 FTE positions for the KDOC System, which is unchanged from the agencies' revised estimate.

The **Governor** recommends agency operating budgets totaling \$460.0 million, including \$390.9 SGF, in FY 2021. This is an all funds decrease of \$10.3 million, or 2.2 percent, and an SGF decrease of \$38.4 million, or 9.0 percent, below the agencies' FY 2021 revised estimate. The recommendation includes a lapse of \$42.2 million from the Evidence-Based Juvenile Programs account of the SGF, as part of the Governor's allotment plan. The decrease is partially attributable to a lapse of SGF moneys reappropriated from FY 2020. Adjustments to the agency's revised estimate include:

- A decrease of \$9.1 million SGF for contracted beds, primarily due to the return of inmates housed at the Saguaro Correctional Facility in Eloy, Arizona, to Kansas in December 2020;
- A decrease of \$4.0 million SGF for inmate food services and health care due to a lower than previously anticipated prison population; and
- An increase of \$28.2 million from the federal Coronavirus Relief Fund due to allocations from the SPARK Taskforce for salaries and wages expenditures at correctional facilities (\$25.0 million) and the Central Office (\$2.6 million), as well as the procurement of personal protective equipment (PPE) (\$551,651), in response to the COVID-19 pandemic. The increase is partially offset by a decrease of \$25.0 million in SGF.

The **Governor** recommends \$18.8 million, including \$7.2 million SGF, for capital improvements expenditures throughout the KDOC System in FY 2021. This is an increase of \$785,465, all from federal CARES Act funds, or 4.4 percent, above the agencies' FY 2021 revised estimate. The increase is attributable to an allocation of \$785,465 from the federal Coronavirus Relief Fund for a COVID-19 quarantine unit at the Winfield Correctional Facility and intake isolation unit at the Topeka Correctional Facility. The recommendation includes \$7.2 million SGF in first-year expenditures for two-year capacity expansion projects at the Lansing and Winfield correctional facilities.

FY 2022 – Budget Year. The **agencies** request \$490.5 million, including \$448.8 million SGF, for the entire KDOC System for FY 2022. This is an all funds increase of \$2.1 million, or 0.4 percent, and an SGF increase of \$12.3 million, or 2.8 percent, above the FY 2021 revised estimate. The request includes 3,333.5 FTE positions for the KDOC System, which is a decrease of 31.0 FTE positions below the FY 2021 revised estimate. The positions decrease is mainly temporary correctional officer positions at LCMHF.

The agencies request operating budgets totaling \$477.2 million, including \$442.8 million SGF, for FY 2022. This is an all funds increase of \$6.9 million, or 1.5 percent, and an SGF increase of \$13.4 million, or 3.1 percent, above the FY 2021 revised estimate. The increase is primarily attributable to the agencies' 11 enhancement requests. These include enhancements related to increases for certain staff salaries and retirement benefits; adjustments to the food and medical services for inmates; and the shifting of expenditures from the Larned State Hospital (LSH) to the KDOC Central Office for aspects related to inmates. The agency budgeted an enhancement of \$1.5 million to partially finance the replacement of adult and juvenile offender management data systems. Also budgeted is an annual lease payment for the Lansing Correctional Facility totaling \$15.4 million, which is a slight increase above the payment in FY 2021. The increase is partially offset by decreased salaries and wage expenditures due to the elimination of 31 temporary correctional officer positions at the LCMHF, which were funded with a federal grant supporting temporary use of the former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit in FY 2020.

The FY 2022 request includes capital improvements expenditures for the KDOC System of \$13.3 million, including \$6.1 million SGF. This is an all funds decrease of \$4.7 million, or 26.3 percent, and an SGF decrease of \$1.1 million, or 15.5 percent, below the FY 2021 revised estimate. The decrease is attributed to decreased expenditures for routine repair and rehabilitation projects at correctional facilities. Funds for such projects are held at the KDOC Central Office for planning purposes and transferred to facilities in the current year. The agency budgeted \$6.1 million SGF in final year expenditures for two-year capacity expansion projects that include a substance abuse treatment center at the Lansing Correctional Facility and a nursing care and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends expenditures of \$466.6 million, including \$408.9 million SGF, for the entire KDOC System for FY 2022. This is an all funds decrease of \$23.8 million, or 4.9 percent, and an SGF decrease of \$39.9 million, or 8.9 percent, below the agencies' FY 2022 request. The recommendation includes 3,333.5 FTE positions for the KDOC System, which is unchanged from the agencies' FY 2022 request.

The **Governor** recommends operating budgets totaling \$451.4 million, including \$407.0 million SGF for FY 2022. This is an all funds decrease of \$25.8 million, or 5.4 percent, and an SGF decrease of \$35.8 million, or 8.1 percent, below the agencies' FY 2022 request. The decrease is attributable to the Governor not recommending several of the agency's enhancement requests and adopting a modified reduced resources budget. The recommendation includes shifting \$2.1 million SGF from LSH to the KDOC Central Office for expenditures related to the housing of inmates with mental health needs and food service operations at LCMHF. Adjustments to the agency's request include:

- A decrease of \$10.6 million SGF for contracted beds, primarily due to the return of inmates housed at the Saguaro Correctional Facility in Eloy, Arizona, to Kansas in December 2020;
- A decrease of \$10.0 million SGF for graduated sanction grants awarded to juvenile community corrections agencies, offset by an increase of \$10.0 million in expenditures from the Juvenile Alternatives to Detention Fund for the same purpose;
- A decrease of \$4.4 million SGF for inmate food services and health care due to decreased prison population projections;
- A decrease of \$4.1 million SGF due to the Governor not recommending enhancements for unit team counselor and parole officer pay equity nor enhanced retirement for parole and juvenile correctional officers;
- A decrease of \$3.4 million SGF due to the Governor recommending an alternative financing plan for replacement of the adult and juvenile offender management data systems. The Governor does not recommend the agency's enhancement request. However, to pay for replacement, the recommendation includes a shift of operating expenditures to the capital improvements expenditures for an initial debt service principal payment, and adds new SGF expenditures for debt service interest payments on a multi-year loan;

- A decrease of \$2.0 million SGF due to the Governor not recommending enhancements requests for safety and security equipment, vehicle replacement, nor increased GPS monitoring; and
- A decrease of \$947,460 due to the Governor adopting reduced resources proposals that increase shrinkage among correctional facilities and reduce Central Office salaries and wages expenditures.

The **Governor** recommends \$15.2 million, including \$1.9 million SGF, for capital improvements expenditures throughout the KDOC System for FY 2022. This is an all funds increase of \$1.9 million, or 14.6 percent, and an SGF decrease of \$4.2 million, or 68.2 percent, from the agencies' FY 2022 request. The increase is attributable to the shift of expenditures related to the replacement of adult and juvenile offender management data systems from operating expenditures to capital improvements expenditures. The recommendation also includes a shift of \$6.1 million from SGF to special revenue funds for final year expenditures of capacity expansion projects at the Lansing and Winfield correctional facilities.

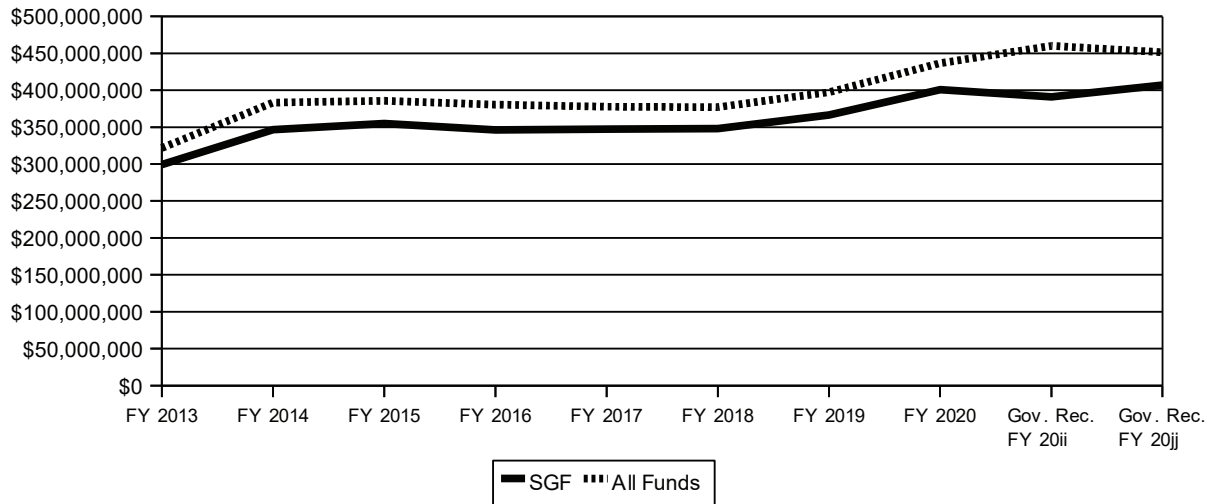
PERFORMANCE MEASURES

The 2016 Legislature passed HB 2739, which outlined a three-year process for state agencies to develop and implement a system of performance budgeting using outcome measures to evaluate program effectiveness. Measures to evaluate agency-wide performance are presented below. Additional measures to evaluate specific programs appear in the relevant program sections.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Offenders under Parole Supervision Returned to Prison for Condition Violations	1,104	1,090	1,200	784	1,200	1,200
Number of Meals Served to Offenders (In Millions)	10.1	10.3	10.1	10.1	10.0	10.0
Number of Inmates Treated for Hepatitis C	44	110	567	444	500	500
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 386.5	\$ 407.6	\$ 456.0	\$ 448.0	\$ 478.8	\$ 466.6
FTE Positions	3,669.5	3,562.3	3,438.0	3,438.0	3,364.5	3,333.5

BUDGET TRENDS

OPERATING EXPENDITURES FY 2013 – FY 2022



OPERATING EXPENDITURES FY 2013 – FY 2022

Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2013	\$ 299,408,983	16.5 %	\$ 321,795,284	16.7 %	3,496.5
2014	346,709,721	15.8	383,248,969	19.1	3,496.0
2015	354,863,864	2.4	385,648,455	0.6	3,688.5
2016	346,331,858	(2.4)	380,489,595	(1.3)	3,669.5
2017	347,179,899	0.2	377,608,250	(0.8)	3,669.5
2018	348,182,560	0.3	376,985,089	(0.2)	3,654.3
2019	366,272,504	5.2	397,297,289	5.4	3,564.3
2020	400,774,314	9.4	436,581,216	9.9	3,438.0
2021 Gov. Rec.	390,919,578	(2.5)	460,038,273	5.4	3,364.5
2022 Gov. Rec.	406,989,538	4.1	451,432,949	(1.9)	3,333.5
Ten-Year Change Dollars/Percent	\$ 107,580,555	35.9 %	\$ 129,637,665	40.3 %	(163.0)

Summary of DOC System Operating Budget FY 2020 - FY 2022

	Actual FY 2020	Agency Estimate				Governor's Recommendation			
		Estimate FY 2021	Request FY 2022	Dollar Change from FY 21	Percent Change from FY 21	Rec. FY 2021	Rec. FY 2022	Dollar Change from FY 21	Percent Change from FY 21
By Program:									
Central Office	\$ 213,952,261	\$ 258,360,689	\$ 265,665,146	\$ 7,304,457	2.8 %	\$ 248,226,678	\$ 239,901,331	\$ (8,325,347)	(3.4)%
El Dorado CF	36,721,364	34,720,137	34,808,237	88,100	0.3	34,682,137	34,808,237	126,100	0.4
Ellsworth CF	17,313,334	17,306,821	17,359,969	53,148	0.3	17,253,540	17,359,969	106,429	0.6
Hutchinson CF	39,566,854	37,635,028	37,781,769	146,741	0.4	37,634,172	37,781,769	147,597	0.4
Kansas Juvenile CC	20,962,610	21,487,918	21,636,409	148,491	0.7	21,478,381	21,636,409	158,028	0.7
Lansing CF	39,856,499	33,101,271	33,299,804	198,533	0.6	33,095,600	33,299,804	204,204	0.6
Larned CMHF	13,803,567	14,989,920	13,460,854	(1,529,066)	(10.2)	14,981,204	13,460,854	(1,520,350)	(10.1)
Norton CF	19,444,972	19,019,363	19,172,440	153,077	0.8	19,017,613	19,172,440	154,827	0.8
Topeka CF	19,515,701	18,320,724	18,472,714	151,990	0.8	18,300,028	18,472,714	172,686	0.9
Winfield CF	15,444,054	15,370,505	15,539,422	168,917	1.1	15,368,920	15,539,422	170,502	1.1
TOTAL	\$ 436,581,216	\$ 470,312,376	\$ 477,196,764	\$ 6,884,388	1.5 %	\$ 460,038,273	\$ 451,432,949	\$ (8,605,324)	(1.9)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 222,444,940	\$ 214,266,112	\$ 218,496,251	\$ 4,230,139	2.0 %	\$ 216,880,395	\$ 213,464,654	\$ (3,415,741)	(1.6)%
Contractual Services	148,017,175	182,501,845	185,505,868	3,004,023	1.6	169,744,014	166,725,291	(3,018,723)	(1.8)
Commodities	16,110,118	16,094,310	16,290,505	196,195	1.2	15,963,755	16,290,505	326,750	2.0
Capital Outlay	5,048,651	3,185,229	2,639,260	(545,969)	(17.1)	3,185,229	871,292	(2,313,937)	(72.6)
Debt Service - Interest	209,604	0	0	0	--	0	142,450	142,450	--
<i>Subtotal - Operations</i>	<i>\$ 391,830,488</i>	<i>\$ 416,047,496</i>	<i>\$ 422,931,884</i>	<i>\$ 6,884,388</i>	<i>1.7 %</i>	<i>\$ 405,773,393</i>	<i>\$ 397,494,192</i>	<i>\$ (8,279,201)</i>	<i>(2.0)%</i>
Aid to Local Units	42,234,367	47,890,820	47,890,820	0	0.0	47,890,820	47,564,697	(326,123)	(0.7)
Other Assistance	2,516,361	6,374,060	6,374,060	0	0.0	6,374,060	6,374,060	0	0.0
TOTAL	\$ 436,581,216	\$ 470,312,376	\$ 477,196,764	\$ 6,884,388	1.5 %	\$ 460,038,273	\$ 451,432,949	\$ (8,605,324)	(1.9)%
Financing:									
State General Fund	\$ 400,774,314	\$ 429,359,614	\$ 442,753,353	\$ 13,393,739	3.1 %	\$ 390,919,578	\$ 406,989,538	\$ 16,069,960	4.1 %
Federal CARES Act	2,944,231	1,700,000	0	(1,700,000)	(100.0)	29,865,933	0	(29,865,933)	(100.0)
All Other Federal Funds	3,986,082	3,870,615	3,723,182	(147,433)	(3.8)	3,870,615	3,723,182	(147,433)	(3.8)
Correctional Industries	11,299,389	12,152,480	12,622,266	469,786	3.9	12,152,480	12,622,266	469,786	3.9
All Other Funds	17,577,200	23,229,667	18,097,963	(5,131,704)	(22.1)	23,229,667	28,097,963	4,868,296	21.0
TOTAL	\$ 436,581,216	\$ 470,312,376	\$ 477,196,764	\$ 6,884,388	1.5 %	\$ 460,038,273	\$ 451,432,949	\$ (8,605,324)	(1.9)%

BUDGET OVERVIEW

A. FY 2021 – Current Year

Adjustments to Approved State General Fund Budget

The 2020 Legislature approved a State General Fund (SGF) budget of \$427,074,129 for the Kansas Department of Corrections and the related facilities in FY 2021. Several adjustments have been made subsequently to that amount. These adjustments change the current year approved amount without any legislative action required. For these agencies, the following adjustments have been made:

- An increase of \$48,621,047, based on the reappropriation of FY 2020 funding that was not spent in FY 2020 and has shifted to FY 2021; and
- A decrease of \$7,026,847 as the result of the Governor's June 29, 2020, SGF allotment.

These adjustments change the FY 2021 approved SGF amount to \$468,668,329. That amount is reflected in the table below as the currently approved FY 2021 SGF amount.

	CHANGE FROM APPROVED BUDGET				
	Legislative Approved FY 2021	Agency Estimate FY 2021	Agency Change from Approved	Governor Rec. FY 2021	Governor Change from Approved
State General Fund	\$ 468,668,329	\$ 436,567,804	\$ (32,100,525)	\$ 398,127,768	\$ (70,540,561)
All Other Funds	44,831,920	51,758,666	6,926,746	80,710,064	35,878,144
TOTAL	\$ 513,500,249	\$ 488,326,470	\$ (25,173,779)	\$ 478,837,832	\$ (34,662,417)
FTE Positions	3,342.5	3,364.5	22.0	3,364.5	22.0

The **agencies** request a revised estimate of \$488.3 million, including \$436.6 million SGF, for operating and capital improvements for the entire KDOC System in FY 2021. This is an all funds decrease of \$25.2 million, or 4.9 percent, and an SGF decrease of \$32.1 million, or 6.8 percent, below the FY 2021 approved amount. The revised estimate includes 3,364.5 FTE positions for the KDOC System, which is an increase of 22.0 FTE positions above the FY 2021 approved amount.

The **KDOC Central Office** requests a revised operating budget of \$258.4 million, including \$221.1 million SGF, in FY 2021. This is an all funds decrease of \$33.7 million, or 11.5 percent, and an SGF decrease of \$36.6 million, or 14.2 percent, below the FY 2021 approved amount. The decrease is primarily attributable to decreased expenditures from the Evidence-Based Juvenile Programs account (\$42.2 million) to align with the Governor's proposed allotment plan. Such an allotment requires legislative approval pursuant to KSA 75-3722. The decrease is also attributable to decreased salaries and wages expenditures (\$5.0 million) to transfer to the individual correctional facilities as a shrinkage reduction. The shrinkage reduction allowed facilities to fill vacant positions. The decrease is partially offset by increased

expenditures for the agency's supplemental requests to fully fund the correctional system's medical services contract (\$9.4 million) and food service contract (\$665,185). The agency budgeted \$10.6 million for 360 contracted beds for adult male inmates at the Saguario Correctional Facility in Eloy, Arizona, and 45 contracted beds for female inmates at county jails. The revised estimate includes 516.0 FTE positions, which is an increase of 9.5 FTE positions. The increase is mainly the addition of domestic violence response coordinators positions in the Victim Services program and level-of-service specialist positions in Offender programs. Major changes by category of expenditures are outlined below:

- **Salaries and Wages.** The agency requests a revised estimate of \$34.2 million, including \$27.4 million SGF, for salaries and wages expenditures at the KDOC Central Office in FY 2021. This is an all funds decrease of \$4.5 million, or 11.7 percent, and an SGF decrease of \$4.5 million, or 14.1 percent, below the FY 2021 approved amount. The decrease is attributable to transferring \$5.0 million to the facilities to reduce shrinkage. Reducing shrinkage allows the facilities to fill vacant positions;
- **Contractual Services.** The agency requests a revised estimate of \$160.3 million, including \$144.9 million SGF, for contractual services expenditures at the KDOC Central Office in FY 2021. This is an all funds increase of \$4.1 million, or 2.6 percent, and an SGF increase of \$2.5 million, or 1.7 percent, above the FY 2021 approved amount. This increase is attributable to the agency's enhancement requests to fully fund the medical services contract (\$9.4 million) and food service contract (\$665,185). Further detail on these requests can be found below. The increase is partially offset by a decrease in expenditures for statewide contracts supporting evidence-based juvenile programs (\$7.5 million), which is related to the proposed allotment of the Evidence-Based Juvenile Programs account;
- **Commodities.** The agency requests a revised estimate of \$6.6 million, including \$489,012 SGF, for commodities expenditures in the KDOC Central Office in FY 2021. This is an all funds increase of \$787,092 or 13.4 percent, and an SGF increase of \$182,607, or 59.6 percent, above the FY 2021 approved amount. This increase is attributed to the increased purchase of manufacturing material at Kansas Correctional Industries (KCI) (\$604,485). The increase is also attributable to increased expenditures for medical supplies for inmate health care (\$200,000);
- **Capital Outlay.** The agency requests a revised estimate of \$2.9 million, all from special revenue funds, for capital outlay expenditures in the KDOC Central Office in FY 2021. This is a decrease of \$589,544, or 16.8 percent, below the FY 2021 approved amount. The decrease is attributable to decreased expenditures for the purchase of manufacturing equipment at KCI (\$567,942);
- **Aid to Local Units of Government.** The agency requests a revised estimate of \$47.9 million, including \$43.1 million SGF, for aid to local units of government expenditures in the KDOC Central Office in FY 2021. This is an all funds decrease of \$38.8 million, or 44.8 percent, and an SGF decrease of \$39.5 million, or 47.8 percent, below the FY 2021 approved amount. The decrease is attributable to decreased grants to county governments for the development of evidence-based juvenile programs (\$38.5 million), which is related to the proposed allotment of the Evidence-Based Juvenile Programs account; and

- **Other Assistance.** The agency requests a revised estimate of \$6.4 million, including \$5.1 million SGF, for other assistance expenditures in the KDOC Central Office in FY 2021. This is an all funds increase of \$5.3 million, or 523.4 percent, and an SGF increase of \$4.8 million, or 1,795.4 percent, above the FY 2021 approved amount. The increase is primarily attributable to the establishment of grants provided to local Juvenile Corrections Advisory Boards (JCABs) affiliated with 31 judicial districts to support evidence-based juvenile programs in communities (\$3.8 million).

The FY 2021 revised budget includes capital improvements expenditures for the KDOC Central Office of \$13.7 million, including \$7.2 million SGF. This is an all funds decrease of \$2.1 million, or 13.4 percent, and an SGF decrease of \$22,718, or 0.3 percent, below the FY 2021 approved amount. The decrease is primarily attributable to the transfer of Correctional Institutions Building Fund (CIBF) moneys from the Central Office to facilities for local repair and rehabilitation projects (\$2.6 million). The agency budgeted \$7.2 million SGF for the first year of expenditures in two-year capacity expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed nursing care and substance abuse treatment center at the Winfield Correctional Facility. The agency also budgeted for a statewide condition assessment of all facilities to prioritize needs.

The **KDOC Facilities** request revised operating budgets totaling \$212.0 million, including \$208.3 million SGF, in FY 2021. This is an all funds increase of \$6.4 million, or 3.1 percent, and an SGF increase of \$4.5 million, or 2.2 percent, above the FY 2021 approved amount. The increase is primarily attributable to a shrinkage rate reduction (\$5.0 million) approved by the 2020 Legislature for the Central Office and transferred to individual facilities. The shrinkage reduction allows facilities to fill vacant positions. The increase is also attributed to increased expenditures for group health care (\$2.1 million), overtime for facilities staff (\$1.8 million), and building and vehicles maintenance services (\$480,272). The increase is partially offset by decreased contributions to the Kansas Public Employees Retirement System (KPERS) (\$1.1 million) and computer programming services expenditures (\$295,363). The agencies budgeted \$1.5 million, all from a federal Coronavirus Emergency Supplement Justice Assistance Grant, to temporarily use the former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit for adult male offenders.

