MEMORANDUM

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TO: Representative Steve Alford, Chair, House Children and Seniors Committee
FROM: Rick Riggs, Administrative Auditor
DATE: February 3, 2017
SUBJECT: Quarterly follow-up information from DCF

Representative Brenda Dietrich asked that our office provide your committee with the latest follow-up information from the Department on Children and Families related to the recommendations made in our July 2016 audit report, Foster Care and Adoption in Kansas: Reviewing Various Issues Related to the State’s Foster Care and Adoption System, Part I.

The accompanying document shows the department’s responses from October 2016 and January 2017. In a few cases, we have noted recommendations that we consider to be implemented; the rest remain in process.

If you have any questions, please contact me at 296-3179.

cc: Members, House Children and Seniors Committee

attachment

Attachment 1
<table>
<thead>
<tr>
<th>January 2017 Response</th>
<th>October 2016 Response</th>
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<tbody>
<tr>
<td><strong>Focus:</strong> Department for Children and Families</td>
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<tr>
<td><strong>Limit: Response to IP Recommendations</strong></td>
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2. To address the issues with FDCC and the Procurement Office:

- Develop and implement new and updated internal controls.
- Address the concerns identified in the audit reports and recommendations.
- Ensure that all required actions are completed.

3. TEAS and other outcomes:

- Ensure that the agency has implemented the recommendations.
- Address any outstanding issues.
- Establish timelines for completion.

4. RFMP:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
- Ensure that all actions are taken.

5. Intervention:

- Address any concerns and take corrective action.
- Ensure that all recommendations are addressed.
- Ensure that the findings and recommendations are addressed.

6. Ongoing Monitoring:

- Review and update the policies and procedures as needed.
- Ensure that all actions are taken.
- Address any outstanding issues.

7. Compliance Monitoring:

- Review and update the policies and procedures as needed.
- Ensure that all actions are taken.
- Address any outstanding issues.

8. Developing and Implementing:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
- Ensure that all actions are taken.

9. Document Control:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
- Ensure that all actions are taken.

10. Risk Management:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
- Ensure that all actions are taken.

11. Quality Improvement Plan:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
- Ensure that all actions are taken.

12. Agency Action Plan:

- Review policies to determine if changes are needed.
- Address the findings and recommendations.
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13. Developing and Implementing:

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| Interim findings taken at the oral hearing, submitted letter briefing and oral argument to 802 and DC, and have submitted to the Interim findings for April 2017, as required. The modification of the final decision is expected to follow. The decision is expected to follow the oral argument.

DC is committed to a review of all educational and procedural aspects of the decision, including regulations and the decision. The modifications will be considered in the final decision.

The decision is expected to follow the oral argument.

January 2018 Response |

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<td>- Ensure that the new process is implemented and in place for operation.</td>
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<td>- Create a new service plan and implement the updated program.</td>
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**Notes**:

- Regular monitoring of the new processes will be conducted to ensure compliance with the new requirements.
- The new processes will be reviewed and updated as necessary to reflect changes in the environment.
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<td><strong>Interim Recommendations</strong> of the Inspector General (IG) are presented for the Department of Health and Human Services (HHS) to consider. These recommendations address the concerns and areas for improvement identified in the IG's report. The recommendations are intended to enhance the operations of HHS and improve the delivery of services to the public.</td>
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- **Recommendation 1:** Improve the accuracy of data collection and reporting systems. This recommendation is based on the IG's findings that data collection and reporting systems are not always accurate or reliable. The recommendation calls for the development of new systems and improved processes to ensure the accuracy of data collection and reporting.

- **Recommendation 2:** Increase oversight and monitoring of compliance with regulations. The IG found that there was a lack of oversight and monitoring of compliance with regulations. The recommendation calls for increased oversight and monitoring to ensure compliance with regulations and improve the delivery of services.

- **Recommendation 3:** Improve communication and coordination among departments. The IG found that there was a lack of communication and coordination among different departments within HHS. The recommendation calls for improved communication and coordination to ensure that services are delivered efficiently and effectively.

- **Recommendation 4:** Strengthen the risk management process. The IG found that the risk management process was not always effective. The recommendation calls for the strengthening of the risk management process to ensure that risks are identified and managed appropriately.

- **Recommendation 5:** Improve the performance management system. The IG found that the performance management system was not always effective. The recommendation calls for improvements to the performance management system to ensure that employees are evaluated fairly and effectively.

- **Recommendation 6:** Develop a comprehensive plan for the implementation of recommendations. The IG found that there was a lack of a comprehensive plan for the implementation of recommendations. The recommendation calls for the development of a comprehensive plan to ensure that recommendations are implemented effectively.

- **Recommendation 7:** Increase transparency and accountability. The IG found that there was a lack of transparency and accountability within HHS. The recommendation calls for increased transparency and accountability to ensure that the public is informed and involved in decision-making processes.

- **Recommendation 8:** Strengthen the quality assurance program. The IG found that the quality assurance program was not always effective. The recommendation calls for improvements to the quality assurance program to ensure that services are delivered to the highest standards.

- **Recommendation 9:** Improve the training and development programs. The IG found that the training and development programs were not always effective. The recommendation calls for improvements to the training and development programs to ensure that employees are equipped with the skills and knowledge required to deliver services effectively.

- **Recommendation 10:** Increase the use of technology to improve efficiency and effectiveness. The IG found that the use of technology was not always effective. The recommendation calls for increased use of technology to improve efficiency and effectiveness in the delivery of services.

- **Recommendation 11:** Improve the selection and recruitment process. The IG found that the selection and recruitment process was not always effective. The recommendation calls for improvements to the selection and recruitment process to ensure that the most qualified candidates are selected.

- **Recommendation 12:** Develop a comprehensive plan for the implementation of recommendations. The IG found that there was a lack of a comprehensive plan for the implementation of recommendations. The recommendation calls for the development of a comprehensive plan to ensure that recommendations are implemented effectively.
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<td>January 2017</td>
<td>Revised regulations to ensure that training is provided to all employees.</td>
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<td>October 2016</td>
<td>Address the issue of the amount of documentation required.</td>
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<td>Ensure all employees are aware of the requirements.</td>
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The monitoring process will be updated to include performance outcomes and corrective action plans. The revised regulations will be implemented to meet standards as set by revised regulations. DCF will require the retraining of all staff as per above.