The revised estimate includes 2,848.0 FTE positions, which is an increase of 12.5 FTE positions above the FY 2021 approved amount. The increase is mainly the addition of 31 correctional officers at LCMHF to support the temporary COVID-19 intake isolation unit, which is partially offset by a decrease of 22.5 correctional officer positions at Topeka Correctional Facility due to cancellation of plans to house female adult inmates at the Kansas Juvenile Correctional Complex.

The FY 2021 revised estimate includes capital improvements expenditures for KDOC Facilities of \$4.3 million, all from special revenue funds. This is an increase of 100.0 percent, as the Secretary of Corrections is authorized to transfer moneys from the Central Office to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends expenditures of \$478.8 million, including \$398.1 million SGF, for the entire **KDOC System** in FY 2021. This is an all funds decrease of \$9.5 million, or 1.9 percent, and an SGF decrease of \$38.4 million, or 8.8 percent, below the agencies' FY 2021 revised estimate. The recommendation includes 3,364.5 FTE positions for the KDOC System, which is unchanged from the agencies' revised estimate.

For the **KDOC Central Office**, the recommendation includes \$248.2 million, including \$207.8 million SGF, for operating expenditures in FY 2021. This is an all funds decrease of \$10.1 million, or 3.9 percent, and an SGF decrease of \$13.3 million, or 6.0 percent, below the agency's FY 2021 revised estimate. The recommendation includes a lapse of \$42.2 million from the ending balance of the Evidence-Based Juvenile Programs account of the SGF as part of the Governor's allotment plan, which would require legislative approval. The decrease is partially attributed to the lapse of SGF moneys reappropriated from FY 2020 (\$209,912). Major adjustments to the agency's revised estimate include:

- A decrease of \$9.1 million SGF for contracted beds, primarily due to the return of inmates housed at the Saguaro Correctional Facility in Eloy, Arizona, to Kansas in December 2020;
- A decrease of \$4.0 million SGF for inmate food services (\$2.3 million) and health care (\$1.7 million) due to a lower than previously anticipated prison population; and
- An increase of \$3.2 million from the Coronavirus Relief Fund due to an allocation from the SPARK Taskforce for salaries and wages (\$2.6 million) and procurement of personal protective equipment (\$551,651) in response to the COVID-19 pandemic. Coronavirus Relief Fund moneys may be used for salaries and wages expenditures for state employees substantially dedicated to COVID-19 response. Further, the U.S. Department of the Treasury guidance states that work performed by public safety employees, such as a correctional staff, is presumed to meet this requirement.

The recommendation also includes \$13.7 million, including \$7.2 million SGF, for capital improvements expenditures at the KDOC Central Office in FY 2021. This is an increase of \$58,788, all from federal CARES Act funds, or 0.4 percent, above the agency's revised estimate. The increase is attributable to an allocation of \$58,788 from the federal Coronavirus Relief Fund, awarded by the SPARK Taskforce, to support the establishment of a COVID-19 intake isolation unit at the Topeka Correctional Facility. Additional capital improvement expenditures include \$7.2 million SGF for first-year expenditures of two-year capacity expansion projects at the Lansing and Winfield correctional facilities. The expansion projects are financed from lower-than-anticipated expenditures for contracted beds due to the return of inmates housed in Arizona.

For the **KDOC Facilities**, the recommendation includes \$211.8 million, including \$183.2 million SGF, for operating expenditures in FY 2021. This is an all funds decrease of \$140,92, or 0.1 percent, and an SGF decrease of \$25.1 million, or 12.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapses totaling \$140,092 SGF reappropriated from FY 2020 among all facilities. The recommendation includes an allocation of \$25.0 million from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for salaries and wages expenditures, including meritorious service awards and shift differential, in response to the COVID-19 pandemic. The allocation is offset by a commensurate SGF decrease. The recommendation includes 2,848.0 FTE positions, which is unchanged from the agency's revised estimate.

The recommendation also includes \$5.1 million, all from special revenue funds for capital improvements expenditures at the KDOC Facilities in FY 2021. This is an increase of \$726,677, all from federal CARES Act funds, or 16.8 percent, above the agencies' FY 2021 revised estimate. The increase is due to an allocation of \$726,677 from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for construction of a COVID-19 quarantine unit at Winfield Correctional Facility.

Supplemental Detail

Supplementals	FY 2021 SUPPLEMENTALS					
	Agency Estimate			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fully Fund Medical Services Contract	\$ 9,424,932	\$ 9,424,932	0.0	\$ 7,778,323	\$ 7,778,323	0.0
Fully Fund Food Service Contract	665,185	665,185	0.0	0	0	0.0
TOTAL	\$ 10,090,117	\$ 10,090,117	0.0	\$ 7,778,323	\$ 7,778,323	0.0

The **agency** requests two supplementals for the KDOC Central Office totaling \$10.1 million SGF in FY 2021. The supplemental requests are detailed below.

Fully Fund the Medical Contract. The agency requests \$9.4 million SGF to fully fund the medical services contract for constitutionally required inmate health care in FY 2021. Effective July 1, 2020, the agency entered into a new medical services contract with Centurion of Kansas, LLC, estimated at \$84.2 million, for the delivery of on-site medical, dental, and mental health care services at all correctional facilities. This request includes expenditures that account for growth in the population, raising health care costs, and \$1.5 million for additional treatment of inmates infected with hepatitis C. Further, provisions of the new contract require salary studies be conducted annually and pay of medical staff meet the median pay identified in the surveys to ensure retention of staff. For budgeting purposes, the agency utilized an inmate population projected prior to the COVID-19 pandemic.

The \$1.5 million requested for hepatitis C treatment would supplement \$6.2 million approved by the 2020 Legislature for such purpose in FY 2021. The agency states that inmate populations are particularly prone to contracting the hepatitis C virus. New drugs emerged in 2017 with a cure rate of 90.0 percent, but treatment remains costly at \$15,000 per patient. With this additional funding, the agency indicates treatment would be provided for 411 inmates, the majority of which are new admissions to the correctional system.

The **Governor** recommends \$7.8 million SGF to fully fund the medical services contract, which is a decrease of \$1.7 million below the agency's supplemental request. The decrease is due to a lower prison population projection.

Fully Fund the Food Service Contract. The agency requests \$655,185 SGF to fully fund the food service contract for all adult correctional facilities. The contract with Aramark, which is estimated at \$17.4 million, is based on an average daily population (ADP) of 9,754. The request is attributed to the addition of 285 inmates previously anticipated to be housed at contracted beds in Arizona and county jails, but now housed at state correctional facilities. The request is also attributed to an 0.8 percent increase in contracted meal pricing. For budgeting purposes, the agency utilized an ADP projected prior to the COVID-19 pandemic.

The **Governor** does not recommend this supplemental request.

Governor's Allotments

On June 29, 2020, the Governor announced SGF allotments or reductions for FY 2021 of \$374.5 million. Included in the Governor's allotted budget were \$146.7 million in human services caseload adjustments, \$79.3 million to delay the FY 2021 State Foundation Aid payment for K-12 Education, \$46.7 million in reductions due to a suspension of KPERS Death and Disability contributions, and \$101.8 million in other adjustments.

Allotments included in this document reduce the FY 2021 approved budget without any required Legislative approval and are included in the approved amounts in the table above. As it relates to the KDOC System, the allotment adjustments totaled \$7,026,847. The allotments applied to these agencies are detailed below:

GOVERNOR'S ALLOTMENTS			
Allotment	SGF	All Funds	FTE
July Allotment			
Expansion Projects at Lansing and Winfield	\$ (6,089,218)	\$ (6,089,218)	0.0
KPERS Death and Disability Contributions	(937,629)	(937,629)	0.0
TOTAL	<u>\$ (7,026,847)</u>	<u>\$ (7,026,847)</u>	<u>0.0</u>

Expansion Projects at Lansing and Winfield. The Governor's June 29 Allotment Plan included \$6.1 million SGF related to cancellation of first-year expenditures for expansion projects at the Lansing and Winfield correctional facilities.

KPERS Death and Disability Contributions. The Governor's June 29 Allotment Plan included \$937,629, all SGF, related to the suspension of KPERS Death and Disability employer contributions at the Central Office and all correctional facilities.

B. FY 2022 – Budget Year

FY 2022 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 477,196,764	\$ 451,432,949	\$ (25,763,815)
FTE Positions	3,333.5	3,333.5	0.0
Change from FY 2021:			
<i>Dollar Change:</i>			
State General Fund	\$ 13,393,739	\$ 16,069,960	
All Other Funds	(6,509,351)	(24,675,284)	
TOTAL	\$ 6,884,388	\$ (8,605,324)	
<i>Percent Change:</i>			
State General Fund	3.1 %	4.1 %	
All Other Funds	(15.9)	(35.7)	
TOTAL	1.5 %	(1.9) %	
Change in FTE Positions	(31.0)	(31.0)	

The **agencies** request \$490.5 million, including \$448.8 million SGF, for operating and capital improvements for the entire KDOC System for FY 2022. This is an all funds increase of \$2.1 million, or 0.4 percent, and an SGF increase of \$12.3 million, or 2.8 percent, above the FY 2021 revised estimate. The request includes 3,333.5 FTE positions for the KDOC System, which is a decrease of 31.0 FTE positions below the FY 2021 revised estimate.

The **KDOC Central Office** requests an operating budget of \$265.7 million, including \$233.2 million SGF, for FY 2022. This is an all funds increase of \$7.3 million, or 2.8 percent, and an SGF increase of \$12.2 million, or 5.5 percent, above the FY 2021 revised estimate. The increase is primarily attributable to the agency's 11 enhancement requests. These include enhancements related to increases for certain staff salaries and retirement benefits; adjustments to the food and medical services for inmates; and the shifting of expenditures from Larned State Hospital to the KDOC Central office for aspects related to inmates. Further, the agency budgeted an enhancement of \$1.5 million to partially finance the replacement of adult and juvenile offender management data systems. The request includes 516.5 FTE positions, which is unchanged from the FY 2021 revised estimate. Major changes by category of expenditures are outlined below:

- **Salaries and Wages.** The agency requests \$38.6 million, including \$31.8 million SGF, for salaries and wages expenditures at the KDOC Central Office for FY 2022. This is an all funds increase of \$4.4 million, or 12.9 percent, and an SGF increase of \$4.3 million, or 15.8 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement for unit counselor and parole officer pay equity (\$3.8 million) and enhanced retirement for juvenile correctional and parole officers (\$259,209);

- **Contractual Services.** The agency requests \$163.3 million, including \$151.0 million SGF, for contractual services expenditures at the KDOC Central Office for FY 2022. This is an all funds increase of \$3.0 million, or 1.9 percent, and an SGF increase of \$6.1 million, or 4.2 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement requests to fully fund the correctional system food service contract (\$1.2 million) and continue fully funding the medical services contract (\$1.9 million), as well as provide for increased GPS monitoring of paroled offenders. Other enhancements would shift funding from Larned State Hospital (LSH) to the Central Office for expenditures related to the housing inmates with mental health needs (\$1.3 million) and food service for Larned Correctional Mental Health Facility (LCMHF) (\$814,121). The agency has also budgeted for an annual lease payment for the Lansing Correctional Facility (LCF) totaling \$15.4 million, which is an increase (\$293,315) above the lease payment in FY 2021;
- **Commodities.** The agency requests \$7.1 million, including \$493,804 SGF, for commodities expenditures in the KDOC Central Office for FY 2022. This is an all funds increase of \$431,862, or 6.5 percent, and an SGF increase of \$4,792, or 1.0 percent, above the FY 2021 revised estimate. The increase is attributable to the increased purchase of manufacturing material at KCI (\$487,935);
- **Capital Outlay.** The agency requests \$2.4 million, including \$1.8 million SGF, for capital outlay expenditures in the KDOC Central Office for FY 2022. This is an all funds decrease of \$532,951, or 18.3 percent, and an SGF increase of \$1.8 million from the FY 2021 revised estimate. The decrease is attributable to decreased expenditures for computing equipment due to the agency transitioning to desktop as a service provided through the Office of Information Technology Services (OITS). The SGF increase is attributable to the agency's enhancement requests for the replacement of 45 vehicles (\$1.0 million) and the purchase of safety and security equipment for use at facilities (\$738,192);
- **Aid to Local Units of Government.** The agency requests \$47.9 million, including \$43.1 million SGF, for aid to local units of government expenditures in the KDOC Central Office for FY 2022. The request is unchanged from the FY 2021 revised estimate: and
- **Other Assistance.** The agency requests \$6.4 million, including \$5.1 million SGF, for other assistance expenditures in the KDOC Central Office for FY 2022. The request is unchanged from the FY 2021 revised estimate.

The FY 2022 budget includes capital improvements expenditures for the KDOC Central Office of \$13.3 million, including \$6.1 million SGF. This is an all funds decrease of \$413,741, or 3.0 percent, and an SGF decrease of \$1.1 million, or 15.5 percent, below the FY 2021 revised estimate. The agency budgeted \$6.1 million SGF for final year expenditures for two-year capacity expansion projects that include a substance abuse treatment center at the Lansing Correctional Facility and a nursing care and substance abuse treatment center at the Winfield Correctional Facility.

The **KDOC Facilities** request operating budgets totaling \$211.5 million, including \$209.5 million SGF, for FY 2022. This is an all funds decrease of \$420,069, or 0.2 percent, and an SGF increase of \$1.2 million, or 0.6 percent, from the FY 2021 revised estimate. The decrease is attributable to the elimination of 31 temporary correctional officer positions at LCMHF (\$1.2

million). These positions were funded with a federal Coronavirus Emergency Supplemental Justice Assistance Grant that supported temporary use of the former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit in FY 2020. The decrease is also attributable to decreased expenditures for utilities (\$136,096), officer and inmate uniforms (\$131,281), and maintenance and construction material (\$63,594). The decrease is partially offset by expenditure increases related to fluctuations in employer contributions to fringe benefits. The request includes 2,817 FTE positions, which is a decrease of 31.0 FTE positions below the FY 2021 revised estimate. The position decrease is due to elimination of temporary positions at LCMHF.

The FY 2022 request does not include capital improvements expenditures for the **KDOC Facilities**. Capital improvements are planned at the Central Office, and the Secretary of Corrections is authorized to transfer moneys to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends expenditures of \$466.6 million, including \$408.9 million SGF, for the entire **KDOC System** for FY 2022. This is an all funds decrease of \$23.8 million, or 4.9 percent, and an SGF decrease of \$39.9 million, or 8.9 percent, below the agencies' FY 2021 revised estimate. The recommendation includes 3,333.5 FTE positions for the KDOC System, which is unchanged from the agencies' FY 2021 revised estimate.

For the **KDOC Central Office**, the recommendation includes an operating budget of \$239.9 million, including \$197.5 million SGF, for the KDOC Central Office for FY 2022. This is an all funds decrease of \$25.8 million, or 9.7 percent, and an SGF decrease of \$35.8 million, or 15.3 percent, below the agency's FY 2022 request. The decrease is attributable to the Governor not recommending several of the agency's enhancement requests and adopting a modified reduced resources budget. Major adjustments to the agency's request include:

- A decrease of \$10.6 million SGF for contracted beds, primarily due to the return of inmates housed at the Saguardo Correctional Facility in Eloy, Arizona, to Kansas in December 2020;
- A decrease of \$10.0 million SGF for graduated sanction grants awarded to juvenile community corrections agencies, offset by an increase of \$10.0 million in expenditures from the Juvenile Alternatives to Detention Fund for the same purpose;
- A decrease of \$4.4 million SGF for inmate food services (\$2.7 million) and health care (\$1.7 million) due to decreased prison population projections;
- A decrease of \$4.1 million SGF due to the Governor not recommending enhancements requests for unit team counselor and parole officer pay equity (\$3.8 million) nor enhanced retirement for parole and juvenile correctional officers (\$259,209);
- A decrease of \$3.4 million SGF due to the Governor recommending an alternative financing plan for the replacement of the adult and juvenile offender management data system. The Governor does not recommend the agency's enhancement request (\$1.5 million). However, to pay for the replacement the recommendation includes a shift of operating expenditures to capital

improvements expenditures for debt service principal payments (\$1.9 million) and a new SGF expenditure for debt service interest payments (\$79,182);

- A decrease of \$2.0 million SGF due to the Governor not recommending enhancement requests for safety and security equipment (\$738,192), vehicle replacement (\$1.0 million), and increased GPS monitoring (\$200,000);
- A decrease of \$947,460 SGF due to the Governor adopting reduced resources proposals that increase shrinkage among correctional facilities (\$497,460) and reduce Central Office staffing (\$450,000); and
- A decrease of \$326,123 SGF due to the Governor partially adopting a reduced resources proposal that decreases expenditures for grants awarded to community corrections agencies for the supervision of probationers.

The recommendation includes \$15.2 million, including \$1.9 million SGF, for capital improvement expenditures at the **KDOC Central Office** for FY 2022. This is an all funds increase of \$1.9 million, or 14.6 percent, and an SGF decrease of \$4.2 million, or 68.2 percent, from the agency's FY 2022 request. The increase is attributable to the shift of expenditures related to the replacement of adult and juvenile offender management data systems from operating expenditures to capital improvement expenditures. The recommendation also includes shifting expenditures of \$6.1 million from the SGF to the State Institutions Building Fund (SIBF) for final year expenditures of capacity expansion projects at the Lansing and Winfield correctional facilities.

For the **KDOC Facilities**, the **Governor** concurs with the agency's requests for operating and capital improvements expenditures for FY 2022.

Enhancement Detail

FY 2022 ENHANCEMENTS						
Enhancements	Agency Estimate			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fully Fund Medical Services Contract	\$ 11,336,067	\$ 11,336,067	0.0	\$ 9,619,900	\$ 9,619,900	0.0
Pay Equity for Unit Team Counselors	2,195,261	2,195,261	0.0	0	0	0.0
Pay Equity for Parole Officers	1,629,667	1,629,667	0.0	0	0	0.0
Adult and Juvenile Offender Management Data System	1,491,344	1,491,344	0.0	79,182	79,182	0.0
Larned State Hospital Contract Beds	1,314,000	1,314,000	0.0	1,314,000	1,314,000	0.0
Fully Fund Food Service Contract	1,216,752	1,216,752	0.0	0	0	0.0
Vehicle Replacement	1,029,776	1,029,776	0.0	0	0	0.0
Larned State Hospital Food Service Contract	814,121	814,121	0.0	814,121	814,121	0.0
Safety and Security Equipment	738,192	738,192	0.0	0	0	0.0
Enhanced Retirement for Juvenile and Parole Officers	259,209	259,209	0.0	0	0	0.0
Increased GPS Monitoring	200,000	200,000	0.0	0	0	0.0
TOTAL	\$ 22,224,389	\$ 22,224,389	0.0	\$ 11,827,203	\$ 11,827,203	0.0

The **agency** requests 11 enhancements for the KDOC Central Office totaling \$22.2 million SGF for FY 2022. The supplemental requests are detailed below.

Fully Fund the Medical Services Contract. The **agency** requests \$11.3 million SGF to fully fund the medical services contract for constitutionally required inmate health care for FY 2022. The contract is estimated at \$88.8 million and would provide for the delivery on on-site medical, dental, and mental health care services at all correctional facilities. The request includes expenditures that account for growth in the population, raising health care costs, and a contract escalator of 2.5 percent (\$2.1 million). For budgeting purposes, the agency utilized a prison population projected prior to the COVID-19 pandemic.

The **Governor** recommends \$9.6 million for the medical services contract, which is a decrease of \$1.7 million below the agency's request. The decrease due to a lower prison population projection.

Pay Equity for Unit Team Counselors. The **agency** requests \$2.2 million SGF to increase unit team counselor pay by 15.0 percent. Team counselors are case managers for offenders and coordinate programs and resources. The position requires a bachelor's degree, and according to the agency, it has traditionally been viewed as a promotion in the career path of a correctional officer. The agency states that pay increases for correctional officers approved by the 2019 Legislature, without commensurate increases for team counselors, resulted in retention challenges.

The **Governor** does not recommend this enhancement request.

Pay Equity for Parole Officers. The **agency** requests \$1.6 million SGF to increase parole officer pay by 15.0 percent. Parole officers work from 17 offices throughout the state to provide supervision of offenders post release, which includes conducting regular assessments and meeting with offenders in their community. The current average caseload for one parole officer is 60 offenders. The agency states that while the 5.0 percent pay increase approved by the 2019 Legislature brought the parole officer starting wage up to \$18 per hour, this rate is lower than those of county correctional officers and court services officers, who receive an average of \$21 per hour. According to the agency, this disparity has resulted in parole officers leaving the KDOC System for these other entities.

The **Governor** does not recommend this enhancement request.

Adult and Juvenile Offender Management Data System. The **agency** requests \$1.5 million SGF to partially finance replacement of the adult Offender Management Information Systems (OMIS) and the Juvenile Correctional Facility System (JCFS). Implemented in the 1970s, these data systems track inmate location, inmate banking, custody classification, and provide other services central to KDOC operations. According to the agency, these obsolete data systems utilize antiquated coding of which few technicians remain knowledgeable. The agency proposes to replace these systems with a single offender data management system tailored for correctional systems, such as those provided by Microsoft. The new system would consolidate management of adult and juvenile populations, provide interoperability with other justice-related entities, and allow for inclusion of evidence-based programming and rehabilitation aspects, while improving processes for offender containment and data collection to better determine outcomes.

The agency estimates replacement of the OMIS and JCFS would total \$20.8 million, financed over five years and concluding in FY 2026. For FY 2022, the agency requests an enhancement of \$1.5 million to supplement \$3.0 million earmarked from the agency fees fund and \$2.0 million from the Evidence-Based Juvenile Programs account, which would support development of the juvenile portion, for a total expenditure of \$6.5 million. The preliminary financing plan is detailed below.

PRELIMINARY OMIS/JCFS REPLACEMENT FINANCING PLAN						
	FY 2022 Request*	FY 2023 Estimate*	FY 2024 Estimate	FY 2025 Estimate	FY 2026 Estimate	Totals
Expenditures:						
Direct Payment	\$ 6,500,000	\$ 4,500,000	\$ 0	\$ 0	\$ 0	\$ 11,000,000
Principal	0	1,000,000	3,000,000	3,000,000	2,000,000	9,000,000
Interest	0	90,000	270,000	270,000	180,000	810,000
Totals	\$ 6,500,000	\$ 5,590,000	\$ 3,270,000	\$ 3,270,000	\$ 2,180,000	\$ 20,810,000
Financing:						
Enhancement Request	\$ 1,491,344	\$ 3,590,000	\$ 1,270,000	\$ 865,000	\$ 180,000	\$ 7,396,344
Evidence-Based Juvenile Programs Account	2,000,000	2,000,000	2,000,000	2,405,000	2,000,000	10,405,000
General Fees Fund	3,008,656	0	0	0	0	3,008,656
Totals	\$ 6,500,000	\$ 5,590,000	\$ 3,270,000	\$ 3,270,000	\$ 2,180,000	\$ 20,810,000
*(Note: Microsoft receives a total of \$20.0 million, which includes payments of \$6.5 million in FY 2022 and \$13.5 million in FY 2023.)						

The **Governor** recommends an enhancement of \$79,182 SGF, and the shift of \$1.9 million in operating expenditures to capital improvements for debt service to support an alternative seven-year financing plan for replacement of adult and juvenile offender management data systems.

The plan begins in FY 2021 and concludes in FY 2027 with a total project cost of \$25.8 million, which includes an initial payment of \$5.0 million, followed by principal payments on a \$20.0 million loan, and interest totaling \$791,815. In FY 2021, the plan includes an initial payment of \$5.0 million, which is financed with existing funds from the agency's general fees fund (\$3.0 million) and the Evidence-Based Juvenile Programs account of the SGF (\$2.0 million). For FY 2022, the plan includes expenditures of \$2.1 million, which include a debt service principal payment (\$1.9 million) and a debt service interest payment (\$142,450). The FY 2022 expenditures are financed with \$2.0 million in existing funds from the Evidence-Based Juvenile Programs account of the SGF and an enhancement of \$79,815 in new SGF.

ALTERNATIVE OMIS/JCFS REPLACEMENT FINANCING PLAN						
	FY 2021 Request*	FY 2022 Request*	FY 2023 Estimate	FY 2024 Estimate	FY 2025 - FY 2027 Estimate	Totals
Expenditures:						
Direct Payment	\$ 5,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 5,000,000
Principal	0	1,936,732	3,914,944	3,970,911	10,177,413	20,000,000
Interest	0	142,450	243,419	187,452	218,495	791,816
Totals	\$ 5,000,000	\$ 2,079,182	\$ 4,158,363	\$ 4,158,363	\$ 10,395,908	\$ 25,791,816
Financing:						
Enhancement Request	\$ 0	\$ 79,182	\$ 3,838,363	\$ 4,158,363	\$ 10,395,908	\$ 18,471,816
Evidence-Based Juvenile Programs Account	2,000,000	2,000,000	320,000	0	0	4,320,000
General Fees Fund	3,000,000	0	0	0	0	3,000,000
Totals	\$ 5,000,000	\$ 2,079,182	\$ 4,158,363	\$ 4,158,363	\$ 10,395,908	\$ 25,791,816
*(Note: Microsoft receives a total of \$25.0 million, which includes \$5.0 million in FY 2021 and \$20.0 million in FY 2022.)						

Larned State Hospital Contract Beds. The **agency** requests \$1.3 million SGF to shift funding from the Larned State Hospital (LSH) to the KDOC Central Office related to the housing of 90 inmates with mental health needs. In 2016, LSH and KDOC entered into a memorandum of understanding in which 90 inmates with severe and persistent mental illnesses were transferred from LSH to DOC. Due to capacity limitations within the correctional system at the time, 90 general population inmates were displaced to contracted jail beds in Cloud and Jackson counties to allow suitable space for mental health inmates at correctional facilities. As the funding for the care of these inmates was included in the LSH budget, LSH transferred the \$1.3 million appropriated for their care to KDOC. The final transfer of inmates occurred in FY 2018. Both agencies have agreed to eliminate the inter-fund transfer, and replace it with a direct SGF appropriation to the KDOC Central Office, where the final expenditures are made.

The **Governor** does recommend the agency's enhancement request.

Fully Fund the Food Service Contract. The **agency** requests \$1.2 million SGF to fully fund the food service contract for all adult correctional facilities. The contract with Aramark, which is estimated at \$19.0 million, is based on an average daily population (ADP) of 10,603. The request is attributed to growth of the ADP and a 2.0 percent meal pricing increase. For budgeting purposes, the agency utilized an ADP projected prior to the COVID-19 pandemic.

The **Governor** does not recommend this enhancement.

Vehicle Replacement. The **agency** requests \$1.0 million SGF for replacement of 45 vehicles utilized for inmate transport, fire safety, parole offices, perimeter security, maintenance operations, and hospital transport. Vehicle types include buses, vans, pickup trucks, and sedans. The KDOC System maintains a fleet of 545 vehicles utilized at 9 correctional facilities, Kansas Correctional Industries, parole offices, and the Central Office. In recent years, approved budgets allowed for the replacement of 73 vehicles. The agency has implemented a plan to address the remaining 472 vehicles by replacing 10.0 percent of the fleet annually for a period of 10 years. The 45 vehicles requested represent 10.0 percent of the fleet that includes either the oldest model vehicles or vehicles with the highest mileage.

The **Governor** does not recommend this enhancement.

Larned State Hospital Food Service Contract. The **agency** requests \$814,121 to shift funding from LSH to the KDOC Central Office for the provision of food service at the Larned Correctional Mental Health Facility (LCMHF). Since the opening of LCMHF, the agencies have maintained an agreement by which LSH provides food preparation in exchange for inmate labor. In recent years, inmate labor in LSH kitchens has resulted in damaged equipment, delays due to correctional facility lockdowns, and opportunities for contraband trafficking. The agencies have agreed to shift food service operations from LSH to LCMHF, where expenditures would be integrated into KDOC's existing correctional system food service contract with Aramark. As part of the Aramark contract, pricing is estimated to be \$1.60 per meal, which is a decrease from the LSH rate of \$4.59 per meal, and would result in a decrease of expenditures totaling \$2.2 million.

The **Governor** does recommend the agency's enhancement request.

Safety and Security Equipment. The **agency** requests \$738,192 SGF to replace worn equipment and acquire new items utilized by staff to maintain safe operations at correctional facilities. The request is for approximately 1,900 items that include stab vests, portable radios, firearms, restraints, and pepper-spray weapons. The agency indicates that while previous one-time appropriations have addressed some deficiencies, a significant backlog remains. Items such as stab vests deteriorate due to exposure, and firearms become outdated and prone to malfunction.

The **Governor** does not recommend this enhancement.

Improved Retirement for Juvenile Correctional and Parole Officers. The **agency** requests \$259,209 SGF to transfer juvenile correctional and parole officers from the regular Kansas Public Employees Retirement System (KPERS) group to the KPERS Corrections—Group A, which includes adult correctional officers. The agency states juvenile correctional officers and parole officers perform work comparable to that of adult correctional officers and face similar risks. The agency indicates that the transfer would allow for early retirement from

positions the agency considers physically demanding. Such a request would require statutory change for implementation.

The **Governor** does not recommend this enhancement.

Increased GPS Monitoring. The **agency** requests \$200,000 SGF to expand the use of GPS monitoring of paroled offenders. The agency states mandatory lifetime GPS monitoring for sex offenders has resulted in fewer GPS units being available for high risk offenders and offenders with a history of domestic violence.

The **Governor** does not recommend this enhancement.

FY 2022 Reduced Resources

The Governor has requested that specified agencies with SGF moneys provide a reduced resources budget submission of 10.0 percent for FY 2022. The information below provides details of the agencies' reduced resources budget submission for the SGF.

FY 2022 REDUCED RESOURCES						
Item	Agency Recommendation			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Cancel Expansion Projects at Lansing and Winfield	\$ (13,297,408)	\$ (13,297,408)	0.0	\$ (6,089,218)	\$ 0	0.0
Juvenile Alternatives to Detention Fund Lapse	0	(10,000,000)	0.0	0	0	0.0
Contract Bed Reduction	(7,205,976)	(7,205,976)	0.0	(7,205,976)	(7,205,976)	0.0
Return Out-of-State Inmates	(3,424,908)	(3,424,908)	0.0	(3,424,908)	(3,424,908)	0.0
Adult and Juvenile Offender Management Software	0	(3,000,000)	0.0	0	0	0.0
Adult Residential Centers Services Reduction	(2,008,048)	(2,008,048)	0.0	0	0	0.0
Graduated Sanctions Reduction	(1,931,120)	(1,931,120)	0.0	0	0	0.0
Central Office Staffing Reduction	(900,776)	(900,776)	0.0	(450,000)	(450,000)	0.0
Increased Facilities Shrinkage	(497,460)	(497,460)	0.0	(497,460)	(497,460)	0.0
Community Corrections Supervision Fund Sweep	0	(326,123)	0.0	(326,123)	(326,123)	0.0
Community-Based Behavioral Health Services Reduction	(70,000)	(70,000)	0.0	0	0	0.0
TOTAL	\$ (29,335,696)	\$ (42,661,819)	0.0	\$ (17,993,685)	\$ (11,904,467)	0.0

The **agencies** submit a reduced resource budget of \$42.7 million, including \$29.3 million SGF, to meet its reduced resource target of 10.0 percent for FY 2022. The agencies' proposed reductions are detailed below:

Cancellation of Expansion Projects at Lansing and Winfield. The agency submits a reduced resources budget that includes \$13.3 million SGF for cancellation of expansion projects at the Lansing and Winfield correctional facilities. This \$13.3 million is comprised of \$7.2 million appropriated in FY 2021 and \$6.0 million requested for FY 2022.

In August 2019, the Kansas Sentencing Commission projected the adult male inmate population would exceed correctional system capacity by 356 inmates in FY 2022. To address this, the 2020 Legislature appropriated a total of \$13.3 million for two capital improvement projects that would add 441 beds. The first project will renovate the former X-unit site at Lansing into a 200-bed substance abuse treatment center. The second project will renovate two buildings at the Kansas Veterans' Home, located near the Winfield Correctional Facility, into a 241-bed nursing care and substance abuse treatment center.

In June 2020, the Governor allotted \$6.0 million of the \$13.3 million SGF from the agency's budget for the expansion projects in FY 2021. The agency has requested to shift those \$6.0 million in expenditures to FY 2022; which, in addition to the \$7.2 million in expenditures remaining for FY 2021, would retain a total project cost of \$13.3 million. If adopted, the reduced resources proposal would result in a lapse of \$7.2 million in FY 2021 and a reduction in expenditures of \$6.0 million for FY 2022.

The agency states that the COVID-19 pandemic has resulted in limiting the use of dormitory-style beds throughout the correctional system to mitigate disease spread. Absent the additional individualized beds provided by these expansion projects, the agency anticipates a need to reoccupy dormitory-style beds should the population achieve pre-pandemic levels. Use of these beds may increase the risk of disease outbreaks and necessitate the use of contracted beds.

The **Governor** recommends partial adoption of this reduced resources proposal. The recommendation retains expenditures totaling \$13.3 million for nursing care and substance abuse facilities at Lansing and Winfield, which includes \$7.2 million in FY 2021 and \$6.1 million in FY 2022. However, the Governor recommends shifting the funding source for FY 2022 from the SGF to the State Institutions Building Fund.

Juvenile Alternatives to Detention Fund Lapse. The agency submits a reduced resources budget that includes lapsing \$10.0 million from the Juvenile Alternatives to Detention Fund. Per KSA 79-4803, the fund is intended to support grants to counties for the development of community-based alternatives to juvenile detention. Additionally, 2020 SB 66 authorizes the agency to use the fund for payments to juvenile detention centers and out-of-home placements.

Revenue to the fund in FY 2022 is estimated to be \$3.5 million and includes an annual transfer from the state gaming revenues fund of \$2.5 million. Expenditures from the fund in FY 2022 are estimated to be \$3.5 million and include evidence-based programs (\$2.0 million), prevention grants to counties (\$1.1 million), out-of-home placements (\$400,000), and juvenile detention centers (\$40,000). These expenditures would leave the fund with an ending balance of \$10.5 million in FY 2022.

The agency indicates that juvenile justice reforms implemented through 2016 SB 367 led to a decline in youth held at detention centers and out-of-home placements. This has resulted in decreased expenditures from the fund in recent years and growth in the fund balance. The agency states that a one-time lapse of \$10.0 million would leave a sufficient balance to support anticipated expenditures with no impact to programs.

The **Governor** recommends partial adoption of this reduced resources proposal. Rather than lapse funds from the balance of the Juvenile Alternatives to Detention Fund, the Governor recommends utilizing \$10.0 million from the fund to offset SGF moneys for the provision of graduated sanctions grants awarded to juvenile community corrections agencies.

Contract Bed Reduction. The agency submits a reduced resources budget that includes \$7.2 million SGF for a reduction in contract bed expenditures. The agency budgeted \$10.6 million to house up to 360 male inmates at the Saguaro Correctional Facility in Eloy, Arizona, and 45 female inmates at county jails. As of September 2020, only 120 inmates reside in Arizona and no female inmates were housed at county jails. The agency does not anticipate growth in these populations and indicates the reduced resources proposal would leave sufficient funds to maintain 120 inmates in Arizona.

The **Governor** recommends adoption of this reduced resources proposal. In December 2020, the agency returned to Kansas all remaining inmates housed in Arizona. Further, the Governor notes the agency does not anticipate housing female inmates at county jails.

Return of Out-of-State Inmates. The agency submits a reduced resources budget that includes \$3.4 million SGF for a further reduction in contract bed expenditures. As of September 2020, 120 male inmates reside at the Saguaro Correctional Facility in Eloy, Arizona. Returning these inmates to correctional facilities in Kansas would result in decreased expenditures for contract beds and staff travel of \$3.4 million. The agency expresses intent to return these inmates to Kansas as soon as possible. However, the COVID-19 pandemic requires that some beds in Kansas correctional facilities remain unoccupied to allow for social distancing. Premature return of these inmates may adversely impact social distancing measures and increase the risk of an outbreak.

The **Governor** recommends adoption of this reduced resources proposal due to the return of inmates in December 2020.

Adult and Juvenile Offender Management Data System. The agency submits a reduced resources budget that includes \$3.0 million from special revenue funds for cancellation of plans to replace adult and juvenile offender data systems. The agency budgeted \$3.0 million from its General Fees Fund to partially finance replacement of the OMIS and the JJCFS. The reduced resource proposal would decrease expenditures from the General Fees Fund by \$3.0 million, which the agency indicates could be transferred to the SGF. For more detail on its enhancement, please see above.

The **Governor** does not recommend adoption of this reduced resources proposal.

Adult Residential Centers Services Reduction. The agency submits a reduced resources budget that includes \$2.0 million SGF to eliminate funding for two adult residential centers. The agency annually provides grants to 31 community corrections agencies operated by county governments to support the supervision of adult felony probationers. Two community corrections agencies, in Johnson and Sedgwick counties, receive additional support for adult residential centers. Services at these centers include substance abuse treatment, mental health interventions, and employment and education assistance for high-risk offenders. As of July 30, 2020, the average daily population of probationers housed at these residential centers was 230. The agency states that elimination of funding for the residential centers may increase probation revocations due to lack of services, which would result in increased prison admissions.

The **Governor** does not recommend adoption of this reduced resources proposal.

Graduated Sanctions Grants Reduction. The agency submits a reduced resources budget that includes a \$1.9 million reduction of the \$19.3 million SGF budgeted for Graduated Sanctions grants. These grants are provided to community corrections agencies operated by county governments to support the supervision of juveniles. Services include intensive supervision programs, supervision of youth removed from the home, and intake and assessment services at local detention centers. The agency states the number of juvenile offenders requiring these services has declined in recent years due to the juvenile justice reforms implemented through 2016 SB 367. If adopted, the agency indicates the reduction would be distributed across all community corrections agencies.

The **Governor** does not recommend adoption of this reduced resources proposal.

Central Office Staffing Reduction. The agency submits a reduced resources budget that includes \$1.9 million SGF for a 10.0 percent reduction in salaries and wages expenditures within the KDOC Central Office. Such a reduction would eliminate funding for 12.0 FTE positions. The agency proposes to eliminate at least one position from each of the following areas:

- Evasion, Apprehension, and Investigation;
- Facilities Management;
- Fiscal;
- Human Resources;
- Information Technology;
- Juvenile and Adult Community-Based Services;
- Legal;
- Prisoner Review Board;
- Programs and Risk Reduction; and
- Victim Services

According to the agency, elimination of these positions may result in reducing the delivery of programming, such as substance abuse treatment and educational services. Further, staff reductions may lead to reduced oversight and technical assistance to local agencies supervising adult probationers and juvenile offenders in communities.

The **Governor** recommends partial adoption of this reduced resource proposal. The recommendation reduces expenditures for Central Office staffing by \$450,000, but retains \$450,776 for such purpose. This reduction will eliminate funding for 6.0 FTE positions.

Increased Facilities Shrinkage Rate. The agency submits a reduced resources budget that includes \$497,460 to increase the shrinkage rate among staff at correctional facilities. Shrinkage is the intentional reduction of salaries and wages expenditures for authorized positions to account for planned vacancies. This increased shrinkage rate would result in a total of ten additional positions left vacant to stay within budget. The agency indicates such a reduction may require mandatory overtime to cover vacant shifts, which would lead to employee burnout and staff turnover at correctional facilities.

The **Governor** recommends adoption of this reduced resources proposal.

Community Corrections Supervision Fund Transfer. The agency submits a reduced resources budget that includes transferring \$326,123 to the SGF from the Community Corrections Supervision Fund. Per KSA 75-52,113, the fund is intended for the community supervision of individuals convicted of certain DUI offenses. Fund revenue in FY 2022 is estimated to be \$1.3 million and includes driver's license reinstatement fees and DUI penalties. Expenditures from the fund for FY 2022 total \$1.2 million for grants to community corrections

agencies to support substance use disorder treatment of offenders. These expenditures result in an ending balance of \$907,547. The agency states that a one-time lapse of \$326,123 would leave the fund with a sufficient balance to support anticipated expenditures with no impact to programs.

The **Governor** recommends partial adoption of this reduced resources proposal. Rather than transfer funds from the Community Corrections Supervision Fund, the recommendation includes an SGF decrease of \$326,123 for grants to community corrections agencies.

Community-Based Behavioral Health Services Reduction. The agency submits a reduced resources budget that includes a reduction of \$70,000 from \$3.0 million provided to community corrections agencies for behavioral health services among probationers. The reduction includes termination of contract with Central Kansas Mental Health Center, which provides mental health services through peer mentors for offenders in Saline County. The reduction also includes termination of contracts with Heartland Regional Alcohol and Drug Assessment Center of Kansas, which provide case management of offenders related to substance misuse.

The **Governor** does not recommend adoption of this reduced resources proposal.

Governor's Recommended Salary and Wage Adjustments

For FY 2022, the Governor recommends adding \$31.5 million, including \$11.3 million SGF, for a 2.5 percent state employee base pay adjustment. The plan would increase salaries for classified and unclassified employees in the Executive Branch, Legislative Branch, and Judicial Branch. Legislative and elected officials would be excluded from this salary adjustment. The funds would be appropriated to and certified for distribution by the State Finance Council if approved. Employees of state universities are also not included in the proposed pay plan; however, the Governor recommends adding \$10.4 million, all SGF, to the university operating grants. This amount is equivalent to what the pay plan would have provided for university employees, but the funds are included in the Kansas Board of Regents budget for use at their discretion.

Longevity Bonus Payments. In FY 2021 and for FY 2022, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400) and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008, are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2021 payment is \$3.0 million, including \$1.1 million SGF. For FY 2022, the estimated cost is \$3.1 million, including \$1.1 million SGF. **For the KDOC System, FY 2021 longevity payments total \$584,601, including \$485,369 SGF, and FY 2022 longevity payments total \$609,120, including \$586,138 SGF.**

Kansas Public Employees Retirement System (KPERs). The employer retirement contribution rate, including Death and Disability contributions, for the KPERs State and School Group is scheduled to be 14.23 percent in FY 2021 and 15.09 percent for FY 2022. The FY 2021 rate excludes the 1.0 percent KPERs Death and Disability contribution that is currently subject to a moratorium described below.

The Governor recommends the KPERs State and School Group be reamortized. The current amortization period was set by the Legislature in 1993 for 40 years. The Governor proposes the new amortization be set for 25 years beginning in FY 2022, an extension of 10 years to the current plan. Reamortization would reduce employer contributions for the KPERs State and School Group in the short term. It is estimated that resetting the amortization period to 25 years could produce budget savings of \$177.3 million, including \$158.7 million SGF, for FY 2022. The Governor's recommendation would also incorporate \$25.8 million in KPERs layering payments into the amortization schedules. **No savings from this policy are currently included in this agency's budget.**

KPERs Death and Disability Group Insurance Fund. During FY 2021, a moratorium on employer contributions to the KPERs Death and Disability Group Insurance Fund was in effect. The fund had a sufficient balance to suspend payments on a temporary basis without affecting employee benefits. The moratorium was implemented *via* the Governor's allotment authority; therefore, the Legislative and Judicial branches are currently excluded from the moratorium. The total savings for the moratorium are estimated at \$46.7 million in contributions from the SGF. Included in this amount were savings of approximately \$40.3 million from KPERs School Group contributions in the Kansas State Department of Education budget. No similar moratorium is proposed for FY 2022, requiring the addition of \$46.7 million to annualize the payments for the full fiscal year.

Funding Sources

Funding Source	Agency Req. Percent of Total FY 2022	Gov. Rec. Percent of Total FY 2022
State General Fund	91.5 %	87.6 %
Federal Funds	0.8	0.8
Correctional Industries	3.0	3.2
State Institutions Building Fund	0.1	1.4
Correctional Institutions Building Fund	0.9	1.0
All Other Funds	3.7	6.0
TOTAL	100.0 %	100.0 %

(Note: Totals may not add due to rounding.)

Evidence-Based Juvenile Programs Account of the State General Fund

The Evidence-Based Juvenile Programs account of the SGF is administered by the KDOC Central Office and is intended to support evidence-based community programs for juvenile offenders, juveniles experiencing mental health crisis, and their families. The Juvenile Justice Oversight Committee (JJOC) makes recommendations to the Secretary of Corrections regarding expenditures from the account. The JJOC is a 21-member committee with members appointed by or representing the Governor, Legislature, KDOC, Kansas Department of Education, Kansas Department for Children and Families (DCF), Kansas Supreme Court, and the Office of the Attorney General.

In recent years, actual expenditures from the account have been less than budgeted expenditures, which has resulted in the reappropriation of SGF moneys and a growth in the balance of the account.

Resource Estimate	Actual FY 2020	Agency Estimate FY 2021	Gov. Rec. FY 2021	Agency Request FY 2022	Gov. Rec. FY 2022
Reappropriation	\$ 30,126,475	\$ 42,136,132	\$ 42,136,132	\$ 14,321,500	\$ 14,321,500
Appropriation	12,485,102	14,321,500	14,321,500	0	0
Transfers in	9,138,326	54,509	54,509	0	0
Proposed Allotment	0	(42,190,641)	(42,190,641)	0	0
<i>Funds Available</i>	\$ 51,749,903	\$ 14,321,500	\$ 14,321,500	\$ 14,321,500	\$ 14,321,500
Less:					
Expenditures	\$ 9,613,771	\$ 14,321,500	\$ 14,321,500	\$ 14,321,500	\$ 14,321,500
Transfers Out	0	0	0	0	0
Off-Budget Expenditures	0	0	0	0	0
Ending Balance	\$ 42,136,132	\$ 0	\$ 0	\$ 0	\$ 0
Ending Balance as Percent of Expenditures	438.3%	0.0%	0.0%	0.0%	0.0%

The agency requests a revised estimate of \$14.3 million in expenditures from the

Evidence-Based Juvenile Programs account of the SGF in FY 2021. The revised estimate is unchanged from the amount approved by the 2020 Legislature. Revenue to the account in FY 2021 includes \$14.3 million in appropriations, \$54,509 in inter-fund transfers resulting from savings in other areas of the Central Office, and \$42.1 million in unexpanded funds reappropriated from FY 2020.

Expenditures from the account in FY 2021 include:

- \$76,677 for a Crossover Youth Practice Model statewide coordinator;
- \$4.9 million for the maintenance of statewide contracts and implementation of new programs;
- \$2.0 million for juvenile portion of replacing the adult and juvenile offender management data systems;
- \$3.6 million as aid to local units of governments through County Reinvestment and Collaboration grants; and
- \$3.8 million in assistance to local Juvenile Corrections Advisory Boards (JCABs), which are composed of local representatives from the areas of justice, education, and social services. They are affiliated with 31 judicial districts and work with county commission boards to implement juvenile justice plans within the community.

Included in the Governor's June 29 allotment plan was the proposal to allot \$42.2 million from the account in FY 2021, which includes unexpended funds reappropriated from FY 2020 (\$42.1 million) and inter-fund transfers from other areas of the agency (\$54,409) However, such an allotment requires legislative approval, pursuant to KSA 75-3722.

The **Governor** recommends \$14.3 million in expenditures from the Evidence-Based Juvenile Programs account in FY 2021. The recommended amount is unchanged from the agency's 2021 revised estimate. The recommendation includes the lapse of \$42.2 million from the account, as part of the Governor's allotment plan.

The **agency** requests expenditures totaling \$14.3 million from the Evidence-Based Juvenile Programs account of the SGF for FY 2022. The request amount is unchanged from the FY 2021 revised estimate. Included in the request is \$2.0 million for software development to support the juvenile component of combining of the adult and juvenile offender management data systems..

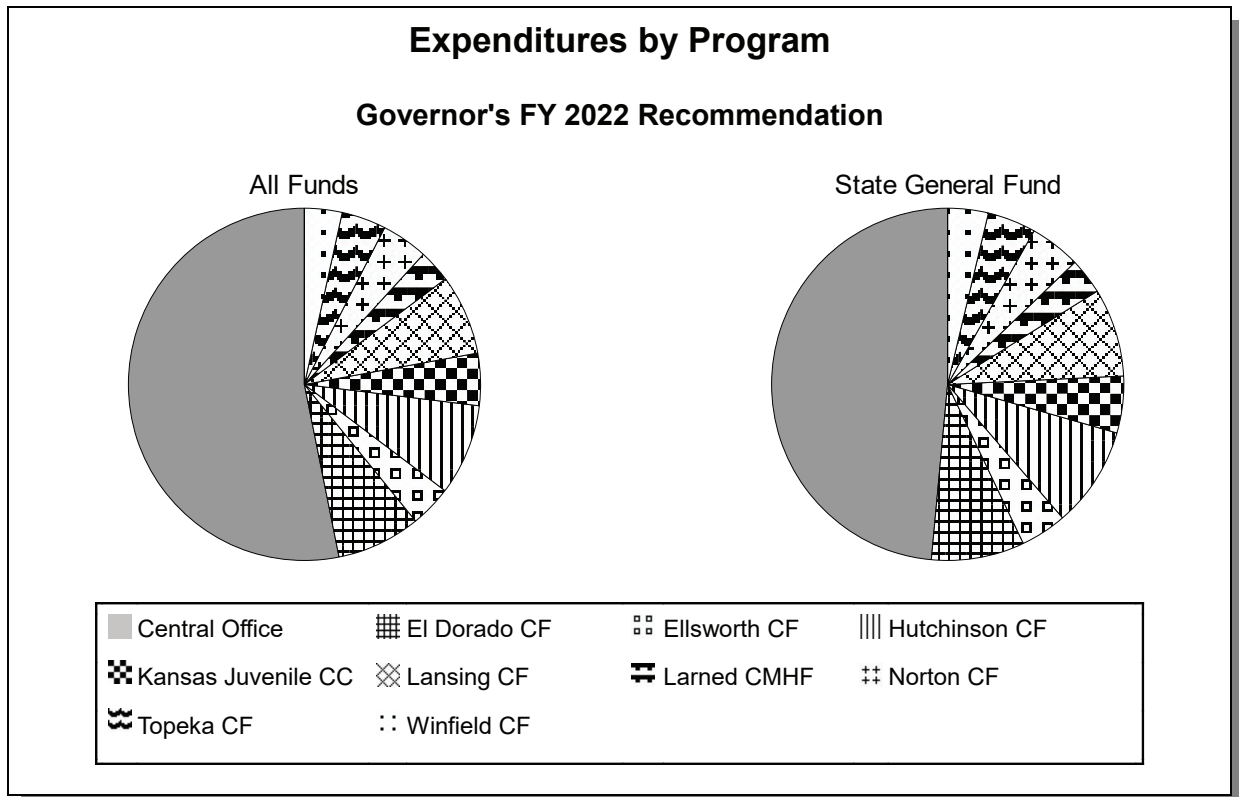
The Governor recommends \$14.3 million in expenditures from the Evidence-Based Juvenile Programs in FY 2021. The recommended amount is unchanged from the agency's request. However, rather than utilize \$2.0 million for software development to support the juvenile component of replacing the adult and juvenile offender management data systems with a single system, the Governor recommends utilizing \$2.0 million for initial debt service on a six-year loan totaling \$20.0 million for the same purpose.

The table below outlines the agency's request and the Governor's recommendation for expenditures from the Evidence Based Juvenile Programs account of the SGF in FY 2020 and

FY 2021.

	Agency Estimate FY 2021	Gov. Rec. FY 2021	Agency Request FY 2022	Gov. Rec. FY 2022
Functional Family Therapy	\$ 1,387,000	\$ 1,387,000	\$ 1,387,000	\$ 1,387,000
Family Engagement and Family Guide	1,000,000	1,000,000	1,000,000	1,000,000
Youth Advocate Program	600,000	600,000	600,000	600,000
Mental Health Services	500,000	500,000	500,000	500,000
Substance Abuse Counseling for Families	500,000	500,000	500,000	500,000
Community-Based Sex Offender Treatment	300,000	300,000	300,000	300,000
Crossover Youth Practice Model (CYPM)	246,000	246,000	246,000	246,000
CYPM Coordinator	76,677	76,677	77,234	77,234
CYPM Staff at DCF and KDOC	96,323	96,323	95,766	95,766
Crime and Justice Institute Technical Assistance	66,000	66,000	66,000	66,000
Aggression Replacement Training	50,000	50,000	50,000	50,000
Parent Project	50,000	50,000	50,000	50,000
Moral Reconciliation Therapy	42,000	42,000	42,000	42,000
Mental Health Training Curriculum	23,000	23,000	23,000	23,000
Massachusetts Youth Screening Instrument	12,000	12,000	12,000	12,000
Youth Level-of-Service Screener	12,000	12,000	12,000	12,000
Other Contractual Services	14,829	14,829	14,829	14,829
Adult and Juvenile Offender Management Data System	2,000,000	2,000,000	2,000,000	2,000,000
JCAB Grants	3,750,000	3,750,000	3,750,000	3,750,000
County Reinvestment Grants	3,101,297	3,101,297	3,101,297	3,101,297
Collaboration Grants	494,374	494,374	494,374	494,374
TOTALS	<u>\$ 14,321,500</u>	<u>\$ 14,321,500</u>	<u>\$ 14,321,500</u>	<u>\$ 14,321,500</u>

PROGRAM DETAIL



Program	Gov. Rec. All Funds FY 2022	Percent of Total	Gov. Rec. SGF FY 2022	Percent of Total
Central Office	\$ 239,901,331	53.1 %	\$ 197,480,339	48.5 %
El Dorado CF	34,808,237	7.7	34,798,237	8.6
Ellsworth CF	17,359,969	3.8	17,281,796	4.2
Hutchinson CF	37,781,769	8.4	37,616,908	9.2
Kansas Juvenile CC	21,636,409	4.8	21,128,884	5.2
Lansing CF	33,299,804	7.4	33,049,854	8.1
Larned CMHF	13,460,854	3.0	13,460,854	3.3
Norton CF	19,172,440	4.2	18,982,385	4.7
Topeka CF	18,472,714	4.1	18,120,951	4.5
Winfield CF	15,539,422	3.4	15,069,380	3.7
TOTAL	\$ 451,432,949	100.0 %	\$ 406,989,588	100.0 %

FTE POSITIONS BY PROGRAM FY 2020 – FY 2022					
Program	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Central Office	507.0	516.5	516.5	516.5	516.5
El Dorado CF	486.0	486.0	486.0	486.0	486.0
Ellsworth CF	234.0	234.0	234.0	234.0	234.0
Hutchinson CF	507.0	508.0	508.0	508.0	508.0
Kansas Juvenile CC	264.5	264.5	264.5	264.5	264.5
Lansing CF	525.5	409.0	409.0	409.0	409.0
Larned CMHF	189.0	220.0	220.0	189.0	189.0
Norton CF	264.0	264.0	264.0	264.0	264.0
Topeka CF	260.0	261.5	261.5	261.5	261.5
Winfield CF	201.0	201.0	201.0	201.0	201.0
TOTAL	3,438.0	3,364.5	3,364.5	3,333.5	3,333.5

(Note: For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.)

KANSAS DEPARTMENT OF CORRECTIONS CENTRAL OFFICE

The following portion (sections A through K) of this budget analysis only describes the KDOC Central Office. This portion includes a summary operating budget table, followed by analysis of each program contained within the KDOC Central Office. The Central Office is responsible for managing itself and supervising the operations of correctional facilities (e.g., Ellsworth Correctional Facility) holding felony offenders in the state. Summaries of the individual KDOC correctional facilities (CFs) are detailed after this portion of the budget analysis.

Summary of Central Office's Operating Budget FY 2020 - FY 2022

	Actual FY 2020	Agency Estimate			Governor's Recommendation				
		Estimate FY 2021	Request FY 2022	Dollar Change from FY 21	Percent Change from FY 21	Rec. FY 2021	Rec. FY 2022	Dollar Change from FY 21	Percent Change from FY 21
By Program:									
Administration	\$ 13,885,716	\$ 18,943,712	\$ 17,936,738	\$ (1,006,974)	(5.3)%	\$ 22,086,928	\$ 13,968,217	\$ (8,118,711)	(36.8)%
Inmate Health Care	77,520,434	87,433,868	88,801,880	1,368,012	1.6	85,618,359	87,085,713	1,467,354	1.7
Community Supervision	34,294,603	35,619,283	37,531,178	1,911,895	5.4	35,619,283	35,375,388	(243,895)	(0.7)
Offender Programs	13,158,895	14,192,753	16,578,468	2,385,715	16.8	14,185,453	14,383,207	197,754	1.4
Victim Services	1,712,179	2,089,119	2,071,393	(17,726)	(0.8)	2,089,119	2,071,393	(17,726)	(0.8)
Prisoner Review Board	497,334	475,253	478,680	3,427	0.7	475,253	478,680	3,427	0.7
Juvenile Services	31,987,487	40,212,174	40,187,832	(24,342)	(0.1)	40,202,642	38,187,832	(2,014,810)	(5.0)
Facilities Management	12,450,268	29,844,103	30,472,079	627,976	2.1	20,742,101	19,343,735	(1,398,366)	(6.7)
Food Service	16,929,179	17,397,944	18,984,632	1,586,688	9.1	15,055,060	16,242,450	1,187,390	7.9
Debt Service - Interest	209,604	0	0	0	--	0	142,450	142,450	--
Kansas Correctional Industries	11,306,562	12,152,480	12,622,266	469,786	3.9	12,152,480	12,622,266	469,786	3.9
TOTAL	\$ 213,952,261	\$ 258,360,689	\$ 265,665,146	\$ 7,304,457	2.8 %	\$ 248,226,678	\$ 239,901,331	\$ (8,325,347)	(3.4)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 32,711,943	\$ 34,201,133	\$ 38,601,316	\$ 4,400,183	12.9 %	\$ 36,815,416	\$ 33,569,719	\$ (3,245,697)	(8.8)%
Contractual Services	126,847,424	160,340,975	163,346,338	3,005,363	1.9	147,592,681	144,565,761	(3,026,920)	(2.1)
Commodities	7,007,555	6,648,582	7,080,444	431,862	6.5	6,648,582	7,080,444	431,862	6.5
Capital Outlay	2,282,727	2,909,919	2,376,968	(532,951)	(18.3)	2,909,919	609,000	(2,300,919)	(79.1)
Debt Service - Interest	209,604	0	0	0	--	0	142,450	142,450	--
<i>Subtotal - Operations</i>	<i>\$ 169,059,253</i>	<i>\$ 204,100,609</i>	<i>\$ 211,405,066</i>	<i>\$ 7,304,457</i>	<i>3.6 %</i>	<i>\$ 193,966,598</i>	<i>\$ 185,967,374</i>	<i>\$ (7,999,224)</i>	<i>(4.1)%</i>
Aid to Local Units	42,234,367	47,890,820	47,890,820	0	0.0	47,890,820	47,564,697	(326,123)	(0.7)
Other Assistance	2,658,641	6,369,260	6,369,260	0	0.0	6,369,260	6,369,260	0	0.0
TOTAL	\$ 213,952,261	\$ 258,360,689	\$ 265,665,146	\$ 7,304,457	2.8 %	\$ 248,226,678	\$ 239,901,331	\$ (8,325,347)	(3.4)%
Financing:									
State General Fund	\$ 181,476,977	\$ 221,061,884	\$ 233,244,154	\$ 12,182,270	5.5 %	\$ 207,761,939	\$ 197,480,339	\$ (10,281,600)	(4.9)%
Federal CARES Act	922,988	100,756	0	(100,756)	(100.0)	3,266,690	0	(3,266,690)	(100.0)
All Other Federal Funds	3,321,343	3,207,455	3,058,629	(148,826)	(4.6)	3,207,455	3,058,629	(148,826)	(4.6)
Correctional Industries	11,299,389	12,152,480	12,622,266	469,786	3.9	12,152,480	12,622,266	469,786	3.9
All Other Funds	16,931,564	21,838,114	16,740,097	(5,098,017)	(23.3)	21,838,114	26,740,097	4,901,983	22.4
TOTAL	\$ 213,952,261	\$ 258,360,689	\$ 265,665,146	\$ 7,304,457	2.8 %	\$ 248,226,678	\$ 239,901,331	\$ (8,325,347)	(3.4)%

A. Administration

The Administration program includes the activities of the Secretary of Corrections and other administrative and support personnel for the operation of the Department of Corrections and the management and oversight of correctional facilities and programs providing services to inmates, parolees, and other offenders. The program also includes information systems and communications personnel responsible for planning, operation, and support of all technology and telecommunications functions.

Administration Subprograms. The Administration Program is composed of three subprograms: Administration, Information Services, and Evasions Apprehension and Investigation (EAI). These are detailed below:

- Administration includes the activities of the Secretary of Corrections and other administrative and support personnel responsible for the operation, management, and oversight of the agency, including the development and implementation of policy and facility oversight;
- Information Services is a subprogram responsible for the planning, operation, and support of all information technology functions and telecommunications; and
- Evasions Apprehension and Investigation is a specialized unit responsible for investigating incidents involving inmates, staff, or individuals on the premises of correctional facilities.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Community Corrections Programs Requiring Technical Assistance and Oversight by Central Administration	31	31	31	31	31	31
Number of Hours to Restore IT Services After Failure	9.0	5.0	3.0	3.0	2.5	2.5
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 10.1	\$ 11.1	\$ 14.3	\$ 13.9	\$ 22.1	\$ 14.0
FTE Positions	98.0	96.6	103.6	103.6	103.6	103.6

ADMINISTRATION					
SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 7,926,197	\$ 7,591,726	\$ 10,206,009	\$ 7,908,399	\$ 7,199,190
Contractual Services	4,838,636	9,823,330	10,352,263	8,151,750	6,660,406
Commodities	408,704	107,900	107,900	108,621	108,621
Capital Outlay	711,179	1,420,756	1,420,756	1,767,968	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 13,884,716</u>	<u>\$ 18,943,712</u>	<u>\$ 22,086,928</u>	<u>\$ 17,936,738</u>	<u>\$ 13,968,217</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	1,000	0	0	0	0
TOTAL	<u>\$ 13,885,716</u>	<u>\$ 18,943,712</u>	<u>\$ 22,086,928</u>	<u>\$ 17,936,738</u>	<u>\$ 13,968,217</u>
Financing:					
State General Fund	\$ 10,334,242	\$ 14,033,030	\$ 14,010,312	\$ 17,454,525	\$ 13,486,004
All Other Funds	3,551,474	4,910,682	8,076,616	482,213	482,213
TOTAL	<u>\$ 13,885,716</u>	<u>\$ 18,943,712</u>	<u>\$ 22,086,928</u>	<u>\$ 17,936,738</u>	<u>\$ 13,968,217</u>
FTE Positions	103.6	103.6	103.6	103.6	103.6

The **agency** requests a revised estimate of \$18.9 million, including \$14.0 million SGF for operating expenditures in the Administration program in FY 2021. This is an all funds decrease of \$4.9 million, or 20.5 percent, and an SGF decrease of \$4.9 million, or 26.0 percent, below the FY 2021 approved amount. The decrease attributable to a shrinkage reduction (\$5.0 million) approved by the 2020 Legislature for transfer to correctional facilities to fill vacant positions. The decrease is also attributable to the replacement of the Total Offender Access Document System utilized by community corrections agencies, being completed in FY 2020. The project was originally supposed to continue into FY 2021. The decrease is partially offset by increased expenditures related to the initial phase of replacing the adult and juvenile offender management data systems (\$2.8 million).

The **Governor** recommends \$22.1 million, including \$14.0 million SGF for operating expenditures in the Administration program in FY 2021. This is an all funds increase of \$3.1 million, or 16.6 percent, and an SGF decrease of \$22,718, or 0.2 percent, below the agency's FY 2021 revised estimate. The increase is attributable to an allocation of federal Coronavirus Relief Fund moneys for salaries and wages (\$2.6 million) and procurement of PPE (\$551,651) in response to the pandemic. The increase is partially offset by a lapse of SGF moneys reappropriated from FY 2020 (\$22,178).

The **agency** requests \$17.9 million, including \$17.5 million SGF, for operating expenditures in the Administration program for FY 2022. This is an all funds decrease of \$1.0 million, or 5.3 percent, and an SGF increase of \$3.4 million, or 24.4 percent, above the FY 2021 revised estimate. The decrease is attributable to decreased expenditures for computer software programming (\$1.5 million), which would retain \$1.5 million for the agency's enhancement request to replace the adult and juvenile offender management data systems. The decrease is partially offset by increased expenditures for the agency's enhancement requests for systemwide replacement of vehicles (\$1.0 million) and the purchase of safety and security equipment (\$738,192), which will be distributed to facilities. Increased expenditures further include an enhancement request related to enhanced retirement benefits for juvenile correctional and parole officers (\$259,209).

The **Governor** recommends expenditures of \$14.0 million, including \$13.5 million SGF, for the Administration program for FY 2022. This is a decrease of \$4.0 million, all SGF, or 22.1 percent, below the agency's FY 2022 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests for vehicle replacement (\$1.0 million), safety and security equipment (\$738,192), and improved retirement benefits for parole and juvenile correctional officers (\$259,209). Further, the Governor recommends shifting expenditures for the replacement of the offender data systems from operating to capital improvements expenditures. This results in a decrease of \$1.5 million SGF in operating expenditures for FY 2022. The decrease is also attributable to partial adoption of a reduced resources proposal reducing Central Office salaries and wages (\$450,000).

B. Inmate Health Care

Inmate health care is provided for by an all-inclusive contract with Centurion of Kansas, LLC. The contract covers medical, dental, and mental health services for all inmates and juveniles. KDOC entered into a contract with Centurion that became effective July 1, 2020. Included in the Inmate Health Care program are expenditures for Medicaid services, hepatitis C treatment, and COVID-19 medical response.

Inmate Health Care Subprograms. The Inmate Health Care program is composed of two subprograms: Adult Health Care Services and Juvenile Health Care Services. These are detailed below:

- The Adult Health Care Services subprogram provides health care services for adult inmates and includes Medicaid inpatient hospitalization costs for inmates who are under 18, over 65, pregnant, or disabled; and
- The Juvenile Health Care Services program provides health care to youth housed at the Kansas Juvenile Correctional Complex.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Percent of Vacant FTE Medical Positions	13.0 %	12.6 %	10.0 %	15.0 %	10.0 %	10.0
Inmates Treated for Hepatitis C	44	110	567	444	500	500
Inmate Suicides	2	3	0	4	0	0
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 67.9	\$ 73.7	\$ 78.9	\$ 77.5	\$ 85.6	\$ 87.1
FTE Positions	7.0	5.0	4.0	4.0	2.0	2.0

**INMATE HEALTH CARE
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 197,468	\$ 116,653	\$ 116,653	\$ 117,643	\$ 117,643
Contractual Services	76,005,052	86,017,215	84,201,706	87,384,237	85,668,070
Commodities	219,159	200,000	200,000	200,000	200,000
Capital Outlay	557	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 76,422,236</u>	<u>\$ 86,333,868</u>	<u>\$ 84,518,359</u>	<u>\$ 87,701,880</u>	<u>\$ 85,985,713</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	1,098,198	1,100,000	1,100,000	1,100,000	1,100,000
TOTAL	<u>\$ 77,520,434</u>	<u>\$ 87,433,868</u>	<u>\$ 85,618,359</u>	<u>\$ 88,801,880</u>	<u>\$ 87,085,713</u>
Financing:					
State General Fund	\$ 75,963,644	\$ 85,814,742	\$ 83,999,233	\$ 87,182,754	\$ 85,466,587
All Other Funds	1,556,790	1,619,126	1,619,126	1,619,126	1,619,126
TOTAL	<u>\$ 77,520,434</u>	<u>\$ 87,433,868</u>	<u>\$ 85,618,359</u>	<u>\$ 88,801,880</u>	<u>\$ 87,085,713</u>
FTE Positions	4.0	2.0	2.0	2.0	2.0

The **agency** requests a revised estimate of \$87.4 million, including \$85.8 million SGF, for operating expenditures in the Inmate Health Care program in FY 2021. This is an all funds increase of \$9.9 million, or 12.8 percent, and an SGF increase of \$9.5 million, or 12.5 percent, above the FY 2021 approved amount. The increase is primarily attributable to the agency's supplemental request to fully fund the medical services contract for on-site medical, dental, and mental health care at all correctional facilities. The contract is estimated to total \$84.2 million. The increase is partially offset by decreased salaries and wages expenditure related to the retirement of two legacy staff that remained as state employees when the agency transitioned to contracted medical services in 2014.

The **Governor** recommends \$85.6 million, including \$84.0 million SGF, for operating expenditures in the the Inmate Health Care program in FY 2021. This is a decrease of \$1.8 million, all SGF, or 21.1 percent, below the agency's FY 2021 revised estimate. The recommendation includes a supplemental of \$7.8 million for the medical services contract, which is a decrease of \$1.7 million below the agency's request. The decrease is due to a lower than previously anticipated inmate population. The recommendation also includes a lapse of SGF moneys reappropriated from FY 2020 (\$168,900).

The **agency** requests \$88.8 million, including \$87.2 million SGF, for the Inmate Health Care program for FY 2022. This is an increase of \$1.4 million, all SGF, or 1.6 percent, above the FY 2021 revised estimate. The increase is primarily attributable to the agency's enhancement request of \$11.3 million to fully fund the medical services contract, which would be an increase (\$1.9 million) above the FY 2021 enhancement request for the same purpose. The contract is estimated to total \$85.5 million and includes an annual escalator fee of 2.5 percent.

The **Governor** recommends \$87.1 million, including \$85.5 million SGF, for operating expenditures in the Inmate Health Care program for FY 2022. This is a decrease of \$1.7 million, all SGF, or 1.9 percent, below the agency's FY 2022 request. The decrease is attributable to the Governor recommending the agency's enhancement request of \$9.6 million to fully fund the medical services contract. However, this is a decrease of \$1.7 million below the agency's request due to a decreased inmate population projection.

C. Community Supervision

The Community Supervision program consists of correctional programs, services, and sanctions that are administered in the community rather than a correctional facility. Offenders covered under the program include probationers and those sentenced under the alternative drug sentencing policy (2003 SB 123), as well as offenders who have been released from correctional facilities on parole. In addition to supervision and assessment of probationers and parolees, the program also operates residential facilities for adult felony probationers that are designed to increase accountability by helping offenders find employment and develop good work habits. The community corrections subprogram, as codified in KSA 75-5291, is a grant program administered at the county level. Currently, there are 31 corrections agencies across the state.

Community Supervision Subprograms. The Community Supervision program is composed of three subprograms: Parole Supervision, the Interstate Compact, and Technical Assistance and Oversight. These are detailed below:

- Parole Supervision is the subprogram that supervises offenders who are released from correctional facilities on parole, postrelease supervision, or conditional release, but who have not been discharged from his or her sentence;
- The Interstate Compact is responsible for regulating the transfer and movement between states of adult parole and probation offenders under community supervision; and
- The Technical Assistance and Oversight subprogram administers operating grants, behavioral health services to individuals under the supervision of KDOC, third-time DUI treatment, and payments to adult residential centers.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Offenders under Parole Supervision	5,624	5,741	6,015	6,318	6,100	6,100
Number of Offenders under Parole Supervision Returned to Prison for Condition Violations	1,104	1,090	1,200	784	1,200	1,200
Number of Adult Felony Residential Centers	241	250	251	240	256	257
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 36.0	\$ 36.6	\$ 35.4	\$ 34.3	\$ 35.6	\$ 35.4
FTE Positions	164.0	163.0	162.0	162.0	162.0	162.0

COMMUNITY SUPERVISION SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 9,511,306	\$ 9,911,088	\$ 9,911,088	\$ 11,622,983	\$ 9,993,316
Contractual Services	2,993,661	3,469,918	3,469,918	3,669,918	3,469,918
Commodities	196,276	142,000	142,000	142,000	142,000
Capital Outlay	109,227	50,000	50,000	50,000	50,000
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 12,810,470</u>	<u>\$ 13,573,006</u>	<u>\$ 13,573,006</u>	<u>\$ 15,484,901</u>	<u>\$ 13,655,234</u>
Aid to Local Units	21,276,179	21,891,277	21,891,277	21,891,277	21,565,154
Other Assistance	207,954	155,000	155,000	155,000	155,000
TOTAL	<u>\$ 34,294,603</u>	<u>\$ 35,619,283</u>	<u>\$ 35,619,283</u>	<u>\$ 37,531,178</u>	<u>\$ 35,375,388</u>
Financing:					
State General Fund	\$ 31,933,901	\$ 33,259,283	\$ 33,259,283	\$ 35,171,178	\$ 33,015,388
All Other Funds	2,360,702	2,360,000	2,360,000	2,360,000	2,360,000
TOTAL	<u>\$ 34,294,603</u>	<u>\$ 35,619,283</u>	<u>\$ 35,619,283</u>	<u>\$ 37,531,178</u>	<u>\$ 35,375,388</u>
FTE Positions	162.0	162.0	162.0	162.0	162.0

The **agency** requests a revised estimate of \$35.6 million, including \$33.3 million SGF, for operating expenditures in the Community Supervision program in FY 2021. This is an all funds increase of \$145,728, or 0.4 percent, and an SGF increase of \$148,343, or 0.4 percent, above the FY 2021 approved amount. The increase is primarily attributable to increased employer benefits contributions.

The **Governor** concurs with the agency's revised estimate in FY 2021.

The **agency** requests \$37.5 million, including \$35.2 million SGF, for operating expenditures in the Community Supervision program for FY 2022. This is an increase of \$1.9 million, all SGF, or 5.4 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement requests, detailed above, for parole officer pay equity (\$1.6 million) and for increased GPS monitoring (\$200,000) of paroled offenders.

The **Governor** recommends \$35.4 million, including \$33.0 million SGF, for operating expenditures in the Community Supervision program for FY 2022. This is a decrease of \$2.2 million, all SGF, or 5.7 percent, below the agency's FY 2022 request. The decrease is primarily attributable to the Governor not recommending the agency's enhancement requests for parole officer pay equity (\$1.6 million) and increased GPS monitoring (\$200,000). Contributing to the decrease is the partial adoption of decreased grants to community corrections agencies for the supervision of offenders (\$326,123) included in the agency's reduced resources budget.

D. Offender Programs

Offender programs provide direct program services to inmates and parolees. The agency bases its plan of treatment and programs on what is termed the evidence-based research literature, which identifies basic principles for guiding effective correctional programs and practice. As a result, the agency strives to provide services and programs to as many

offenders as is financially possible, if those offenders are identified as capable of benefiting from the services or programs based on the previously mentioned guiding principles. The services and programs include:

- Special, vocational, and academic education;
- Substance abuse treatment for incarcerated offenders;
- Community-based resources to assist offenders when they transition back into the community (Community Based Intermediate Treatment, Outpatient Treatment, and Community Residential Beds);
- Sex offender treatment services; and
- Other programs.

Offender Programs Subprograms. The Offender Program is composed of five subprograms: Housing, Mentoring, Mental Health, Program Providers, and Reentry Programs. These are detailed below:

- The Housing subprogram provides transitional housing for recently released offenders. KDOC has four housing specialists in Kansas City, Olathe, Topeka, and Wichita who work with various landlords, property managers, public housing authorities, parole officers, and unit team counselors to ensure that offenders have safe and affordable housing opportunities;
- The Mentoring subprogram provides volunteer mentors to work with offenders pre- and post-release to secure employment and housing, work through family issues, and provide positive social influencing and modeling;
- The Mental Health subprogram works with offenders with mental illness to provide discharge planning services at all facilities;
- The Program Providers subprogram contains expenditures for various contracts that provide programming services to offenders' reintegration into the community; and
- The Reentry subprogram works with offenders 18 months prior to release for high risk/need offenders and several months pre-release in all cases. These teams, and the treatment and program providers, receive support from a Central Office team that provides grant writing, policy development, data collection and review, skills development, training, and other support to the teams in the facilities and parole offices.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Percent of GED/academic improvement	59.0 %	63.4 %	60.0 %	60.0 %	60.0 %	60.0 %
Percent of Successful Vocational Training Skills	80.0 %	78.5 %	80.0 %	80.0 %	80.0 %	80.0 %
Percent of Successful College Courses	94.0 %	91.8 %	94.0 %	94.0 %	94.0 %	94.0 %
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 10.2	\$ 11.6	\$ 14.0	\$ 13.2	\$ 14.2	\$ 14.4
FTE Positions	70.0	71.0	105.0	105.0	109.0	109.0

OFFENDER PROGRAMS SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 5,885,147	\$ 6,917,186	\$ 6,917,186	\$ 9,168,420	\$ 6,973,159
Contractual Services	7,122,651	7,225,255	7,217,955	7,359,065	7,359,065
Commodities	23,692	15,312	15,312	15,983	15,983
Capital Outlay	27,236	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 13,058,726</u>	<u>\$ 14,157,753</u>	<u>\$ 14,150,453</u>	<u>\$ 16,543,468</u>	<u>\$ 14,348,207</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	100,169	35,000	35,000	35,000	35,000
TOTAL	<u>\$ 13,158,895</u>	<u>\$ 14,192,753</u>	<u>\$ 14,185,453</u>	<u>\$ 16,578,468</u>	<u>\$ 14,383,207</u>
Financing:					
State General Fund	\$ 5,995,650	\$ 6,265,748	\$ 6,258,448	\$ 8,504,095	\$ 6,308,834
All Other Funds	7,163,245	7,927,005	7,927,005	8,074,373	8,074,373
TOTAL	<u>\$ 13,158,895</u>	<u>\$ 14,192,753</u>	<u>\$ 14,185,453</u>	<u>\$ 16,578,468</u>	<u>\$ 14,383,207</u>
FTE Positions	105.0	109.0	109.0	109.0	109.0

The **agency** requests a revised estimate of \$14.2 million, including \$6.3 million SGF, for operating expenditures in Offender Programs in FY 2021. This is an all funds increase of \$264,082, or 1.9 percent, and an SGF increase of \$486,365, or 8.4 percent, above the FY 2021 approved amount. The increase is attributable to increased salaries and wages expenditures (\$281,333), primarily related to the creation of four new level-of-service assessments specialists at the reception and diagnostic units. The increase is also attributable to increased assistance for the community-based housing of recently released offenders with no other means of support (\$35,000). The increase is partially offset by decreased contractual services expenditures for program consultants (\$320,284). The revised estimate includes 109.0 FTE positions, which is an increase of 4.0 FTE positions above the approved number. This increase is due to the new positions described above.

The **Governor** recommends \$14.2 million, including \$6.3 million SGF, for operating expenditures in Offender Programs in FY 2021. This is a decrease of \$7,300, all SGF, or 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to a lapse of SGF reappropriations from FY 2020 (\$7,300).

The **agency** requests \$16.6 million, including \$8.5 million SGF, for operating expenditures in Offender Programs for FY 2022. This is an all funds increase of \$2.4 million, or 16.8 percent, and an SGF increase of \$2.2 million, or 35.7 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement request for team counselor pay equity (\$2.2 million). The increase is also attributable to increased substance abuse treatment expenditures for inmates (\$145,667).

The **Governor** recommends \$14.4 million, including \$6.3 million SGF, for operating expenditures in Offender Programs for FY 2022. This is a decrease of \$2.2 million, all SGF, or 13.2 percent, below the agency's FY 2022 request. The decrease is attributable to the Governor not recommending the agency's enhancement request for unit team counselor equity pay (\$2.2 million).

E. Victim Services

The Victim Services program provides services to victims of crimes and inmates in the correctional facilities. These services include notification of changes in offender status, apology repository, public comment session advocacy, tours, victim/offender dialogue, visitation screenings, cease correspondence orders, safety planning/family reintegration, parole violation hearings, and victim impact classes.

Victims Services Subprograms. The Victims Services program is composed of four subprograms: Victim Notification, Victim Service Liaison, Restorative Justice, and Batterers Intervention. These are detailed below:

- The Victim Notification subprogram is in accordance with KSA 22-3727 and provides written notification of a change in offender status in the case of an offender's release, death, and other listed changes;
- The Victim Service Liaison subprogram allows victims to interact with offenders without meeting them directly;
- The Restorative Justice subprogram provides services that are meant to make a victim of a crime whole; and
- Batterers Intervention is certified by the Kansas Office of the Attorney General to provide services to offenders in prison and on parole. The mission of the subprogram is to hold persons convicted of domestic violence offenses accountable, create nonviolent behavior, and promote safety for victims.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Victims Who Registered for Services	37,259	38,065	39,000	40,125	40,500	41,000
Number of Victims Who Received Services	9,756	10,826	10,500	11,335	11,000	11,500
Number of Domestic Violence Victims Served	668	933	900	705	750	800
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 1.7	\$ 1.5	\$ 1.8	\$ 1.7	\$ 2.1	\$ 2.1
FTE Positions	23.0	23.0	24.0	24.0	29.0	29.0

VICTIM SERVICES SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 1,568,437	\$ 1,906,145	\$ 1,906,145	\$ 1,920,431	\$ 1,920,431
Contractual Services	112,525	160,974	160,974	128,962	128,962
Commodities	3,918	2,000	2,000	2,000	2,000
Capital Outlay	9,738	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 1,694,618</i>	<i>\$ 2,069,119</i>	<i>\$ 2,069,119</i>	<i>\$ 2,051,393</i>	<i>\$ 2,051,393</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	17,561	20,000	20,000	20,000	20,000
TOTAL	\$ 1,712,179	\$ 2,089,119	\$ 2,089,119	\$ 2,071,393	\$ 2,071,393
Financing:					
State General Fund	\$ 878,188	\$ 869,362	\$ 869,362	\$ 914,482	\$ 914,482
All Other Funds	833,991	1,219,757	1,219,757	1,156,911	1,156,911
TOTAL	\$ 1,712,179	\$ 2,089,119	\$ 2,089,119	\$ 2,071,393	\$ 2,071,393
FTE Positions	24.0	29.0	29.0	29.0	29.0

The **agency** requests a revised estimate of \$2.1 million, including \$869,362 SGF, for operating expenditures in the Victim Services program in FY 2021. This is an all funds increase of \$313,201, or 17.6 percent, and an SGF decrease of \$50,410, or 5.5 percent, from the FY 2021 approved amount. The increase is attributable to increased salaries and wages expenditures (\$382,867), primarily related to the creation of five new domestic violence response coordinators assigned to facilities. The increase is also attributable to federally funded assistance (\$20,000) available to victims who participate in victim impact classes, Prisoner Review Board hearings, and offender dialogues. The revised request also includes 29.0 FTE positions, which is an increase of 5.0 FTE positions above the FY 2021 approved amount. This increase is due to the creation of the domestic violence response coordinators.

The **Governor** concurs with the agency's revised estimate in FY 2021.

The **agency** requests \$2.1 million, including \$914,482 SGF, for operating expenditures in the Victim Services program for FY 2022. This is an all funds decrease of \$17,726, or 0.8 percent, and an SGF increase of \$45,120, or 5.2 percent, from the FY 2021 revised estimate.

The decrease is attributable to the completion of a federal grant supporting domestic violence response training. The decrease is partially offset by increased salaries and wages expenditures for employer benefit contributions. The SGF increase is due to a partial shift in funding for salaries of domestic violence response coordinators from the federal grant to the SGF.

The **Governor** concurs with the agency's request for FY 2022.

F. Prisoner Review Board

The Prisoner Review Board was created in 2011 through Executive Reorganization Order No. 34, which abolished the Parole Board and transferred its duties and powers to the Prisoner Review Board within KDOC. The Board consists of three members who conduct parole suitability hearings, final violation hearings and revocation consideration, and public comment sessions. The Chairperson and two other board members are full-time employees, along with administrative and clerical staff. The Board reviews approximately 7,000 cases annually.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Meetings Attended by the Board	225	218	225	225	250	250
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 453.8	\$ 471.7	\$ 475.5	\$ 497.3	\$ 475.3	\$ 478.7
FTE Positions	6.0	6.0	6.0	6.0	6.0	6.0

PRISONER REVIEW BOARD SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 487,439	\$ 456,753	\$ 456,753	\$ 460,180	\$ 460,180
Contractual Services	8,493	17,000	17,000	17,000	17,000
Commodities	1,402	1,500	1,500	1,500	1,500
Capital Outlay	0	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 497,334</i>	<i>\$ 475,253</i>	<i>\$ 475,253</i>	<i>\$ 478,680</i>	<i>\$ 478,680</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	\$ 497,334	\$ 475,253	\$ 475,253	\$ 478,680	\$ 478,680
Financing:					
State General Fund	\$ 497,334	\$ 475,253	\$ 475,253	\$ 478,680	\$ 478,680
All Other Funds	0	0	0	0	0
TOTAL	\$ 497,334	\$ 475,253	\$ 475,253	\$ 478,680	\$ 478,680
FTE Positions	6.0	6.0	6.0	6.0	6.0

The **agency** requests a revised estimate of \$475,253 SGF for operating expenditures in the Prisoner Review Board program in FY 2021. This is an increase of \$71, or less than 0.1 percent, above the FY 2021 approved amount. This increase is attributable to videoconferencing licensing fees to support remote hearings (\$500), offset by decreased salaries and wages expenditures (\$429).

The **Governor** concurs with the agency's revised estimate in FY 2021.

The **agency** requests \$478,680 SGF for operating expenditures in the Prisoner Review Board program for FY 2022. This is an increase of \$3,427, or 0.7 percent, above the FY 2021 revised estimate. This increase is attributable to shifts in employer fringe benefits contributions.

The **Governor** concurs with the agency's request for FY 2022.

G. Juvenile Services

This division is responsible for administering state and federal funds and coordinating with other agencies for the operation of a continuum of juvenile justice services statewide. Kansas juvenile justice reform efforts of the mid-1990s established the foundation upon which services are delivered today. Services are delivered through grants to local boards of county commissioners for the development, implementation, operation, and improvement of community-based juvenile justice programs through contracts for community placements, grants for the operation of psychiatric residential treatment facilities, and the Kansas Juvenile Correctional Complex. The Kansas Juvenile Correctional Complex submits separate agency budgets. The Juvenile Services program also provides technical assistance and support, consultation, oversight, resources, and training to enhance successful partnerships with local juvenile justice stakeholders for the implementation and operation of comprehensive juvenile justice services in the community.

SB 367 (2016) increased investment in evidence-based programs and practices by reinvesting funds previously budgeted for programs and practices that lack evidence as to their effectiveness in reducing juvenile re-offending. Implementation of reforms began in FY 2017 and continued through FY 2021.

Juvenile Services Subprograms. The Juvenile Services Program is composed of two subprograms: Technical Assistance and Oversight, and the Kansas Advisory Group. These are detailed below:

- The Technical Assistance and Oversight subprogram provides support, consultation, analysis, oversight, and resources to administer the operation of various juvenile justice services across Kansas, including, but not limited to, evidence-based programming, detention grants and alternative to detention grants, juvenile intake and assessment services, intensive supervision, and community placements; and
- The Kansas Advisory Group was established in accordance with KSA 75-7007 and is responsible for determining, advocating for, and promoting the best interests of juveniles in the state.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Residential Provider Site Visits	10	5	5	0	6	6
Number of New Staff Trained in Correctional Supervision	90	107	50	22	35	35
Number of Assessments on Juvenile Offenders	6,218	7,912	5,650	6,725	6,625	6,525
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 30.3	\$ 27.5	\$ 34.5	\$ 32.0	\$ 40.2	\$ 38.2
FTE Positions	26.0	19.1	22.4	22.4	24.5	24.5

JUVENILE SERVICES SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 1,743,071	\$ 1,733,353	\$ 1,733,353	\$ 1,747,712	\$ 1,747,712
Contractual Services	7,158,619	7,405,218	7,395,686	7,363,617	5,363,617
Commodities	45,220	14,800	14,800	17,700	17,700
Capital Outlay	854,247	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 9,801,157</u>	<u>\$ 9,153,371</u>	<u>\$ 9,143,839</u>	<u>\$ 9,129,029</u>	<u>\$ 7,129,029</u>
Aid to Local Units	20,958,188	25,999,543	25,999,543	25,999,543	25,999,543
Other Assistance	1,228,142	5,059,260	5,059,260	5,059,260	5,059,260
TOTAL	<u>\$ 31,987,487</u>	<u>\$ 40,212,174</u>	<u>\$ 40,202,642</u>	<u>\$ 40,187,832</u>	<u>\$ 38,187,832</u>
Financing:					
State General Fund	\$ 29,821,854	\$ 36,291,214	\$ 36,281,682	\$ 36,311,409	\$ 24,311,409
All Other Funds	2,165,633	3,920,960	3,920,960	3,876,423	13,876,423
TOTAL	<u>\$ 31,987,487</u>	<u>\$ 40,212,174</u>	<u>\$ 40,202,642</u>	<u>\$ 40,187,832</u>	<u>\$ 38,187,832</u>
FTE Positions	22.4	24.5	24.5	24.5	24.5

The **agency** requests a revised estimate of \$40.2 million, including \$36.3 million SGF, for operating expenditures in the Juvenile Services program in FY 2021. This is an all funds decrease of \$41.3 million, or 50.7 percent, and an SGF decrease of \$42.6 million, or 54.0 percent, below the FY 2021 approved amount. The decrease is attributable to decreased expenditures from the Evidence-Based Juvenile Programs account (\$42.1 million), to align with the Governor's proposed allotment plan. Absent the allotment, there are increased expenditures to partially finance adult and juvenile offender management data systems replacement (\$2.0 million) and salaries and wages expenditures for the creation of a crossover youth coordinator position and a public service executive position. The revised estimate includes 24.5 FTE positions, which is an increase of 2.0 positions above the FY 2021 approved number. The increase is due to the creation of the two positions described above.

The **Governor** recommends \$40.2 million, including \$36.3 million SGF, for operating expenditures in the Juvenile Services program in FY 2021. This is a decrease of \$9,532, all SGF, or less than 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020 (\$9,532). The

recommendation includes a lapse of \$42.2 million from the ending balance of the Evidence-Based Juvenile Programs account of the SGF, as part of the Governor's proposed allotment plan. The lapsed amount includes SGF moneys reappropriated from FY 2020 (\$42.2 million) and inter-fund transfers from other areas of the agency (\$54,509). This lapse would leave the account with \$14.3 million, which is equivalent to the agency's total planned expenditures from the account in FY 2021.

The **agency** requests \$40.2 million, including \$36.3 million SGF, for operating expenditures in the Juvenile Services program for FY 2022. This is an all funds decrease of \$24,342, or 0.1 percent, and an SGF increase of \$20,195, from the FY 2021 revised estimate. The decrease is attributed to decreased expenditures for compliance monitoring of the juvenile justice system (\$45,000). The decrease is partially offset by increases in employer fringe benefits contributions.

The **Governor** recommends \$38.2 million, including \$24.3 million SGF, for operating expenditures in the Juvenile Services program for FY 2022. This is an all funds decrease of \$2.0 million, and an SGF decrease of \$12.0 million. The decrease is primarily attributable to an alternative financing plan for the replacement of the adult and juvenile offender management data systems. The plan shifts \$2.0 million from operating expenditures to capital improvements expenditures for the first-year debt service of a six-year loan totaling \$20.0 million. This expenditure would support utilization of the system among the juvenile offender population. The recommendation also includes an increase of \$10.0 million in expenditures from the the Juvenile Alternatives to Detention Fund to offset a decrease in SGF used for graduated sanction grants awarded to juvenile community corrections agencies.

H. Facilities Management

Facility Management helps maintain and operate the correctional facilities.

Facility Management Subprograms. The Facility Management Program is composed of two subprograms: Classification and Sentencing, and Design and Project Management. These are detailed below:

- The Classification and Sentencing subprogram is the part of the KDOC Central Office that helps manage offenders on the basis of their classifications and sentences; and
- The Design and Project Management subprogram focuses on maintaining facilities and overseeing the construction of new facilities.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Security Audits Conducted	9	9	9	9	9	9
Number of Safety Inspections Conducted	N/A	9	N/A	7	9	9
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 2.9	\$ 6.2	\$ 13.8	\$ 12.5	\$ 20.7	\$ 19.3
FTE Positions	21.8	19.8	20.0	20.0	21.0	21.0

**FACILITIES MANAGEMENT
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 1,390,999	\$ 1,614,325	\$ 1,614,325	\$ 1,626,603	\$ 1,129,143
Contractual Services	10,668,267	27,297,173	18,195,171	28,839,476	18,208,592
Commodities	326,786	5,500	5,500	6,000	6,000
Capital Outlay	58,703	927,105	927,105	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 12,444,755</u>	<u>\$ 29,844,103</u>	<u>\$ 20,742,101</u>	<u>\$ 30,472,079</u>	<u>\$ 19,343,735</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	5,513	0	0	0	0
TOTAL	<u>\$ 12,450,268</u>	<u>\$ 29,844,103</u>	<u>\$ 20,742,101</u>	<u>\$ 30,472,079</u>	<u>\$ 19,343,735</u>
Financing:					
State General Fund	\$ 10,738,871	\$ 27,521,512	\$ 18,419,510	\$ 29,108,603	\$ 17,980,259
All Other Funds	1,711,397	2,322,591	2,322,591	1,363,476	1,363,476
TOTAL	<u>\$ 12,450,268</u>	<u>\$ 29,844,103</u>	<u>\$ 20,742,101</u>	<u>\$ 30,472,079</u>	<u>\$ 19,343,735</u>
FTE Positions	20.0	21.0	21.0	21.0	21.0

The **agency** requests a revised estimate of \$29.8 million, including \$27.5 million SGF, for operating expenditures in the Facilities Management program in FY 2021. This is an increase of \$1.1 million, or 3.9 percent, and an SGF increase of \$132,534, or 0.5 percent, above the FY 2021 approved amount. The increase is attributed to increased expenditures for safety and security equipment (\$927,105). These expenditures were approved by the 2020 Legislature, but shifted from the Administration program to the Facilities Management program to better enable procurement of items for facilities. The increase is also attributable to increased salaries and wages expenditures (\$123,074) for employer fringe benefits contributions and the addition of a new corrections manager position. The revised estimate includes 21.0 FTE positions, which is an increase of 1.0 FTE position above the FY 2021 approved number. This is due to the creation of the new corrections manager position.

The **Governor** recommends \$20.7 million, including \$18.4 million SGF, for operating expenditures in the Facilities Management program in FY 2021. This is a decrease of \$9.1 million, all SGF, or 30.5 percent, below the agency's FY 2021 revised estimate. The recommendation includes decreased expenditures for contracted beds due to the return of inmates previously housed at the Saguaro Correctional Facility in Eloy, Arizona, in December 2020 (\$9.1 million). The decrease is also attributable to a lapse of SGF moneys reappropriated from FY 2020 (\$2,002).

The **agency** requests \$30.5 million, including \$29.1 million SGF, for operating expenditures in the Facilities Management program for FY 2022. This is an all funds increase of \$627,976, or 2.1 percent, and an SGF increase of \$1.6 million, or 5.8 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement request to shift funding related to inmates with mental health needs from the Larned State Hospital to KDOC (\$1.3 million). The increase is also attributable to a annual lease payment for the Lansing Correctional Facility totaling \$15.4 million, which is an increase (\$293,315) above the FY 2021 payment due to an annual escalator fee of 1.5 percent. Absent the enhancement request related to mental health inmates, the agency budgeted \$10.6 million for contracted beds to house inmates in Arizona and at county jails.

The **Governor** recommends \$19.3 million, including \$18.0 million SGF, for operating expenditures in the Facilities Management program for FY 2022. This is a decrease of \$11.1 million, all SGF, or 36.5 percent, below the agency's FY 2022 request. The recommendation includes decreased expenditures for contracted beds due to the return of inmates previously housed in Arizona (\$10.6 million). The decrease is also attributable to the adoption of a reduced resources proposal to increase shrinkage among correctional facilities (\$497,460).

I. Food Service

KDOC entered into a contract with Aramark Correctional Services, Inc., in July 1997. Aramark is responsible for all labor, food, supplies, and other materials required for the delivery of food services at all of the correctional facilities with the exception of Larned Correctional Mental Health Facility. At Larned Correctional Mental Health Facility, Aramark is responsible for labor only, as the meals are prepared for Larned Correctional Mental Health Facility by Larned State Hospital. The current contract with Aramark was renegotiated in FY 2011 and will run through FY 2022. The Kansas Juvenile Correctional Complex entered into a separate food service contract with Aramark in 2019 to accommodate the dietary needs of a juvenile population. Funding for this separate contract is noted in the Kansas Juvenile Justice Complex budget request. The contract is calculated based on average daily population (ADP).

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Meals Served to Offenders (In Millions)	10.1	10.3	10.1	10.1	10.0	10.0
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 16.3	\$ 16.7	\$ 16.0	\$ 16.9	\$ 15.1	\$ 16.2
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0

FOOD SERVICE SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Contractual Services	16,929,179	17,397,944	15,055,060	18,984,632	16,242,450
Commodities	0	0	0	0	0
Capital Outlay	0	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 16,929,179</u>	<u>\$ 17,397,944</u>	<u>\$ 15,055,060</u>	<u>\$ 18,984,632</u>	<u>\$ 16,242,450</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	<u>\$ 16,929,179</u>	<u>\$ 17,397,944</u>	<u>\$ 15,055,060</u>	<u>\$ 18,984,632</u>	<u>\$ 16,242,450</u>
Financing:					
State General Fund	\$ 15,103,689	\$ 16,531,740	\$ 14,188,856	\$ 18,118,428	\$ 15,376,246
All Other Funds	1,825,490	866,204	866,204	866,204	866,204
TOTAL	<u>\$ 16,929,179</u>	<u>\$ 17,397,944</u>	<u>\$ 15,055,060</u>	<u>\$ 18,984,632</u>	<u>\$ 16,242,450</u>
FTE Positions	0.0	0.0	0.0	0.0	0.0

FY 2021 Food Service Contract - Agency Estimate			
Facilities	9,754 ADP x 3 x 365 =	1,680,413 meals x \$1.574/meal	\$ 16,810,970
Larned CMHF		365 days x \$648.90/day	236,849
Monitor Meals		36,500 meals x 1.25/meal	45,625
Equipment Maintenance and Replacement Fund			300,000
<i>Subtotal</i>			<u>\$ 17,393,444</u>
Dietician Services			4,500
TOTAL			<u>\$ 17,397,944</u>

The **agency** requests a revised estimate of \$17.4 million, including \$16.5 million SGF, for operating expenditures in the Food Service program in FY 2021. This is an SGF increase of \$665,185, or 4.0 percent, above the FY 2021 approved amount. The increase is attributable to the agency's supplemental request to fully fund the correctional system's food service contract (\$665,185). The agency indicates fewer inmates are assigned to contracted beds in Arizona and county jails than anticipated, which resulted in a slight growth in the population at in-state facilities.

The **Governor** recommends \$15.1 million, including \$14.2 million SGF, for operating expenditures in the Food Service program in FY 2021. This is an all funds decrease of \$2.3 million, all SGF, or 13.5 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the Governor not recommending the agency supplemental request for additional food service expenditures (\$665,185). Additionally, the recommendation includes a further decrease in food services expenditures (\$1.7 million) due to a decreased inmate population projection.

FY 2022 Food Service Contract - Agency Estimate			
Facilities	10,603 ADP x 3 x 365 =	11,610,285 meals x \$1.605/meal	\$ 18,634,507
Monitor Meals		36,500 meals x 1.25/meal	45,625
Equipment Maintenance and Replacement Fund			300,000
<i>Subtotal</i>			\$ 18,980,132
Dietician Services			4,500
TOTAL			\$ 18,984,632

The **agency** requests \$19.0 million, including \$18.1 million SGF, for operating expenditures in the Food Service program for FY 2022. This is an increase of \$1.6 million, all SGF, or 9.1 percent, above the FY 2021 revised estimate. The increase is attributable to the agency's enhancement requests. The first request is to fully fund the correctional system's food service contract (\$1.2 million), based off of pre-COVID-19 pandemic prison population growth projections and a pricing increase of 2.0 percent. The second enhancement request is to shift expenditures for food service operations for LCMHF from the LSH to the Central Office (\$814,121). These requests are detailed above in the enhancements section. The increase is partially offset by decreased expenditures for Aramark staff who previously provided final food preparation on-site at LCMHF and served inmates (\$236,849).

The **Governor** recommends \$16.2 million, including \$15.4 million SGF, for operating expenditures in the Food Service program for FY 2022. This is a decrease of \$2.7 million, all SGF, or 15.1 percent, below the agency's FY 2022 request. The decrease is attributable to the Governor not recommending the agency's enhancement request for increased food services expenditures (\$1.2 million). Additionally, the recommendation includes a further decrease in food services expenditures (\$1.5 million) due to a decreased inmate population projection. The recommendation includes the agency's enhancement request for food service operations at the LCMHF.

J. Debt Service

KDOC is responsible for managing the construction and financing of facilities' structures throughout the state correctional system. The interest payments on the System's debt is funded under KDOC's operations budget.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
There are no performance measures submitted for this program.						
<u>Agency Expenditures</u>						
All Funds (Dollars in Thousands)	\$ 656.0	\$ 437.0	\$ 210.4	\$ 209.6	\$ 0.0	\$ 142.5
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0

DEBT SERVICE SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Contractual Services	0	0	0	0	0
Commodities	0	0	0	0	0
Capital Outlay	0	0	0	0	0
Debt Service	209,604	0	0	0	142,450
<i>Subtotal - Operations</i>	<u>\$ 209,604</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 142,450</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	<u>\$ 209,604</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 142,450</u>
Financing:					
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 142,450
All Other Funds	0	0	0	0	0
TOTAL	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 142,450</u>
FTE Positions	0.0	0.0	0.0	0.0	0.0

The **agency** does not request any expenditures for debt service interest for FY 2022.

The **Governor** recommends \$142,450 SGF for debt service interest for FY 2022. This is an increase of \$142,450, all SGF, above the agency's FY 2022 request. The increase is attributable to the Governor recommending an alternative financing plan for the replacement of adult and juvenile offender management data systems. The plan utilizes \$142,450 SGF for the first-year interest payment on a six-year loan totaling \$20.0 million. Interest payments are projected to total \$627,582 over course of the project timeline.

K. Kansas Correctional Industries

Kansas Correctional Industries (KCI) manufactures a variety of products at correctional facilities using inmate labor. These products are sold to government units or not-for-profit organizations. KCI provide work activities for 11.0 percent of the total inmate population. Approximately 90.0 percent of sales are to other state agencies, with the balance divided among other eligible organizations, state residents, and employees.

Kansas Correctional Industries Subprograms. The Kansas Correctional Industries program is composed of two subprograms: Administration and Kansas Correctional Industries. These are detailed below:

- The Administration subprogram of Kansas Correctional Industries is responsible for the management and oversight of inmates, facilities, and product distribution of the goods made by Kansas Correctional Industries; and
- The Kansas Correctional Industries subprogram is composed of numerous divisions, including, but not limited to, service for private industries, metal products, signs and graphics, the dental program, the farm division, textiles, canteen, sales and marketing, and warehouses.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Inmates Participating in Traditional Industries Programs (By Average Daily Population)	288	288	288	300	300	300
Inmates Participating in Private Industry Program (By Average Daily Population)	748	937	937	802	802	802
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 10.1	\$ 10.6	\$ 12.2	\$ 11.3	\$ 12.2	\$ 12.6
FTE Positions	56.0	58.0	60.0	60.0	59.5	59.5

KANSAS CORRECTIONAL INDUSTRIES SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 4,001,879	\$ 3,953,904	\$ 3,953,904	\$ 4,028,945	\$ 4,028,945
Contractual Services	1,010,341	1,526,948	1,526,948	1,447,681	1,447,681
Commodities	5,782,398	6,159,570	6,159,570	6,586,640	6,586,640
Capital Outlay	511,840	512,058	512,058	559,000	559,000
Debt Service	0	0	0	0	0
Subtotal - Operations	\$ 11,306,458	\$ 12,152,480	\$ 12,152,480	\$ 12,622,266	\$ 12,622,266
Aid to Local Units	0	0	0	0	0
Other Assistance	104	0	0	0	0
TOTAL	\$ 11,306,562	\$ 12,152,480	\$ 12,152,480	\$ 12,622,266	\$ 12,622,266
Financing:					
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	11,306,562	12,152,480	12,152,480	12,622,266	12,622,266
TOTAL	\$ 11,306,562	\$ 12,152,480	\$ 12,152,480	\$ 12,622,266	\$ 12,622,266
FTE Positions	60.0	59.5	59.5	59.5	59.5

The **agency** requests a revised estimate of \$12.2 million, all from the Correctional Industries Fund, for operating expenditures for the Kansas Correctional Industries program in FY 2021. This is an increase \$21,219, or 0.2 percent, above the FY 2021 approved amount. This increase is attributable to the increased purchase of manufacturing material (\$604,485), which is partially offset by decreased salaries and wages expenditures (\$296,447) to account for vacant positions.

The **Governor** concurs with the agency's revised estimate in FY 2021.

The **agency** requests \$12.6 million, all from the Correctional Industries Fund, for operating expenditures for the Kansas Correctional Industries program for FY 2022. This is an increase of \$469,786, or 3.9 percent, above the FY 2021 revised estimate. The agency anticipates a growth in demand for products and private industry inmate jobs following the

COVID-19 pandemic. This includes increased purchases of manufacturing material (\$427,070) and salaries and wages expenditures (\$75,041) to fill vacant staff positions to train and oversee inmate workers. The increase is partially offset by decreased expenditures for contracted engineers (\$79,267).

The **Governor** concurs with the agency's request for FY 2022.

DEPARTMENT OF CORRECTIONS CORRECTIONAL FACILITIES

The following portion of this budget analysis only describes the Kansas Department of Corrections' correctional facilities. This section will include a table summarizing the operating budget for all of the facilities, treating each facility as though it were a program, followed by analysis of each correctional facility. The section includes programs designated as L through T.

Summary of Facilities' Operating Budget FY 2020 - FY 2022

	Actual FY 2020	Agency Estimate				Governor's Recommendation			
		Estimate FY 2021	Request FY 2022	Dollar Change from FY 21	Percent Change from FY 21	Rec. FY 2021	Rec. FY 2022	Dollar Change from FY 21	Percent Change from FY 21
By Program:									
El Dorado CF	\$ 36,721,364	\$ 34,720,137	\$ 34,808,237	\$ 88,100	0.3 %	\$ 34,682,137	\$ 34,808,237	\$ 126,100	0.4 %
Ellsworth CF	17,313,334	17,306,821	17,359,969	53,148	0.3	17,253,540	17,359,969	106,429	0.6
Hutchinson CF	39,566,854	37,635,028	37,781,769	146,741	0.4	37,634,172	37,781,769	147,597	0.4
Kansas Juvenile CC	20,962,610	21,487,918	21,636,409	148,491	0.7	21,478,381	21,636,409	158,028	0.7
Lansing CF	39,856,499	33,101,271	33,299,804	198,533	0.6	33,095,600	33,299,804	204,204	0.6
Larned CMHF	13,803,567	14,989,920	13,460,854	(1,529,066)	(10.2)	14,981,204	13,460,854	(1,520,350)	(10.1)
Norton CF	19,444,972	19,019,363	19,172,440	153,077	0.8	19,017,613	19,172,440	154,827	0.8
Topeka CF	19,515,701	18,320,724	18,472,714	151,990	0.8	18,300,028	18,472,714	172,686	0.9
Winfield CF	15,444,054	15,370,505	15,539,422	168,917	1.1	15,368,920	15,539,422	170,502	1.1
TOTAL	\$ 222,628,955	\$ 211,951,687	\$ 211,531,618	\$ (420,069)	(0.2)%	\$ 211,811,595	\$ 211,531,618	\$ (279,977)	(0.1)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 189,732,997	\$ 180,064,979	\$ 179,894,935	\$ (170,044)	(0.1)%	\$ 180,064,979	\$ 179,894,935	\$ (170,044)	(0.1)%
Contractual Services	21,169,751	22,160,870	22,159,530	(1,340)	(0.0)	22,151,333	22,159,530	8,197	0.0
Commodities	9,102,563	9,445,728	9,210,061	(235,667)	(2.5)	9,315,173	9,210,061	(105,112)	(1.1)
Capital Outlay	2,765,924	275,310	262,292	(13,018)	(4.7)	275,310	262,292	(13,018)	(4.7)
Debt Service	0	0	0	0	--	0	0	0	--
<i>Subtotal - Operations</i>	\$ 222,771,235	\$ 211,946,887	\$ 211,526,818	\$ (420,069)	(0.2)%	\$ 211,806,795	\$ 211,526,818	\$ (279,977)	(0.1)%
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	(142,280)	4,800	4,800	0	0.0	4,800	4,800	0	0.0
TOTAL	\$ 222,628,955	\$ 211,951,687	\$ 211,531,618	\$ (420,069)	(0.2)%	\$ 211,811,595	\$ 211,531,618	\$ (279,977)	(0.1)%
Financing:									
State General Fund	\$ 219,297,337	\$ 208,297,730	\$ 209,509,199	\$ 1,211,469	0.6 %	\$ 183,157,639	\$ 209,509,199	\$ 26,351,560	14.4 %
Federal CARES Act	2,021,243	1,599,244	0	(1,599,244)	(100.0)	26,599,243	0	(26,599,243)	(100.0)
All Other Federal Funds	664,739	663,160	664,553	1,393	0.2	663,160	664,553	1,393	0.2
All Other Funds	645,636	1,391,553	1,357,866	(33,687)	(2.4)	1,391,553	1,357,866	(33,687)	(2.4)
TOTAL	\$ 222,628,955	\$ 211,951,687	\$ 211,531,618	\$ (420,069)	(0.2)%	\$ 211,811,595	\$ 211,531,618	\$ (279,977)	(0.1)%

L. El Dorado Correctional Facility

The 1989 Legislature authorized the construction of the El Dorado Correctional Facility (EDCF) at a cost of \$58.0 million. Initially built with a capacity of 640 beds, a multi-housing dormitory was added in 1995 and two additional cell houses were added in 2001 at a cost of \$17.5 million, bringing the capacity at the Central Unit to 1,365 inmates. The total capacity of EDCF for FY 2021 was 2,068.

EDCF houses special management and maximum-, medium-, and minimum-custody inmates. Two of the Central Unit's five cell houses are designated for long-term involuntary segregation inmates in single cells. For security and control reasons, these inmates cannot be maintained in general population status at any other correctional facility. A third Central Unit cell house is available as needed for segregation purposes, and the Intensive Management Unit (IMU) was added in January 2003. The addition divides the cell house's inmate capacity equally between segregation inmates and IMU inmates. The other two Central Unit cell houses provide medium-custody beds.

The Reception and Diagnostic Unit (RDU) became the State's central intake point for all male offenders committed to the custody of the Secretary of Corrections. Inmates are classified at the maximum-custody level until they are processed, evaluated, classified, and designated to be delivered to one of the State's correctional facilities. The transfer of the RDU center from the Topeka Correctional Facility began in 1998, when the Legislature appropriated funds to plan construction of two new housing units and the RDU transfer. The effort continued until 2002, when full-year RDU funding was placed in the EDCF budget.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	6	63	38	50	89	92
Number of Inmate Assaults on Staff	159	117	122	194	219	234
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 30.6	\$ 32.8	\$ 34.1	\$ 36.7	\$ 34.7	\$ 34.8
FTE Positions	486.0	486.0	486.0	486.0	486.0	486.0

**EL DORADO CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 31,294,488	\$ 29,014,421	\$ 29,014,421	\$ 29,165,766	\$ 29,165,766
Contractual Services	3,176,653	3,399,827	3,399,827	3,402,086	3,402,086
Commodities	1,787,837	2,301,889	2,263,889	2,236,385	2,236,385
Capital Outlay	456,379	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 36,715,357</u>	<u>\$ 34,716,137</u>	<u>\$ 34,678,137</u>	<u>\$ 34,804,237</u>	<u>\$ 34,804,237</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	6,007	4,000	4,000	4,000	4,000
TOTAL	<u>\$ 36,721,364</u>	<u>\$ 34,720,137</u>	<u>\$ 34,682,137</u>	<u>\$ 34,808,237</u>	<u>\$ 34,808,237</u>
Financing:					
State General Fund	\$ 36,616,385	\$ 34,642,397	\$ 26,175,456	\$ 34,798,237	\$ 34,798,237
All Other Funds	104,979	77,740	8,506,681	10,000	10,000
TOTAL	<u>\$ 36,721,364</u>	<u>\$ 34,720,137</u>	<u>\$ 34,682,137</u>	<u>\$ 34,808,237</u>	<u>\$ 34,808,237</u>
FTE Positions	486.0	486.0	486.0	486.0	486.0

The **agency** requests a revised estimate of \$34.7 million, including \$34.6 million SGF, for operating expenditures at EDCF in FY 2021. This is an all funds increase of \$795,492, or 2.3 percent, and an SGF increase of \$727,752, or 2.1 percent, above the FY 2021 approved amount. The increase is attributed to increased salaries and wages expenditures (\$558,386) to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office to reduce shrinkage, which was transferred to facilities. The increase is also attributed to increased expenditures for communications services (\$99,338); repair and maintenance of buildings and vehicles (\$98,818); and officer uniforms originally anticipated to be purchased in FY 2020 (\$59,181). The revised estimate includes 486.0 FTE positions, which is unchanged from the FY 2021 approved amount.

The **Governor** recommends \$34.7 million, including \$26.2 million SGF, for operating expenditures at EDCF in FY 2021. This is an all funds decrease of \$38,000, or 0.1 percent, and an SGF decrease of \$8.5 million, or 24.4 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to a lapse of SGF moneys reappropriated from FY 2020 (\$38,000) The recommendation swaps \$8.4 million SGF for salaries and wages expenditures with an allocation from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for the same amount.

The **agency** requests \$34.8 million, including \$34.8 million SGF, for operating expenditures at EDCF for FY 2022. This is an all funds increase of \$88,100, or 0.3 percent, and an SGF increase of \$155,840, or 0.4 percent, above the FY 2021 revised estimate. The increase is attributable to increased employer contributions for fringe benefits. The increase is partially offset by decreased one-time expenditures for officer uniforms (\$67,499) in FY 2021 that are not anticipated to recur for FY 2022. The request includes 486.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

M. Ellsworth Correctional Facility

Planning for the Ellsworth Correctional Facility (ECF) began in 1986 in response to the need for additional bed space due to a rapidly increasing inmate population. The first inmate was received in August 1988. Expansion projects were completed in the 632-bed medium- and minimum-custody facility for male offenders in 1995 at a total construction cost of \$19.7 million.

In June 2002, the InnerChange Freedom Initiative (IFI) was transferred from the Winfield Correctional Facility to ECF. Working with the State of Kansas and Prison Fellowship, IFI advances the KDOC objectives of rehabilitation and recidivism reduction by exposing offenders to work, education, support groups, and victim-offender reconciliation.

In July 2012, KDOC purchased 10 acres of land that included a property that is now the East Unit, which provides 95 minimum-security beds. The purchase allowed ECF to move minimum-custody offenders outside the Central Unit. The total capacity for ECF in FY 2021 is 899.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	4	8	8	11	15	16
Number of Inmate Assaults on Staff	3	7	7	7	12	7
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 14.8	\$ 15.5	\$ 17.1	\$ 17.3	\$ 17.3	\$ 17.4
FTE Positions	235.0	235.0	234.0	234.0	234.0	234.0

ELLSWORTH CORRECTIONAL FACILITY SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 14,540,913	\$ 14,777,669	\$ 14,777,669	\$ 14,865,080	\$ 14,865,080
Contractual Services	1,616,215	1,580,653	1,580,653	1,581,553	1,581,553
Commodities	1,029,828	948,499	895,218	913,336	913,336
Capital Outlay	125,501	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 17,312,457</u>	<u>\$ 17,306,821</u>	<u>\$ 17,253,540</u>	<u>\$ 17,359,969</u>	<u>\$ 17,359,969</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	877	0	0	0	0
TOTAL	<u>\$ 17,313,334</u>	<u>\$ 17,306,821</u>	<u>\$ 17,253,540</u>	<u>\$ 17,359,969</u>	<u>\$ 17,359,969</u>
Financing:					
State General Fund	\$ 17,063,975	\$ 17,223,097	\$ 13,521,195	\$ 17,281,796	\$ 17,281,796
All Other Funds	249,359	83,724	3,732,345	78,173	78,173
TOTAL	<u>\$ 17,313,334</u>	<u>\$ 17,306,821</u>	<u>\$ 17,253,540</u>	<u>\$ 17,359,969</u>	<u>\$ 17,359,969</u>
FTE Positions	234.0	234.0	234.0	234.0	234.0

The **agency** requests a revised estimate of \$17.3 million, including \$17.2 million SGF, for operating expenditures at ECF in FY 2021. This is an all funds increase of \$371,198, or 2.2 percent, and an SGF increase of \$372,274, or 2.2 percent, above the FY 2021 approved amount. The increase is attributable to increased salaries and wages expenditures (\$378,418), to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office to reduce shrinkage, which was transferred to facilities. The increase is also attributable to increased expenditures for officer and inmate uniforms (\$76,349), which is partially offset by decreased expenditures for utilities (\$49,233). The revised estimate includes 234.0 FTE positions, which is unchanged from the FY 2021 approved amount.

The **Governor** recommends \$17.3 million, including \$13.5 million SGF, for operating expenditures at ECF in FY 2021. This is an all funds decrease of \$53,281, or 0.3 percent, and an SGF decrease of \$3.7 million, or 21.5 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF reappropriated from FY 2020 (\$53,281). The recommendation swaps \$3.6 million SGF in salaries and wages expenditures with an allocation from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for the same amount.

The **agency** requests \$17.4 million, including \$17.3 million SGF, for operating expenditures at ECF for FY 2022. This is an all funds increase of \$53,148, or 0.3 percent, and an SGF increase of \$58,699, or 0.3 percent, above the FY 2021 revised estimate. This increase is related to fluctuations in employer contributions to fringe benefits. The increase is partially offset by decreased expenditures for building and ground repair material (\$35,346). The request includes 234.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

N. Hutchinson Correctional Facility

The history of Hutchinson Correctional Facility (HCF) dates to 1885, when the Kansas Legislature appropriated \$1.0 million for the Kansas State Industrial Reformatory. The facility's first cell house, Cell House A, which was modeled after the Elmira Reformatory of New York, was completed in 1898 and contained 200 single-occupancy cells, each 40 square feet in size.

Currently, HCF is a 1,918-bed, multi-unit correctional facility housing male inmates at the minimum-, medium-, and maximum-security levels. The Central Unit houses maximum- and medium-custody inmates in the traditional walled and secure prison environment. The East Unit is a medium-custody, fenced-in facility with several treatment programs, including the Industrial program that provides a number of inmate jobs. The South Unit is a minimum-security facility providing community service to the City of Hutchinson and other eligible organizations. The purpose of the Work Release Unit, opened in 1972 and now contained in the South Unit, is to place inmates in a community job to facilitate their transitions from HCF to society.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	331	262	240	417	247	247
Number of Inmate Assaults on Staff	143	160	150	106	148	150
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 32.1	\$ 34.9	\$ 36.9	\$ 39.6	\$ 37.6	\$ 37.8
FTE Positions	507.0	507.0	507.0	507.0	508.0	508.0

HUTCHINSON CORRECTIONAL FACILITY SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 34,993,817	\$ 33,382,830	\$ 33,382,830	\$ 33,457,577	\$ 33,457,577
Contractual Services	2,768,249	2,809,902	2,809,902	2,863,301	2,863,301
Commodities	1,407,295	1,442,296	1,441,440	1,460,891	1,460,891
Capital Outlay	392,483	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 39,561,844</i>	<i>\$ 37,635,028</i>	<i>\$ 37,634,172</i>	<i>\$ 37,781,769</i>	<i>\$ 37,781,769</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	5,010	0	0	0	0
TOTAL	<u>\$ 39,566,854</u>	<u>\$ 37,635,028</u>	<u>\$ 37,634,172</u>	<u>\$ 37,781,769</u>	<u>\$ 37,781,769</u>
Financing:					
State General Fund	\$ 39,345,427	\$ 37,423,335	\$ 37,422,479	\$ 37,616,908	\$ 37,616,908
All Other Funds	221,427	211,693	211,693	164,861	164,861
TOTAL	<u>\$ 39,566,854</u>	<u>\$ 37,635,028</u>	<u>\$ 37,634,172</u>	<u>\$ 37,781,769</u>	<u>\$ 37,781,769</u>
FTE Positions	507.0	508.0	508.0	508.0	508.0

The **agency** requests a revised estimate of \$37.6 million, including \$37.4 million SGF, for operating expenditures at HCF in FY 2021. This is an all funds increase of \$923,999, or 2.5 percent, and an SGF increase of \$925,591, or 2.5 percent, above the FY 2021 approved amount. The increase is attributable to increased overtime pay for correctional officers (\$1.9 million) due to the COVID-19 pandemic. The increase is partially offset by decreased expenditures for utilities (\$148,498) and computing equipment (\$39,522). The revised estimate includes 508.0 FTE positions, which is an increase of 1.0 FTE position above the approved amount. This position increase is among correctional staff in the Security program.

The **Governor** recommends \$37.6 million, including \$37.4 million SGF, for operating expenditures at HCF in FY 2021. This is a decrease of \$856, all SGF, or less than 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to a lapse of SGF moneys reappropriated from FY 2020.

The **agency** requests \$37.8 million, including \$37.6 million SGF, for operating expenditures at HCF for FY 2022. This is an all funds increase of \$146,741, or 0.4 percent, and an SGF increase of \$193,573, or 0.5 percent, above the FY 2021 revised estimate. This increase is attributable to fluctuations in employer contributions to fringe benefits. The increase is also attributable to increased expenditures for utilities (\$36,000) and inmate bedding and

maintenance tools (\$14,715). The request includes 508.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

O. Kansas Juvenile Correctional Complex

The state's first institution for juvenile rehabilitation was established in Topeka. In 1879, the Legislature provided for the selection of a site for a "state reform school," under the control of State Charitable Institutions, with statutory authority contained in KSA 76-2101 *et seq.* Subsequent name changes in 1901 and 1974 occurred, and the facility became known as the "Youth Center at Topeka" until the Juvenile Justice Authority assumed responsibility for operations in July 1997. Executive Reorganization Order No. 42, which became effective July 1, 2013, abolished the Juvenile Justice Authority and moved control of the facility to KDOC. In 2017, Larned Juvenile Correctional Facility closed, and all committed juvenile offenders in Kansas were moved to the Kansas Juvenile Correctional Complex (KJCC).

The KJCC is the only juvenile correctional facility in KDOC and provides maximum- and medium-security beds for the following individuals:

- Adjudicated juveniles committed as juvenile offenders;
- Extended jurisdiction juveniles;
- Youth committed to the Secretary of Corrections; and
- Juvenile felons between the ages of 10 and 23.

The KJCC facility is divided into an east campus and a west campus and is composed of five general areas: the diagnostic/classification area, maximum- and medium-security housing for male residents, secure female housing in the stand-alone Q/R/S/T building on the east campus, central programs areas, and administrative/support areas. All facility components are located within a secure perimeter fence, with the exception of administration and some general service areas. The facility is projected to operate 167 beds in FY 2021.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Juvenile Batteries	145	171	165	140	150	140
Number of Juvenile Assaults on Staff	55	40	35	13	12	5
Percent of Juveniles Who Successfully Complete Substance Abuse Treatment	85.0 %	69.0 %	80.0 %	56.0 %	70.0 %	70.0 %
Percent of Juveniles Who Successfully Complete Sex Offender Treatment	87.0 %	94.0 %	95.0 %	81.0 %	90.0 %	90.0 %
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 18.7	\$ 19.4	\$ 21.0	\$ 21.0	\$ 21.5	\$ 21.6
FTE Positions	355.5	264.5	264.5	264.5	264.5	264.5

**KANSAS JUVENILE CORRECTIONAL COMPLEX
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 15,354,932	\$ 15,538,226	\$ 15,538,226	\$ 15,518,045	\$ 15,518,045
Contractual Services	4,934,421	5,247,672	5,238,135	5,315,472	5,315,472
Commodities	497,702	492,316	492,316	540,600	540,600
Capital Outlay	175,487	209,704	209,704	262,292	262,292
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 20,962,542</u>	<u>\$ 21,487,918</u>	<u>\$ 21,478,381</u>	<u>\$ 21,636,409</u>	<u>\$ 21,636,409</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	68	0	0	0	0
TOTAL	<u>\$ 20,962,610</u>	<u>\$ 21,487,918</u>	<u>\$ 21,478,381</u>	<u>\$ 21,636,409</u>	<u>\$ 21,636,409</u>
Financing:					
State General Fund	\$ 20,397,493	\$ 20,980,393	\$ 20,970,856	\$ 21,128,884	\$ 21,128,884
All Other Funds	565,117	507,525	507,525	507,525	507,525
TOTAL	<u>\$ 20,962,610</u>	<u>\$ 21,487,918</u>	<u>\$ 21,478,381</u>	<u>\$ 21,636,409</u>	<u>\$ 21,636,409</u>
FTE Positions	264.5	264.5	264.5	264.5	264.5

The **agency** requests a revised estimate of \$21.5 million, including \$21.0 million SGF, for operating expenditures at KJCC in FY 2021. This is an all funds increase of \$432,603, or 2.1 percent, and an SGF increase of \$429,278, or 2.1 percent, above the FY 2021 approved amount. The increase is attributable to increased expenditures for employer contributions to health insurance (\$1.4 million) and high school educational services provided by the Smoky Hill Education Service Center (\$163,252). The increase is partially offset by decreased expenditures for employee pay (\$752,927), contributions to KPERS (\$86,218), and utilities (\$147,000). The revised estimate includes 264.5 FTE positions, which is unchanged from the FY 2021 approved number.

The **Governor** recommends \$21.5 million, including \$21.0 million SGF, for operating expenditures at KJCC in FY 2021. This is a decrease of \$9,537, all SGF, or less than 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020.

The **agency** requests \$21.6 million, including \$21.1 million million SGF, for operating expenditures at KJCC for FY 2022. This is an SGF increase of \$148,491, or 0.7 percent, above the FY 2021 revised estimate. The increase is attributable to increased expenditures for office furniture (\$60,000), utilities (\$40,000), and hygiene and cleaning supplies (\$30,150). This increase is partially offset by decreased expenditures for salaries and wages (\$20,181). The request includes 264.5 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

P. Lansing Correctional Facility

Lansing Correctional Facility (LCF), authorized by the Kansas Constitution in 1859 as the Kansas State Penitentiary, is the state's largest facility for the incarceration and rehabilitation of adult male offenders at the minimum-, medium-, and maximum-custody levels. In 1990, the name of the Kansas State Penitentiary was changed to Lansing Correctional Facility. During FY 1995, all female inmates at LCF were transferred to the Topeka Correctional Facility.

LCF is composed of two units with a total capacity of 2,432 inmates and covers 2,537 acres. Before being razed in FY 2017, the Central Unit used to include a medium-security facility that housed 743 beds, but in its place a new maximum- and medium-security facility was constructed and occupied in FY 2020. LCF also houses on its grounds the headquarters of Kansas Correctional Industries, as well as many of the Kansas Correctional Industries manufacturing shops.

Transition to the new Lansing units was accelerated due to the COVID-19 pandemic. The new units offers modern air circulating systems and medical facilities. LCF became the correctional system's primary site for medical treatment of COVID-19 infections. Due to the transition to new units, and the COVID-19 response, the agency temporarily retained FTE positions in FY 2020 that were planned for elimination. Funding for these positions was partially supported with federal relief funds provided through the CARES Act.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	60	89	83	83	80	75
Number of Inmate Assaults on Staff	N/A	181	200	230	200	150
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 38.6	\$ 37.7	\$ 39.8	\$ 40.0	\$ 33.1	\$ 33.3
FTE Positions	684.0	685.0	525.0	525.0	409.0	409.0

LANSING CORRECTIONAL FACILITY SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 33,844,146	\$ 28,142,894	\$ 28,142,894	\$ 28,388,264	\$ 28,388,264
Contractual Services	3,580,361	3,559,543	3,559,543	3,567,422	3,567,422
Commodities	1,523,938	1,398,834	1,393,163	1,344,118	1,344,118
Capital Outlay	906,156	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 39,854,601</i>	<i>\$ 33,101,271</i>	<i>\$ 33,095,600</i>	<i>\$ 33,299,804</i>	<i>\$ 33,299,804</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	1,898	0	0	0	0
TOTAL	\$ 39,856,499	\$ 33,101,271	\$ 33,095,600	\$ 33,299,804	\$ 33,299,804
Financing:					
State General Fund	\$ 38,760,310	\$ 32,851,271	\$ 24,891,885	\$ 33,049,804	\$ 33,049,804
All Other Funds	1,096,189	250,000	8,203,715	250,000	250,000
TOTAL	\$ 39,856,499	\$ 33,101,271	\$ 33,095,600	\$ 33,299,804	\$ 33,299,804
FTE Positions	525.5	409.0	409.0	409.0	409.0

The **agency** requests a revised estimate of \$33.1 million, including \$32.9 million SGF, for operating expenditures at LCF in FY 2021. This is an all funds increase of \$1.2 million, or 3.8 percent, and an SGF increase of \$1.1 million, or 3.4 percent, above the FY 2021 approved amount. The increase is attributed to increased salaries and wages expenditures (\$933,873), to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office

to reduce shrinkage, which was transferred to facilities. Additionally, the agency anticipates increased utilities expenditures to account for transition to the recently constructed units (\$276,604). The increase is also attributable to the increased purchase of officer and inmate uniforms (\$77,946) and cleaning supplies (\$143,118). The increase is partially offset by decreased expenditures for information technology services (\$76,137) and maintenance supplies (\$90,089), which the agency indicates is the result of occupying the new facility. The revised estimate includes 409.0 FTE positions, which is an increase of 3.0 FTE positions above the FY 2021 approved number. The position increase includes two correctional officer positions in the Security program and one administrative specialist position in the Classification and Records program.

The **Governor** recommends \$33.1 million, including \$24.9 million SGF, for operating expenditure at LCF in FY 2021. This is an all funds decrease of \$5,671, or less than 0.1 percent, and an SGF decrease of \$8.0 million, or 24.2 percent, below the agency's FY 2021 request. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020 (\$9,537). The recommendation swaps \$8.0 million SGF in salaries and wages expenditures with an allocation from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for the same amount.

The **agency** requests \$33.3 million, including \$33.0 million SGF, for operating expenditures at LCF for FY 2022. This is an increase of \$198,533, all SGF, or 0.6 percent, above the FY 2021 revised estimate. This increase is related to fluctuations in employer contributions to fringe benefits. The increase is partially offset by decreased expenditures for cleaning supplies (\$30,870) and officer and inmate uniforms (\$23,096), and utilities (\$13,069). The request includes 409.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

Q. Larned Correctional Mental Health Facility

Larned Correctional Mental Health Facility (LCMHF) was constructed in 1989 to bring the State into compliance with a U.S. District Court order that mandated meeting the long-term needs of mentally ill inmates. LCMHF's Central Unit contains 150 beds with future expansion capabilities to 310 beds, and houses a Youthful Offender Program, which places an emphasis on education and social skills for offenders 25 years old and younger. In addition, the West Unit contains 288 minimum-custody beds, mostly for male inmates who provide work detail labor for Larned State Hospital and local communities. In recent years, all mental health treatment capabilities were migrated to the El Dorado Correctional Facility; however, the Larned Correctional Mental Health Facility remains the statutory institutional name.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	28	33	35	30	35	35
Number of Inmate Assaults on Staff	41	32	40	14	40	40
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 11.2	\$ 11.9	\$ 13.0	\$ 13.8	\$ 15.0	\$ 13.7
FTE Positions	187.0	187.0	189.0	189.0	220.0	189.0

**LARNED CORRECTIONAL MENTAL HEALTH FACILITY
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 12,501,699	\$ 13,163,697	\$ 13,163,697	\$ 12,021,255	\$ 12,021,255
Contractual Services	604,192	897,825	897,825	680,363	680,363
Commodities	607,822	884,854	876,138	758,436	758,436
Capital Outlay	89,283	42,744	42,744	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 13,802,996</u>	<u>\$ 14,989,120</u>	<u>\$ 14,980,404</u>	<u>\$ 13,460,054</u>	<u>\$ 13,460,054</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	571	800	800	800	800
TOTAL	<u>\$ 13,803,567</u>	<u>\$ 14,989,920</u>	<u>\$ 14,981,204</u>	<u>\$ 13,460,854</u>	<u>\$ 13,460,854</u>
Financing:					
State General Fund	\$ 13,685,708	\$ 13,389,272	\$ 9,791,258	\$ 13,460,854	\$ 13,460,854
All Other Funds	117,859	1,600,648	5,189,946	0	0
TOTAL	<u>\$ 13,803,567</u>	<u>\$ 14,989,920</u>	<u>\$ 14,981,204</u>	<u>\$ 13,460,854</u>	<u>\$ 13,460,854</u>
FTE Positions	189.0	220.0	220.0	189.0	189.0

The **agency** requests a revised estimate of \$15.0 million, including \$13.4 million SGF, for operating expenditures at LCMHF in FY 2021. This is an all funds increase of \$2.0 million, or 15.6 percent, and an SGF increase of \$420,128, or 3.2 percent, above the FY 2021 approved amount. The increase is attributable to the agency's temporary use of the former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit for adult inmates. Expenditures for the intake isolation unit total \$1.6 million and include salaries and wages for 31 FTE positions, training of new correctional officers, utilities, and repairs to the formerly vacant facility. Funding for the intake isolation unit is drawn entirely from a federal Coronavirus Emergency Supplemental Justice Assistance Grant, provided through the CARES Act. Absent expenditures for the former Larned Juvenile Correctional Facility, the increase is attributable to increased salaries and wages expenditures (\$216,250), to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office to reduce shrinkage, which was transferred to facilities. The increase is also attributable to increased expenditures for building maintenance material (\$60,500), drug testing (\$20,000), and officer and inmate uniforms (\$18,615). The revised estimate includes 220.0 FTE positions, which is an increase of 31.0 FTE positions above the FY 2021 approved number. The increase includes 31 temporary correctional officer positions at the former Larned Juvenile Correctional Facility.

The **Governor** recommends \$15.0 million, including \$9.8 million SGF, for operating expenditures at the LCMHF in FY 2021. This is an all funds decrease of \$8,716, or 0.1 percent, and an SGF decrease of \$3.6 million, or 26.9 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020 (\$8,716). The recommendation swaps \$3.6 million SGF in salaries and wages expenditures with an allocation from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for the same amount.

The **agency** requests \$13.5 million SGF for operating expenditures at LCMHF for FY 2022. This is an all funds decrease of \$1.5 million, or 10.2 percent, and an SGF increase of \$71,582, or 0.5 percent, above the FY 2021 revised estimate. The decrease is attributable to the cessation of temporary COVID-19 intake isolation operations at the former Larned Juvenile

Correctional Facility. The cessation is due to the completion of a federal Coronavirus Emergency Supplemental Justice Assistance Grant (\$1.6 million), which supported operations in FY 2021. This SGF increase is related to fluctuations in employer contributions to fringe benefits, which is partially offset by decreased expenditures for cleaning supplies (\$10,000), information technology services (\$7,000), and officer and inmate uniforms (\$5,517). The request includes 189.0 FTE positions, which is a decrease of 31.0 FTE positions below the FY 2021 revised estimate. The decrease reflects elimination of 31 federally funded correctional officer positions at the temporary COVID-19 intake isolation unit.

The **Governor** concurs with the agency's request for FY 2022.

R. Norton Correctional Facility

The Norton Correctional Facility (NCF) opened in 1987. NCF includes a 707-bed facility that houses male offenders and occupies the buildings of the former Norton State Hospital and a 112-bed facility in Stockton that was converted from a farm implement dealership building. In FY 2004, the Stockton facility added 16 beds, raising its capacity to 128. NCF currently has medium- and minimum-security beds, with a total capacity of 977. Kansas Correctional Industries (KCI) has partnered with four private industry employers where a total of 80 NCF offenders are employed.

PERFORMANCE MEASURES						
<u>Measure</u>	<u>Actual FY 2018</u>	<u>Actual FY 2019</u>	<u>Gov. Rec. FY 2020</u>	<u>Actual FY 2020</u>	<u>Gov. Rec. FY 2021</u>	<u>Gov. Rec. FY 2022</u>
Number of Inmate Batteries	6	7	N/A	8	N/A	N/A
Number of Inmate Assaults on Staff	1	0	N/A	1	N/A	N/A
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 16.0	\$ 17.4	\$ 18.8	\$ 19.4	\$ 19.0	\$ 19.2
FTE Positions	264.0	264.0	264.0	264.0	264.0	264.0

**NORTON CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 16,819,271	\$ 16,649,073	\$ 16,649,073	\$ 16,776,694	\$ 16,776,694
Contractual Services	1,410,054	1,601,639	1,601,639	1,630,630	1,630,630
Commodities	913,054	768,651	766,901	765,116	765,116
Capital Outlay	302,551	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 19,444,930</u>	<u>\$ 19,019,363</u>	<u>\$ 19,017,613</u>	<u>\$ 19,172,440</u>	<u>\$ 19,172,440</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	42	0	0	0	0
TOTAL	<u>\$ 19,444,972</u>	<u>\$ 19,019,363</u>	<u>\$ 19,017,613</u>	<u>\$ 19,172,440</u>	<u>\$ 19,172,440</u>
Financing:					
State General Fund	\$ 19,179,676	\$ 18,831,047	\$ 18,829,297	\$ 18,982,385	\$ 18,982,385
All Other Funds	265,296	188,316	188,316	190,055	190,055
TOTAL	<u>\$ 19,444,972</u>	<u>\$ 19,019,363</u>	<u>\$ 19,017,613</u>	<u>\$ 19,172,440</u>	<u>\$ 19,172,440</u>
FTE Positions	264.0	264.0	264.0	264.0	264.0

The **agency** requests a revised estimate of \$19.0 million, including \$18.8 million SGF, for operating expenditures at NCF in FY 2021. This is an all funds increase of \$440,801, or 2.4 percent, and an SGF increase of \$454,958, or 2.5 percent, above the FY 2021 approved amount. The increase is attributed to increased salaries and wages expenditures (\$515,347), to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office to reduce shrinkage, which was transferred to facilities. The increase is also attributed to increased leasing fees for copiers and computing equipment (\$24,000). The increase is partially offset by decreased expenditures for officer and inmate uniforms (\$52,380) and utilities (\$31,271). The revised estimate includes 264.0 FTE positions, which is unchanged from the FY 2021 approved number.

The **Governor** recommends \$19.0 million, including \$18.8 million SGF, for operating expenditures at NCF in FY 2021. This is a decrease of \$1,750, all SGF, or less than 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020.

The **agency** requests \$19.2 million, including \$19.0 million SGF, for operating expenditures at NCF for FY 2022. This is an all funds increase of \$153,077, or 0.8 percent, and an SGF increase of \$151,338, or 0.8 percent, above the FY 2021 revised estimate. This increase is related to fluctuations in employer contributions to fringe benefits (\$161,684), and building maintenance services (\$51,000). The increase is partially offset by decreased expenditures for utilities (\$41,330) and officer and inmate clothing (\$13,620). The request includes 264.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

S. Topeka Correctional Facility

The Topeka Correctional Facility (TCF) dates back to 1961, when legislative action provided the Director of Penal Institutions the authority to convert facilities of the Topeka Technical College into a State Reception and Diagnostic Center (SRDC) with the primary function to perform evaluations of convicted offenders sentenced to the Kansas State Penitentiary and the Kansas State Industrial Reformatory. Inmate work crews from the Penitentiary performed the renovation, and the first inmates were received in early 1962.

Until March 2001, the Reception and Diagnostic Unit (RDU), also located on the grounds adjacent to the Central Unit, served as the state's central intake point for all male offenders committed to the custody of the Secretary of Corrections. The 1999 Legislature appropriated funds and bonding authority of \$16.0 million for the construction of two housing units and the transfer of the functions of the RDU for male inmates to the El Dorado Correctional Facility. This relocation resulted in the TCF offender population being all female.

TCF is currently composed of two housing compounds, which together house all of the state's incarcerated female offenders. The A-G Compound, formerly the Topeka Technical College, consists of six minimum/medium-security dormitories and currently houses up to 588 female inmates. The I-J Compound, a medium/maximum-security compound located on the grounds of what was previously the SRDC, currently has a capacity of 365. TCF's total capacity is 948.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	41	49	49	47	9	48
Number of Inmate Assaults on Staff	1	14	2	9	N/A	N/A
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 15.4	\$ 17.0	\$ 18.1	\$ 19.5	\$ 18.3	\$ 18.5
FTE Positions	263.0	262.0	260.0	260.0	261.5	261.5

**TOPEKA CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2020 – FY 2022**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 17,288,263	\$ 16,497,140	\$ 16,497,140	\$ 16,632,357	\$ 16,632,357
Contractual Services	1,454,584	1,203,631	1,203,631	1,231,966	1,231,966
Commodities	766,068	597,091	576,395	608,391	608,391
Capital Outlay	163,738	22,862	22,862	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 19,672,653</u>	<u>\$ 18,320,724</u>	<u>\$ 18,300,028</u>	<u>\$ 18,472,714</u>	<u>\$ 18,472,714</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	(156,952)	0	0	0	0
TOTAL	<u>\$ 19,515,701</u>	<u>\$ 18,320,724</u>	<u>\$ 18,300,028</u>	<u>\$ 18,472,714</u>	<u>\$ 18,472,714</u>
Financing:					
State General Fund	\$ 19,047,975	\$ 17,972,147	\$ 17,951,451	\$ 18,120,951	\$ 18,120,951
All Other Funds	467,726	348,577	348,577	351,763	351,763
TOTAL	<u>\$ 19,515,701</u>	<u>\$ 18,320,724</u>	<u>\$ 18,300,028</u>	<u>\$ 18,472,714</u>	<u>\$ 18,472,714</u>
FTE Positions	260.0	261.5	261.5	261.5	261.5

The **agency** requests a revised estimate of \$18.3 million, including \$18.0 million SGF, for operating expenditures at TCF in FY 2021. This is an all funds increase of \$274,961, or 1.5 percent, and an SGF increase of \$265,039, or 1.5 percent, above the FY 2021 approved amount. The increase is attributed to increased salaries and wages expenditures (\$169,665), to fill vacant positions. These were funds approved by the 2020 Legislature for the Central Office to reduce shrinkage, which was transferred to facilities. The increase is also attributable to increased expenditures for inmate postage and cellphone service (\$52,884); leasing fees for copiers and computing equipment (\$35,106); and cleaning supplies and inmates bedding (\$69,730). The increase is partially offset by decreased expenditures for building maintenance material (\$35,000) and utilities (\$18,186). The revised estimate includes 261.5 FTE positions, which is a decrease of 22.5 FTE positions below the FY 2021 approved number. The decrease is mainly for correctional officers in the Security program and is attributable to the cancellation of plans to house a contingent of female inmates at KJCC.

The **Governor** recommends \$18.3 million, including \$18.0 million SGF, for operating expenditures at TCF in FY 2021. This is a decrease of \$20,696, all SGF, or 0.1 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020.

The **agency** requests \$18.5 million, including \$18.1 million SGF, for operating expenditures at TCF for FY 2022. This is an all funds increase of \$151,990, or 0.8 percent, and an SGF increase of \$148,804, or 0.8 percent, above the FY 2021 revised estimate. This increase is related to fluctuations in employer contributions to fringe benefits. The increase is also attributable to increased expenditures for inmate incentive pay (\$20,000) and building maintenance material (\$20,000). The increase is partially offset by decreased expenditures for furnishings of inmate areas (\$22,862), and vehicle repair parts and fuel (\$8,700). The request includes 261.5 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

T. Winfield Correctional Facility

The Winfield Correctional Facility (WCF) is a minimum-custody facility for male inmates established in 1984. During the 1995 Session, funds were appropriated to renovate two buildings formerly used by the Winfield State Hospital and Training Center. In September 1996, KDOC shifted the Wichita Work Release Facility and its budget from the KDOC Central Office to the WCF office. The work release's 254-bed capacity increased the total capacity for the combined operations to 978.

WCF maintains an interagency relationship with the nearby Kansas Veterans' Home (KVH), which became operational in 2000. WFC provides grounds maintenance and security services, and funds utility expenses for KVH.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Inmate Batteries	0	49	N/A	47	9	48
Number of Inmate Assaults on Staff	0	14	N/A	9	N/A	N/A
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 13.2	\$ 14.1	\$ 15.6	\$ 15.4	\$ 15.7	\$ 15.5
FTE Positions	201.0	201.0	201.0	201.0	201.0	201.0

WINFIELD CORRECTIONAL FACILITY SUMMARY OF EXPENDITURES FY 2020 – FY 2022					
Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
Expenditures:					
Salaries and Wages	\$ 13,095,468	\$ 12,899,029	\$ 12,899,029	\$ 13,069,897	\$ 13,069,897
Contractual Services	1,625,022	1,860,178	1,860,178	1,886,737	1,886,737
Commodities	569,019	611,298	609,713	582,788	582,788
Capital Outlay	154,346	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 15,443,855</i>	<i>\$ 15,370,505</i>	<i>\$ 15,368,920</i>	<i>\$ 15,539,422</i>	<i>\$ 15,539,422</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	199	0	0	0	0
TOTAL	\$ 15,444,054	\$ 15,370,505	\$ 15,368,920	\$ 15,539,422	\$ 15,539,422
Financing:					
State General Fund	\$ 15,200,388	\$ 14,984,771	\$ 13,603,762	\$ 15,069,380	\$ 15,069,380
All Other Funds	243,666	385,734	1,765,158	470,042	470,042
TOTAL	\$ 15,444,054	\$ 15,370,505	\$ 15,368,920	\$ 15,539,422	\$ 15,539,422
FTE Positions	201.0	201.0	201.0	201.0	201.0

The **agency** requests a revised estimate of \$15.4 million, including \$15.0 million SGF, for operating expenditures at WCF in FY 2021. This is an all funds decrease of \$126,387, or 0.8 percent, and an SGF decrease of \$159,129, or 1.1 percent, below the FY 2021 approved amount. The decrease is attributable to decreased expenditures for utilities (\$150,398), which is partially related to the suspension of operations at the Wichita Work Release Facility (WWRF). Due to the high level of interactions between inmates at the WWRF and the general public,

operations were suspended to reduce the risk of COVID-19 outbreaks. The decrease is also attributable to decreased expenditures for KPERS contributions (\$58,982). The decrease is partially offset by increased expenditures for cleaning supplies and inmate bedding (\$36,310) and leasing fees for copiers and computing equipment (\$26,088). The revised estimate includes 201.0 FTE positions, which is unchanged from the FY 2021 approved number.

The **Governor** recommends \$15.4 million, including \$13.6 million SGF, for operating expenditures at WCF in FY 2021. This is an all funds decrease of \$1,585, or less than 0.1 percent, and an SGF decrease of \$1.4 million, or 9.2 percent, below the agency's FY 2021 revised estimate. The decrease is attributable to the lapse of SGF moneys reappropriated from FY 2020 (\$1,585). The recommendation swaps \$1.4 million SGF in salaries and wages expenditures with an allocation from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for the same amount.

The **agency** requests \$15.5 million, including \$15.1 million SGF, for operating expenditures at WCF for FY 2022. This is an all funds increase of \$168,917, or 1.1 percent, and an SGF increase of \$84,609, or 0.6 percent, above the FY 2021 revised estimate. This increase is related to fluctuations in employer contributions to fringe benefits. The agency budgeted for a resumption of operations at the WWRF, which partially resulted in increased expenditures for utilities (\$17,619). The increase is partially offset by decreased expenditures for building maintenance materials (\$14,650) and supplies (\$14,243), such as cleaning supplies and inmate bedding. The request includes 201.0 FTE positions, which is unchanged from the FY 2021 revised estimate.

The **Governor** concurs with the agency's request for FY 2022.

CAPITAL IMPROVEMENTS

CAPITAL IMPROVEMENTS				
Project	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022
KDOC Central Office:				
Rehabilitation and Repair	3,889,339	3,948,127	5,092,000	5,092,000
Expansion Projects at Lansing and Winfield	7,208,190	7,208,190	6,089,218	6,089,218
Kansas Correctional Industries	2,592,470	2,592,470	2,095,040	2,095,040
Debt Service - Principal	0	0	0	1,936,732
<i>Subtotal</i>	<u>\$ 13,689,999</u>	<u>\$ 13,748,787</u>	<u>\$ 13,276,258</u>	<u>\$ 15,212,990</u>
Facilities R&R:				
El Dorado CF	\$ 565,409	\$ 565,409	\$ 0	\$ 0
Ellsworth CF	220,814	220,814	0	0
Hutchinson CF	701,955	701,955	0	0
Kansas Juvenile CC	447,465	447,465	0	0
Lansing CF	516,671	516,671	0	0
Larned CMHF	884,968	884,968	0	0
Norton CF	311,329	311,329	0	0
Topeka CF	357,972	357,972	0	0
Winfield CF	317,512	1,044,189	0	0
<i>Subtotal</i>	<u>\$ 4,324,095</u>	<u>\$ 5,050,772</u>	<u>\$ 0</u>	<u>\$ 0</u>
TOTAL	<u>\$ 18,014,094</u>	<u>\$ 18,799,559</u>	<u>\$ 13,276,258</u>	<u>\$ 15,212,990</u>
Financing:				
State General Fund	\$ 7,208,190	\$ 7,208,190	\$ 6,089,218	\$ 1,936,732
Federal CARES Act	0	785,465	0	0
Correctional Industries	2,592,470	2,592,470	2,095,040	2,095,040
State Institutions Building Fund	1,127,588	1,127,588	500,000	6,589,218
Correctional Institutions Building Fund	7,085,846	7,085,846	4,592,000	4,592,000
TOTAL	<u>\$ 18,014,094</u>	<u>\$ 18,799,559</u>	<u>\$ 13,276,258</u>	<u>\$ 15,212,990</u>

In addition to the State General Fund, three special revenue funds are utilized for capital improvements:

- The Correctional Industries Fund derives revenue from the Kansas Correctional Industries program, which includes the sale of inmate-manufactured products to government and nonprofit organizations. Most of the revenue is utilized for program needs, however, a portion is transferred to the Central Office to support capital improvements;

- The Correctional Institutions Building Fund (CIBF) is dedicated to the upkeep of the adult correctional facilities. This fund is financed from a 10.0 percent annual transfer from the State Gaming Revenue Fund; and
- The State Institutions Building Fund (SIBF) is capitalized from property tax revenue. Funds from this account may be used for juvenile correctional facilities, but not adult facilities, in accordance with KSA 76-6b03.

FY 2021 – Current Year. The agencies request a revised estimate of \$18.0 million, including \$7.2 million SGF, for capital improvement expenditures throughout the KDOC System in FY 2021. This is an all funds increase of \$2.2 million, or 13.9 percent, and an SGF decrease of \$22,718, or 0.3 percent, below the FY 2021 approved amount. All capital improvement projects are in accordance with the agency's five-year capital improvement plan.

KDOC Central Office. The agency requests a revised estimate of \$13.7 million, including \$7.2 million SGF, for capital improvements expenditures at the KDOC Central Office in FY 2021. This is an all funds decrease of \$2.1 million, or 13.4 percent, and an SGF decrease of \$22,718, or 0.3 percent, below the FY 2021 approved amount. The decrease is primarily attributable to the transfer of CIBF moneys from the Central Office to correctional facilities for local repair and rehabilitation projects (\$2.6 million).

The agency budgeted \$7.2 million SGF in first-year expenditures for two-year capacity expansion projects at the Lansing and Winfield correctional facilities. The first project will renovate the former X-unit site at Lansing into a 200-bed substance abuse treatment center. The second project will renovate two buildings at the Kansas Veterans' Home, located near the Winfield Correctional Facility, into a 241-bed nursing care and substance abuse treatment center. The agency also budgeted for a statewide condition assessment of all facilities to prioritize needs.

KDOC Facilities. The agencies request a revised estimate of \$4.3 million, including \$3.9 million from the CIBF and \$447,465 from the SIBF, for capital improvements expenditures among the nine correctional facilities. Traditionally, CIBF moneys are budgeted within the Central Office budget for planning purposes and then disbursed to the correctional facilities. As a result, the facilities did not have any approved expenditures in FY 2021, and therefore all revised estimate expenditures are new. Major expenditures are outlined below by facility:

- **El Dorado Correctional Facility.** The agency requests a revised estimate of \$565,409, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include the addition of a cell house air conditioning unit (\$250,000), replacement of an emergency generator (\$207,400), and installation of a water conservation system (\$77,900);
- **Ellsworth Correctional Facility.** The agency requests a revised estimate of \$220,814, all from the CIBF, for capital improvement expenditures in FY 2021. Major projects include replacement of building locks (\$109,350) and a boiler unit (\$102,800);
- **Hutchinson Correctional Facility.** The agency requests a revised estimate of \$701,955, all from the CIBF, for capital improvement expenditures in FY 2021. Major projects include replacement of a boiler unit in the facility power plant

(\$420,000), stairway installation in the medical clinic (\$184,000), and remodeling of mental health offices (\$80,000);

- **Kansas Juvenile Correctional Complex.** The agency requests a revised estimate of \$447,465, all from the SIBF, for capital improvements expenditures in FY 2021. Major projects include replacement of the campus emergency generator (\$243,600), installation of acoustical material in the gymnasium (\$87,400), and upgrades to the fire suppression system (\$86,000);
- **Lansing Correctional Facility.** The agency requests a revised estimate of \$516,671, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include the replacement of HVAC systems in multiple buildings (\$400,000) and renovation of the the Administration Building (\$87,872);
- **Larned Correctional Mental Health Facility.** The agency requests a revised estimate of \$884,968, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include the purchase of food service equipment (\$400,000) and kitchen renovations (\$375,000) to support the agency's enhancement request to shift food service operations for inmates from Larned State Hospital (LSH) to the LCMHF. Other major projects include upgrades to water softener systems (\$59,300) and electrical systems (\$32,000);
- **Norton Correctional Facility.** The agency requests a revised estimate of \$311,329, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include replacement of a water softener system (\$127,000), roofing of the power plant (\$109,000), replacement of building locks (\$30,250), and upgrades to intercom systems (\$14,700);
- **Topeka Correctional Facility.** The agency requests a revised estimate of \$357,972, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include installation of hot water heaters (\$124,000), replacement of building locks (\$114,000), sally port gate upgrades (\$55,000), and replacement of mechanical units in the dining hall (\$21,877); and
- **Winfield Correctional Facility.** The agency requests a revised estimate of \$317,512, all from the CIBF, for capital improvements expenditures in FY 2021. Major projects include installation of an Americans with Disabilities (ADA)-compliant elevator at the Wichita Work Release Site (\$135,000), roofing (\$101,000), and utilities tunnels upgrades (\$42,000).

The **Governor** recommends \$18.8 million, including \$7.2 million SGF, for capital improvements expenditures throughout the **KDOC System** in FY 2021. This is an increase of \$785,465, all from federal CARES Act funds, or 4.4 percent, above the agencies' FY 2021 revised estimate.

KDOC Central Office. The Governor recommends \$13.7 million, including \$7.2 million SGF, for capital improvements expenditures at the KDOC Central Office in FY 2021. This is an increase of \$58,788, all from federal CARES Act funds, or 0.4 percent, above the agency's revised estimate. The increase is attributable to an allocation of \$58,788 from the federal Coronavirus Relief Fund, awarded by the SPARK Taskforce, to support the establishment of a COVID-19 intake isolation unit at the Topeka Correctional Facility. The recommendation

includes \$7.2 million SGF in first-year expenditures for two-year capacity expansion projects at the Lansing and Winfield correctional facilities. The expansion projects are financed from lower-than-anticipated expenditures for contracted beds due to the return of inmates previously housed in Arizona.

KDOC Facilities. The Governor recommends \$5.1 million, including \$3.9 million from the CIBF, \$447,465 from the SIBF, and \$726,677 from federal CARES Act funds, for capital improvements expenditures among the nine correctional facilities in FY 2021. This is an increase of \$726,677, all from federal CARES Act funds, or 16.8 percent, above the agencies' FY 2021 revised estimate. The increase is due to an allocation of \$726,677 from the federal Coronavirus Relief Fund awarded by the SPARK Taskforce for construction of a COVID-19 quarantine unit at WCF.

FY 2022 – Budget Year. The agencies request \$13.3 million, including \$6.1 million SGF, for capital improvement expenditures throughout the KDOC System for FY 2022. This is an all funds decrease of \$4.7 million, or 26.3 percent, and an SGF decrease of \$1.1 million, or 15.5 percent, below the FY 2021 revised. The decrease is attributed to decreased expenditures for routine repair and rehabilitation projects at correctional facilities (\$4.3 million). Funds for such projects are held at the KDOC Central Office for planning purposes and transferred to facilities at the discretion of the Secretary of Corrections. The agency budgeted \$6.1 million SGF for final year expenditures of two-year capacity expansion projects that include a substance abuse treatment center at the Lansing Correctional Facility and a nursing care and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends \$15.2 million, including \$1.9 million SGF, for capital improvements expenditures throughout the KDOC System for FY 2022. This is an all funds increase of \$1.9 million, or 14.6 percent, and an SGF decrease of \$4.2 million, or 68.2 percent, below the agencies' FY 2022 request. The increase is attributable to the Governor recommending an alternative financing plan for replacement of adult and juvenile offender management data systems. The plan includes shifting \$1.9 million SGF of operating expenses from the Juvenile Services program to capital improvement expenditures for an initial debt service principle payment on a six-year loan totaling \$20.0 million. The recommendation also includes a shifting the funding source from the SGF to the SIBF for \$6.1 million in final year expenditures for capacity expansion projects at the Lansing and Winfield correctional facilities.